SEISMIC ASSESSMENT OF NEW ZEALAND HIGHWAY BRIDGES: DEVELOPMENT AND TESTING OF PRELIMINARY SCREENING PROCEDURES

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WORKS CONSULTANCY SERVICES LIMITED Wellington, New Zealand

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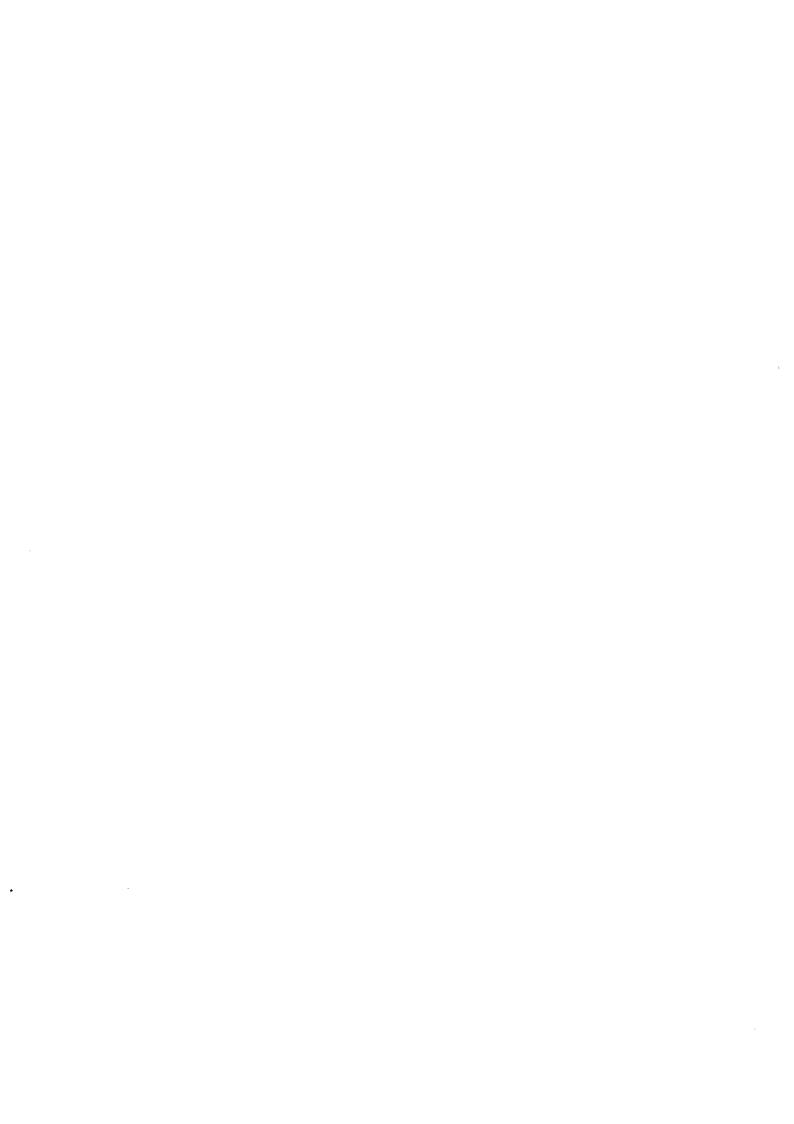
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The recommended preliminary screening procedure is closely based on that developed and used by the State of California Department of Transportation (Caltrans). The agreement of Caltrans to the use of its work, and the assistance received from its staff during this project, are gratefully acknowledged.

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EXECUTIVE SUMMARY

1. Introduction

Bridges form vital links in highway networks. If the links are broken by a major earthquake, serious disruption to the flow of relief services and other essential highway traffic is likely.

Highway authorities in seismically active countries are paying increasing attention to the assessment of the vulnerability of important highways to earthquake damage, and to the associated costs of their disruption to both the road users and the communities served by the highways. Procedures have been introduced, notably in Japan and the United States of America, by which the risks and consequences of damage to highway bridges in earthquakes can be assessed. For feasible and economically justifiable cases retrofitting programmes have been implemented to reduce the risk of serious bridge damage to an acceptable level.

In New Zealand recent investigations indicate that there are enough vulnerable structures on the state highway network to warrant implementing a systematic seismic evaluation of all its bridges. Such evaluation requires a three step process, as follows:

- a. Using a preliminary screening procedure, produce a list of bridges, in order of priority, that require detailed study to assess their seismic vulnerability and need for retrofitting.
- b. Identify those bridges in that list which, by adequately detailed evaluation, show seismic retrofitting to be financially justifiable.
- c. Design retrofit measures for the bridges identified in step b. for which implementation is to proceed.

No preliminary screening procedure has been published for application specifically to New Zealand's bridges, and the purpose of this project was to develop, test, review and recommend such a procedure. This report describes the results of an investigation of existing screening procedures which are in use in other countries, and sets out a *Proposed Preliminary Screening Procedure*, which was subsequently tested by application to 29 of the bridges on State Highway 1 between Bulls and Wellington. The results of the testing are reported, together with the modifications made to the Proposed Procedure as a consequence of the testing, and of reviewers' comments. An appendix to the report contains a *Recommended Preliminary Screening Procedure*, for possible adoption by Transit New Zealand in its future programme of assessment of the vulnerability of highway bridges to damage by earthquakes.

2. Project Objective

The objective of this study was to develop a recommended preliminary screening procedure, for use in deciding the priority order in which New Zealand highway bridges should be assessed for the risk of their sustaining seismic damage.

3. Review of Existing Procedures

A literature review was carried out along with a detailed examination of the Seismic Risk Identification and Prioritisation Procedures adopted in the United States of America and Japan. A literature search was performed on the topic of seismic screening, prioritisation and retrofitting procedures. Thirty relevant references were selected and reviewed.

Principal findings from the literature review were:

- Procedures to identify and prioritise bridges in need of retrofitting have been established, or were being considered, in many states in the United States of America; and in Japan a nationwide seismic inspection of highway bridges has been carried out, and a procedure established for prioritising bridge structures in need of seismic retrofitting.
- The Japan Ministry of Construction prioritisation procedure was largely a vulnerability assessment based on the extensive records of damage to Japanese highway bridges following earthquakes. The intensity of ground shaking and the importance of a bridge to the social or survival needs of a community serviced by the bridge were not specifically evaluated in the prioritisation process.
- The prioritisation procedures adopted by the United States highway authorities were similar to each other in that they required basic information to be obtained on:
 - The seismic hazard of the bridge and site;
 - The vulnerability of the bridge to earthquake damage; and
 - The importance of the bridge as a vital link in the transportation system.

Each of these three major variables was numerically rated with an index. The indices were arithmetically combined to give an overall grade which was used to rank the bridges in order of priority for detailed evaluation for retrofitting.

 The preliminary screening and prioritisation procedure needed to be kept simple. Then the basic information required could be obtained and numerically rated by technical staff who were not experienced in evaluating the seismic response of bridges in earthquakes, damage assessment or retrofit design.

4. Development of the Proposed Preliminary Screening Procedure

The Procedure is based on the model developed by the State of California Department of Transportation (Caltrans). It has three main parts:

- Identification of bridges which have a low risk of catastrophic collapse during a severe earthquake, so that they can be excluded from the assessment. These are bridges designed to comply with bridge seismic design codes of 1972 or later; single span bridges with monolithic or otherwise secure abutment seats; or uniform bridges of limited pier heights with a length-to-width ratio of 8 or less and with some other required characteristics.
- Identification of bridges which lack positive connecting linkages between superstructure elements, so that they can be assessed as soon as possible for possible retrofit.
- The screening developed for bridges not in the above two categories to evaluate three main variables:
 - Hazard (seismicity at the bridge site and other hazards threatening the bridge);
 - Importance of the bridge; and
 - Vulnerability of the structural system.

There are 18 recommended attributes within the above three variables, and criteria against which a numerical index can be chosen for each attribute. From these indices the final overall "Seismic Prioritisation Grade" (SPG) is calculated.

The SPG = Hazard Index x [(0.6 x Importance Index) + (0.4 x Vulnerability Index)].

5. Testing the Proposed Preliminary Screening Procedure

5.1 Research Tasks

Of the 36 bridges on State Highway 1 between Bulls and Wellington, 29 were included in the project. This part of the project comprised three research tasks.

5.1.1 Task 1: Assemble information and undertake preliminary seismic screening

- i Obtain details of structures and available site investigation results, details of traffic intensities using the bridges, and details of available detour routes.
- ii Apply the trial procedure developed.
- iii Summarise the results of applying the trial procedure.

5.1.2 Task 2: Detailed Assessment of Bridges

- i. For all the bridges included in the assessment project, determine the critical points in each structure for stability, strength, ductility and displacement; the threshold response acceleration(s) above which significant damage is caused; and appropriate approximate response spectra. Take account (to the extent that available information held in the bridge records allows) of the effects that ground liquefaction or lateral spreading may have on the abutments and/or piers, if they are likely to be potential problems.
- ii. Determine the probability of significant structural damage occurring within 50 years, and estimate both the direct and indirect costs of seismic damage.
- iii. Compare the results of the seismic assessments undertaken in steps i and ii with those of the Proposed Preliminary Screening Procedure in Task 1, and determine whether the procedure identifies bridges that warrant more detailed assessment, and whether the procedure prioritises structures satisfactorily.
- iv. Identify improvements which could be made to the Proposed Preliminary Screening Procedure and estimate the time and costs required to apply the screening procedure to the state highway system.

5.1.3 Task 3: Reporting

Prepare a report describing in detail the work undertaken, the results obtained and such conclusions and recommendations as may be appropriate.

5.1.4 Other Tasks:

Possible alternative ways of calculating the Seismic Performance Grading had been suggested during the discussions with the Project Review Committee, and the effects of these alternatives were also investigated.

5.2 Implementing the Tasks

5.2.1 Assemble Information and Undertake Preliminary Seismic Screening (Task 1)

Information was gathered on the structures, the traffic intensities and the available detours. This information formed the basic input for completion of the screening procedure.

The information on the structures was obtained from copies of the detailed drawings held by Works Consultancy Services Limited. Suitable information on ground conditions was not readily available, leading to difficulties in allocating ratings to the "ground conditions" part of the screening data sheets.

The information on traffic volumes was obtained from Transit New Zealand records. Lengths of detours were identified from topographical maps.

Each bridge site was visited to enable checks to be made that the record drawings being used did represent the structure in its current condition. The visits enabled the assessor to better gain a "feel" for the structure and to identify important characteristics which were not obvious from the drawings.

The Proposed Preliminary Screening Procedure, described in outline in 4. above, was applied to each bridge and was found to be easy and quick to use.

5.2.2 Detailed Assessment of Bridges (Task 2)

i and ii Risks, Damage Probability and Costs

The effectiveness of the proposed screening procedure was judged by comparing the prioritisation obtained from the procedure with an economic prioritisation obtained by estimating the future costs of bridge damage caused by earthquakes. The scope of the project made it necessary for the costs to be obtained by approximate means. Even with more detailed analyses the imprecise underlying assumptions would have led to only approximate results.

For each bridge the hierarchy of damage was identified by using approximate structural analysis methods. Analyses generally comprised use of a computer model based on assumed elastic behaviour of the structure to identify the initial and subsequent points of yielding or failure. Assessment of bridge member capacities took account of recent research rather than being based on "design code" values.

The costs and times required to repair bridges, and to provide temporary replacement crossings where needed, were all important factors in estimating the direct and indirect costs of damage. All these items were estimated for each bridge, and this information formed the basis of an economic analysis. The results were expressed as an expected annual cost of damage for each bridge, together with a breakdown into cost components. A feature of the analyses was the very high effect of disruption to traffic on the indirect cost of damage to bridges, and the consequential need for this to be given prominence in the screening procedure. A list of bridges in priority order was produced on the basis of the expected annual cost of damage.

iii Comparison of Results

Correlation between the prioritisations obtained by applying the screening procedure and by applying the economic analysis was poor. Better correlation was obtained when the values of some of the factors within the screening procedure were adjusted to take account of influential items identified from the economic analysis. But close correlation was not obtained.

But the poor correlation was not surprising, in view of the necessarily approximate base data on which the economic analyses were founded. Investigation of poor correlations showed that six of the 10 bridges producing poor correlations were small and would have been excluded from assessment by the screening procedure. The other four anomalies were more difficult to eliminate by modification of the screening procedure alone. The poor correlation highlighted that the prioritisation process should include a review of the results of the screening, as an integral part, to take account of specific factors identified for individual bridges. Such a review should be undertaken by experienced seismic engineers in conjunction with geotechnical engineers and input from economists.

iv Improvements to the Proposed Preliminary Screening Procedure and Costs of Implementation

Changes were made to the Proposed Preliminary Screening Procedure as a result of testing and reviewers' comments on the draft reports. The Recommended Preliminary Screening Procedure was the result. The changes resulted in some simplification, and an increased effect of the loss of use of a bridge by accentuating the influence of traffic volume, detour length, bridge length and soil conditions.

The cost to apply the screening procedure to the 3000 bridges on the State Highways network is estimated to be between NZ\$1 million and NZ\$1.5 million. Between 10 000 and 15 000 hours work is estimated, about half of which would be provided by engineers with seismic or geotechnical

experience and economists. The other half would be provided by other technical and support staff.

6. Conclusions

• The Recommended Preliminary Screening Procedure will produce an acceptable screening of structures for more detailed assessment of their susceptibility to earthquake damage, albeit with some anomalies.

An attractive feature of the procedure is its simplicity of application.

To identify and rectify the anomalies an integral part of the procedure must be the review of the results by an experienced seismic engineer, with advice also from a geotechnical engineer and an economist.

• Site geotechnical information needed to assess the older bridges is likely to be scarce. The information is important as these bridges are of most interest in the review process for selecting appropriate site response spectra, and for assessing the probability of subsoil liquefaction.

7. Recommendations

It is recommended that the *Recommended Preliminary Screening Procedure* be adopted for use in identifying bridges on the state highway network for detailed assessment of their earthquake resistance.

It is desirable to screen all the bridge stock to obtain a prioritised ranking list before undertaking detailed seismic assessments. In practice it is likely that the prioritised lists will consist of closely graded groups of bridges, and it is important to begin assessments of those in the highest priority groupings before all bridges could be screened.

It is therefore recommended that the screening procedure be applied progressively within geographic areas selected on the basis of relative seismic hazard and traffic intensity. Convenient areas would be the Transit New Zealand regions. Within each geographic area the order of assessment of the bridges would be determined on the basis of factors which influence the screening prioritisation. These are the average daily traffic on the bridge, the bridge length, pier heights and number of spans, all of which are readily available from Transit New Zealand records. This would represent an imperfect but practical approach, which would enable the assessment effort to be applied to many, but not necessarily all, of the most appropriate bridges first.

A suggested list of regions, in decreasing order of priority, for application of the screening procedure is as follows (with the approximate number of state highway

bridges in each region): 9 (81), 8 (189), 3 (236), 6 (73), 7 (99), 10 (158), 4 (139), 5 (75), 12 (286), 2 (182), 11 (262), 13 (200), 1 (164), 14 (222).

8. Other Issues

It would be useful to have the relative benefit/cost ratios at the same time as the results of the proposed preliminary screening procedure. It would be appropriate to derive these for the bridges identified as being in the highest priority for detailed assessment, as an additional indicator of the order in which assessment should be undertaken. Such a ratio could only be produced quickly if it were based on approximate methods, and would itself be approximate. For the individual bridges judgements would be required of the cost and probability of damage, the cost of repairs, the time required for repairs, the effect of detours on traffic demand, the likelihood and extent of damage to detours etc. Estimation of a benefit/cost ratio could be included in the procedure as part of the "expert" appraisal after the secondary screening, but would add a significant time element to the overall process.

The Recommended Screening Procedure does not include the bridge approaches. A methodology for screening and assessment of embankments, whether at bridge approaches or at other locations on the highways, would require different criteria, and consideration should be given to its development. However, the likelihood of long term disruption of bridge use by seismic damage to the approaches is much lower than that caused by damage to the structures they serve because temporary access to the bridge is likely to be achievable in a relatively short time.

ABSTRACT

A preliminary screening procedure for the prioritisation of New Zealand State Highway bridges is presented. The procedure is designed to identify bridges which justify detailed assessment of their earthquake resistance. The derivation of the procedure is described and the source material is listed. The results of a pilot application carried out in 1994 of a preliminary version of the screening procedure are presented. The pilot application considered 29 bridges on State Highway 1 between Bulls and Wellington, North Island. The results from the screening procedure were compared with those from an economic analysis which used base data from an approximate structural assessment. The comparison led to some modifications being made to the preliminary procedures to produce the final version. Results of the comparison and details of the modifications are presented.

1. INTRODUCTION

Bridges form vital links in highway networks. If the links are broken by a major earthquake, serious disruption to the flow of relief services and other essential highway traffic is likely. The 10 500 km of state highways in New Zealand include some 3000 bridges, which is equivalent to a bridge every 3½ km. This represents a very high incidence of bridges by international standards. It makes New Zealand's transportation system particularly vulnerable to disruption should significant bridge damage occur on a major route as a result of an earthquake.

Highway authorities in seismically active countries are paying increasing attention to the assessment of the vulnerability of important highways to earthquake damage, and to the associated costs of disruption to the road users and the communities served by the highways. Procedures have been introduced, notably in Japan and the United States of America, by which the risks and consequences of damage to highway bridges in earthquakes can be assessed. For feasible and economically justifiable cases retrofitting programmes have been implemented to reduce the risk of serious bridge damage to an acceptable level.

In New Zealand no comprehensive formal procedures exist for the assessment of the risk of seismic damage to the highway bridges. Although the highway network can be seriously disrupted by slumping or by landslides in an earthquake, this type of damage can usually be repaired quickly to allow essential traffic services to be reinstated. On the other hand, partial or total collapse of a bridge cannot usually be repaired quickly, causing many weeks or months of disruption to essential traffic. Seismic risk assessment of a highway should therefore focus initially on the bridges. While the general public may accept relatively minor disruption caused by slumping and landslip, they would probably not accept the possible loss of life or the major disruption of highway routes as a result of bridge damage.

Recent reports by Works Consultancy Services Limited (WCS 1990a, b, 1991a, b, 1992) have been prepared for Transit New Zealand to investigate the seismic vulnerability of typical and specific highway bridges. These reports represent the initial steps taken to assess the risk of disruption of the state highway network because of potential damage to its bridges. They indicate that there are enough vulnerable structures on the state highway network to warrant implementing systematic seismic evaluation of all Transit New Zealand bridges. Such evaluation requires a three step process.

- i Using a preliminary screening procedure produce a list of bridges, in order of priority, that require detailed study to assess their seismic vulnerability and need for retrofitting.
- ii Identify bridges in that list which by detailed evaluation show retrofitting to be financially justifiable.

iii Design retrofit measures for the bridges identified in step ii. for which implementation is to proceed.

Assessment of the risk of seismic damage to highway bridges usually requires a significant amount of detailed investigation and analysis, and should therefore only be applied to bridges which justify such effort. An initial step in an assessment programme is to use a preliminary screening procedure to help identify which bridges need not be studied in detail, and to decide the order of priority of those which should be studied.

No preliminary screening procedure has been published for application specifically to New Zealand's bridges, and the purpose of this project was to develop, test, review and recommend such a procedure. This report describes the results of an investigation of existing screening procedures which are in use in other countries, and sets out a *Proposed Preliminary Screening Procedure* which was subsequently tested on 29 bridges on State Highway 1 between Bulls and Wellington. The results of the testing are reported, together with the modifications made to the Proposed Procedure as a consequence of the testing, and of reviewers' comments. Appendix 5 contains a *Recommended Preliminary Screening Procedure* for possible adoption by Transit New Zealand in its future programme of assessment of the vulnerability of highway bridges to damage by earthquakes.

2. PROJECT OBJECTIVE

The objective of this study was to develop a recommended preliminary screening procedure for use in deciding the priority order in which New Zealand highway bridges should be assessed for the risk of sustaining seismic damage.

3. REVIEW OF PRELIMINARY SCREENING PROCEDURES

3.1 Literature Review

The project included undertaking a literature search, in 1993, on the topic of seismic screening, prioritisation and retrofitting procedures. Thirty relevant references were selected and reviewed (Section 10). They provide very good coverage of the preliminary screening and prioritisation procedures which had been implemented by highway authorities in the United States of America (USA) and Japan since the mid-1980s, and particularly those adopted by the State of California Department of Transportation (Caltrans) – a world leader in the field of seismic retrofitting of bridges. Caltrans first implemented a comprehensive programme of screening, prioritising and retrofitting vulnerable bridges following the 1971 San Fernando earthquake in California which caused major damage to some modern bridge structures. The screening and prioritisation procedures adopted by Caltrans have been reviewed and progressively refined by them since that earthquake. The last review was completed in 1992 but information on more recent developments was obtained by correspondence.

The principal findings from the literature review were:

- 1. Procedures to identify and prioritise bridges in need of retrofitting had been established in many states in the United States of America, including California, Washington, Missouri, Nevada and New York. A number of other states had procedures currently under consideration.
- Guidelines for the seismic retrofitting for highway bridges, which include a preliminary screening and prioritisation procedure, have been published by the Applied Technology Council (ATC) with financial support from the United States Federal Highway Administration (FHWA).
 - 3. The Ministry of Construction in Japan has carried out a nationwide seismic inspection of highway bridges and has established a procedure for prioritising bridge structures in need of seismic retrofitting.
 - 4. The prioritisation procedures adopted by the highway authorities are all similar in that they require basic information to be obtained on:
 - The seismic hazard of the bridge and site;
 - The vulnerability of the bridge to earthquake damage; and
 - The importance of the bridge as a vital link in the transportation system.

Each of these three major variables is numerically rated with an index. The indices are arithmetically combined to give an overall seismic prioritisation grade which is used to rank the bridges in order of priority for detailed evaluation for retrofitting.

- 5. The formulae used by the highway authorities to arithmetically combine the individual indices of the three major variables to give an overall seismic prioritisation grade differed considerably. The indices were usually added or multiplied. The consensus appeared to show a preference for the multiplicative or semi-multiplicative methods because they generally achieve a greater spread of final grades and fewer inconsistencies. Some highway authorities also applied different weightings to each of the three major variables.
- 6. Only one highway authority included the cost of retrofitting in their initial screening and prioritisation procedures, and none took other economic considerations directly into account.
- 7. A number of references stressed the need to keep the preliminary screening and prioritisation procedure simple. Then the basic information required could be obtained and numerically rated by technical staff who did not need to be experienced in evaluating the seismic response of bridges in earthquakes, damage assessment or retrofit design. It was considered that the initial stages of the screening procedures needed to be carried out by experienced general practitioners using clear straightforward guidelines for the numerical rating.
- 8. The broad consensus of opinion among bridge design experts was that the lack of adequate direct or indirect connections between superstructure components exposed a bridge to the greatest probability of span collapse in the event of a significant earthquake. Any retrofitting programme should therefore initially focus on correcting this serious structural deficiency.
- The New York and Illinois procedures included consideration of both the soil amplification and soil liquefaction potential of the bridge site, whereas the post-1992 Caltrans procedure considered only soil amplification effects. The ATC, Washington, and pre-1992 Caltrans procedures did not consider either soil amplification or soil liquefaction potential. The Washington and New York procedures also took into account the remaining life of the bridge.

3.2 Risk Identification and Prioritisation Procedures adopted in the United States of America and Japan

3.2.1 United States of America Procedures

Preliminary screening and prioritisation procedures have been either implemented or proposed in the states of California, Washington, Illinois, Nevada and New York.

The first comprehensive study was performed by the ATC under contract to the FHWA, and published as a set of guidelines for seismic retrofit in 1983 (ATC 1983). The guidelines were national in scope and compatible with the seismic performance categories used in the ATC/FHWA design criteria for new bridges. (Since completion of the 1993 literature search, and the subsequent development of the Recommended

Preliminary Screening Procedure described in this report, a revision of the 1983 Guidelines has been developed (Buckle 1995).)

Before 1983 Caltrans was implementing Phase I of its retrofit programme which focused on inadequate span seat lengths and on the installation of restrainers at piers and intermediate in-span hinges to interlink spans. In 1990 Caltrans developed a rating system for prioritising Phase II of its programme (column and footing retrofit), which quantified some of the more subjective items in the ATC/FHWA Guidelines. Both the ATC and the 1990 Caltrans Phase II rating systems added the indices for the major variables to give an overall grade. The weighted factors affecting probability of failure were added to the weighted factors affecting the consequences of failure.

In 1992 Caltrans adopted a revised rating system for Phase II of its retrofit programme. They considered that the additive approach could produce inconsistent evaluations of risk. Thus, in the revised procedures they adopted a semi-multiplicative or hybrid approach.

Other states followed California's lead in this area and proposed alternative rating systems for the preliminary screening and prioritisation of their bridges for retrofitting. These included Washington (Babaei and Hawkins 1991), Illinois (Cooling 1990), New York (Buckle 1990), Nevada and Missouri. Buckle (1991) reported significant differences, although all used importance, seismicity and vulnerability to determine a rank or priority index. For example, both ATC/FHWA and the pre-1992 Caltrans procedures added the weighted factors together to obtain an overall index. Washington multiplied unweighted factors to give the final result. The Illinois procedure was a hybrid in that fragility curves and probability-of-exceedance curves were used to obtain structural and ground vulnerability factors. These were combined statistically to obtain a bridge vulnerability factor which was then multiplied by an importance factor to obtain the overall "bridge score". The post-1992 Caltrans procedure was also a hybrid in that the importance and vulnerability variations had different weighted factors which were added then multiplied by the seismicity variable.

Both the proposed New York and Illinois procedures included the "worth" of the bridge in the final overall index. They defined worth as:

worth = (retrofit cost / replacement cost) x average daily traffic volume.

In other schemes the average daily traffic volume was included in the importance factor (either directly or indirectly) but this was the only scheme that addressed the cost to retrofit a bridge as a function of the cost of a new structure.

3.2.2 Japanese Procedures

Kawashima et al. (1992) reported that nationwide inspections of highway bridges with lengths longer than 15m was made by the Japan Ministry of Construction in 1971, 1976,

1979 and 1986. The 1986 inspection identified 30% of bridges in service as requiring some form of retrofitting.

In contrast to the ATC approach in the United States of America, the 1986 Japan Ministry of Construction prioritisation for highway bridges was based on a multiplicative procedure. Two points are notable regarding the Japanese approach:

- The intensity of ground shaking was not specifically included in the prioritisation scheme. Past earthquakes in Japan had indicated that the threshold of ground motion causing unacceptable damage was around 0.25g. Consequently, structures located in regions where the expected ground acceleration was less than 0.25g were considered not to be a high risk, and excluded from the prioritisation process. Bridges designed since 1980 were also excluded.
- The importance of a bridge structure to the social or survival needs of a community serviced by the bridge was not specifically evaluated in the prioritisation process, but presumably would be considered when the allocation of funding for retrofit was made.

The Japan Ministry of Construction prioritisation procedure was largely a vulnerability assessment of the bridge structure, based on the extensive records of damage to Japanese highway bridges following earthquakes. The majority of past bridge failures in Japan in earthquakes were associated with:

- Inadequate restraint of the superstructure to the supporting piers;
- Inadequate seat-length for the deck structures;
- Liquefaction of foundation soil; and
- Brittle failures of piers in cases where most of the main reinforcement terminated near the mid-height of the pier.

Two vulnerability indices were computed in the Japan Ministry of Construction prioritisation scheme. The first vulnerability index was designed to reflect:

- Increased seismic risk associated with an increased number of spans;
- Inadequate restraint across movement joints;
- Unusual bridge geometry;
- Presence of liquefiable soil; and
- General deficiencies in old design guidelines.

The second vulnerability index was designed to emphasise the deficiencies of the substructure, and in particular:

- The potential for brittle failure which could occur in piers constructed with most of their longitudinal reinforcement terminated at mid-height of the pier; and
- Substructures supported on timber, brick or masonry piers.

Evaluated bridges were ranked in one of the three categories (A, highest risk; B, moderate risk; or C, lowest risk) depending on the values of the two vulnerability indices. A sub-index was used within one of the two, and reflected the potential for brittle failure in piers in which a large proportion of the main reinforcement was terminated at about the mid-height of the pier.

4 DEVELOPMENT OF THE PROPOSED PROCEDURE FOR PRELIMINARY SEISMIC SCREENING AND PRIORITISATION OF NEW ZEALAND BRIDGES¹

4.1 Bridges Excluded by Preliminary Screening

Most of the established or proposed retrofit prioritisation procedures include preliminary screening to exclude bridges which have a low risk of catastrophic collapse during a severe earthquake. In New Zealand these include:

- Bridges designed to modern design codes (e.g. since the introduction in New Zealand of the Highway Bridge Design Brief in 1972 (MWD 1972));
- Single span bridges with monolithic abutments (4.4.2.3 vii.) or with spans well connected to sill-type abutments with adequate overlap rated zero in 4.4.2.3 iii.
- Multi-span bridges with *all* the following characteristics:
 - Three spans or fewer;
 - Structurally continuous spans, or spans interconnected with tight linkage bolts and with adequate overlap;
 - An overall bridge length-to-deck width ratio of 8 or less;
 - Less than 15 degrees skew;
 - Relatively balanced span arrangement with individual spans less than 30 metres in length;
 - Multi-column or slab-type piers less than 7 metres from the top of the foundation cap or footing to the underside of the superstructure;
 - Monolithic abutments or with spans which are well connected to sill-type abutments with adequate overlap; and
 - Foundations and abutments with little likelihood of failure through soil liquefaction or instability.

The Japanese initial screening procedures (3.2.2) exclude bridges which are unlikely to be exposed to peak ground accelerations larger than 0.25g. If these criteria were applied in New Zealand they would only exclude bridges in Northland and a small coastal area of Canterbury that were constructed at firm soil sites with relatively small seismic accelerations. Because of the small proportion of state highway bridges in Northland, many of which are on soft soils, and the small number of state highway bridges affected in Canterbury, it is recommended that no bridge in New Zealand should be excluded by preliminary screening based solely on the relative seismicity of the area.

Some details of the *Proposed* Procedure were subsequently modified, after the testing reported in Section 5. Modifications are noted in the following text, and the final *Recommended Preliminary Seismic Screening Procedure* is contained in Appendix 5.

4.2 Bridges without Connections between Superstructure Elements

The Californian experience has exposed a number of deficiencies in pre 1971 bridge designs, the most serious being the inadequate provision of connections between superstructure elements, and in particular at movement joints within spans. This has been overcome through retrofitting. Typical methods used were to add restraining cables or rods at piers and hinges and to add shear keys at abutments and bearings.

The number of state highway bridges in New Zealand which have no connections, direct or indirect, between superstructure elements are relatively few. This is primarily because a design requirement was introduced by the Public Works Department in 1933 (PWD 1933) following the 1931 Napier Earthquake. It stated "wherever possible the structures should be made monolithic, and where this is not possible all parts of the structure should be well tied together". In 1956 the Ministry of Works published the Bridge Manual (MOW 1956) which was largely based on the then current Standard Specification for Highway Bridges issued by the American Association of State Highway Officials (AASHO). Unfortunately, a specific requirement to provide connections between superstructure elements was not included in the Bridge Manual, even though it was considered good practice to do so at the time. Specific design requirements for connections between superstructure elements were again included in the 1972 issue of the Ministry of Works and Development (MWD) Design Brief (MWD 1972), and are retained in the Transit New Zealand Bridge Manual (hereafter referred to as "Bridge Manual") 1994.

Since the 1972 issue of the MWD Design Brief, bridge design engineers have recognised that bridges which have no connections between superstructure elements need to be retrofitted to reduce the risk of span collapse in an earthquake. Although the former National Roads Board (NRB) had no specific policy to retrofit bridges with inadequate connections, many bridges were in fact retrofitted during the 1970s and 1980s as part of the routine inspection and maintenance of state highway bridges carried out by the MWD. In 1991 Works Consultancy Services Limited examined state highway bridges in the zone of highest seismic risk, as defined in the Bridge Manual, with the purpose of identifying bridges which had no connections across intermediate superstructure hinges, or with existing connections which would require strengthening in order to comply with current code requirements (WCS 1991a). Eight bridges were identified in each of the above two categories. The report covered bridges only in the zone of highest seismic risk, with unconnected intermediate superstructure hinges, and did not include spans unconnected at piers.

The lack of connection between segments of a bridge superstructure is one deficiency which is readily improved by retrofitting. Interconnecting the segments of a bridge superstructure is usually inexpensive and has the advantage that it can partially alleviate the seriousness of other deficiencies. For example, bridges with single column piers are more vulnerable when structure segments are not connected.

4.2.1 Recommendation

It is recommended that bridges which have no connections between superstructure components (those with simply supported spans over intermediate piers, and across intermediate hinges within spans) should be identified as having first priority for consideration for retrofitting. They should be considered separately from bridges with other types of deficiencies, for the following reasons:

- The risk of span collapse in these bridges in even a modest earthquake is relatively high;
- The total number of such bridges is small; and
- The benefit of increased security of the bridges relative to the cost of retrofit.

On the basis that the number of bridges without connections is likely to be less than 100, it is recommended that simple prioritisation procedure be adopted, based on the annual average daily traffic count (AADT) and on the applicable seismic Zone Factor Z^2 specified in the Bridge Manual, with the following priority order:

- 1. Bridges with AADT exceeding 2500 vpd, with a seismic Zone Factor Z = 0.8
- 2. Bridges with AADT less than 2500 vpd, with a seismic Zone Factor Z = 0.8
- 3. Bridges with AADT exceeding 2500 vpd, with a seismic Zone Factor Z < 0.8
- 4. Bridges with AADT less than 2500 vpd, with a seismic Zone Factor Z < 0.8

4.3 Prioritisation of Bridges with other Structural Deficiencies

Well established procedures for the prioritisation of bridges for retrofitting are used in the United States of Amercia and Japan (Section 3.2). From those available, it is recommended that the procedure developed by Caltrans be selected and modified to suit New Zealand conditions, rather than developing an entirely new procedure from first principles. The Caltrans procedure is recommended for the following reasons:

 Caltrans has been implementing an active prioritisation and retrofit programme since 1972, and has developed and refined its procedures over a longer period than any other United States of America state highway authority.

The "earthquake resistant design" section of the Transit New Zealand Bridge Manual 1994 was revised in 1995. The revision includes changes to values of factors such as the Zone Factor Z (e.g. from 0.8 to 1.2 for the zone of highest seismic risk). While the original values of factor Z were used in the "Proposed Preliminary Screening Procedure", and in the testing of that procedure, the revised values have been adopted in the "Recommended Preliminary Screening Procedure" included as Appendix 5 to this report, and in other recommendations in this report, as appropriate.

- The standard designs for bridges developed by the New Zealand Public Works
 Department and the Ministry of Works are similar to the standard designs built
 in California prior to 1971.
- During the period 1943 to 1971 the New Zealand bridge design codes were based on the AASHO specifications. Consequently the structural proportions and details of non-standard New Zealand bridges built during this period tend to be similar to those of their contemporaries in the United States of America.
- Like New Zealand the relative seismicity of bridge sites throughout California ranges from very high to low.
- The 1994 Caltrans' formula for combining importance, seismicity and vulnerability factors forms a hybrid additive-multiplicative approach and overcomes some inconsistencies in prioritisation which arise when purely additive or multiplicative procedures are used. Buckle, 1991, reported that multiplication, instead of addition, gave more emphasis to extreme values of each parameter and that this could distort the ranking procedure. It also amplified the uncertainty inherent in each factor in such a way that the error in the overall index was significantly higher than would otherwise be the case if the same factors were added. In comparison, additive methods could be insensitive, leading to difficulty separating a large group of bridges with average scores. This is partially overcome by using unequal weighting factors, as was used in the additive elements of the Caltrans approach.
- The importance, seismicity and vulnerability factors in the Caltrans procedures can be readily evaluated by non-specialist bridge design or inspection engineers with the aid of comprehensive guidelines. Subsequent testing (Section 5) has shown it is important that an experienced seismic engineer reviews the results of the initial evaluation before final conclusions on the prioritisation are drawn.

The details of the Caltrans procedures and commentary on its development are described by Gilbert (1993) in a paper entitled "Developments in Seismic Prioritization of Bridges in California" (Appendix 1). Discussion with Gilbert indicates that the weightings included in the procedure – for example the 60% to 40% values for impact and vulnerability in the second level additive elements – were derived by canvassing the opinions of a cross section of well-informed specialists in the fields of seismic and bridge/structural engineering, rather than by statistical methods. It must be accepted that any prioritisation procedure of the type being discussed will depend on the subjective judgement of such specialists. For this reason using the Caltrans model as a starting point is advantageous as California has a larger pool of available specialists.

Adaptation for New Zealand conditions needed specialist local knowledge. The procedures being developed were reviewed by New Zealand specialists and were trialed on a limited section of state highway (Section 5). As a result of the trial application in

New Zealand changes were made to the Proposed Screening Procedures (Section 6) in developing the Recommended Preliminary Screening Procedures (Appendix 5).

Caltrans consider that the impact (importance) factor is more important than the vulnerability factor. The impact (importance) factor relates to the direct and consequent costs to the road user should the bridge become unserviceable after an earthquake, and the vulnerability factor indirectly relates to the degree of structural deficiency and hence to the financial cost of retrofitting to correct those deficiencies. This viewpoint has been supported in New Zealand by the results of the testing (Section 5), and the relative weighting factors have been adopted. Even so, subsequent adjustments can readily be made, if required, without compromising the overall procedure.

4.4 Proposed Preliminary Screening Procedure for New Zealand Bridges, based on Modified Caltrans Procedures

4.4.1 General

Prioritisation of seismically vulnerable bridges must be carried out efficiently and with a minimum of effort. The first step in the process is to document basic data about each bridge on the highway system. This information and the results of the seismic prioritisation grading should be concisely organised and incorporated into the bridge records. General engineering staff familiar with the structures within each Transit New Zealand region should undertake the compilation and most of the grading work to ensure consistency within each region. Standardisation of the procedure should achieve consistency nationally. A form for collecting and recording the information should be completed and added to the bridge records. The basic data, which will normally be available by accessing existing databases, should include details of the bridge recorded on the descriptive inventory; construction drawings; and inspection records.

If records are incomplete, the local knowledge of the staff involved should enable the work to be completed without a site visit. However, if the staff are not familiar with the structure, they should visit the site to ensure that the drawings include all post-construction modifications – for example foundation strengthening or span interconnections.

4.4.2 Seismic Prioritisation Grading System (SPGS) for New Zealand State Highways Bridges

Numerical ratings provide a systematic way of considering the major variables involved in a decision. The multi-attribute decision procedure used by Caltrans (Appendix 1) forms the basis of the proposed SPGS. A terminology has been proposed for the SPGS that is familiar to Transit New Zealand staff and its consultants. The terminology differs from that adopted by Caltrans (Table 4.1).

Table 4.1 Comparison of terminology used in SPGS for New Zealand bridges, and by Caltrans for Californian bridges.

SPGS	Caltrans		
Seismic Prioritisation Grade (SPG)	Prioritisation Rating		
Variable Hazard Importance Vulnerability	Criteria Seismic Activity, Hazard Impact Vulnerability		
Variable Index	Criteria (rating)		
Attribute	Attribute		
Attribute Weight	Attribute Weight		
Attribute Rating	Global Utility Function Value		
Importance	Impact		

The SPGS terminology will be used in this report unless stated otherwise.

The seismic activity criterion used by Caltrans allows earthquake fault activity to be taken into account. This variable has been considered by Caltrans only since the recent availability of a fault activity map for California. As similarly detailed information will not be available for some time for New Zealand faults, the fault activity cannot be specifically considered in the SPGS for New Zealand.

The proposed preliminary screening procedure includes only three main variables:

- Hazard (seismicity at the bridge site and other hazards affecting the bridge structure);
- Importance of the bridge; and
- Vulnerability of the bridge structure.

In the proposed SPGS procedure each *variable* is assigned an index, which is the sum of the weighted values of *attribute* rating for that variable. The indices are then combined to give the *Seismic Prioritisation Grade* (SPG). Each attribute is assigned a relative weight based on the attribute's significance in determining the Index. A prioritisation grading sheet included in Figure 4.1 illustrates the structure of the procedure. For comparison, Figure 4.1 also includes a reproduction of Figure 2 from Gilbert (1993) (Appendix 1), and summarises the criteria and attribute details used in the Caltrans procedure.

Figure 4.1 Comparison of elements of Proposed SPGS with those used in Caltrans procedures

	Proposed SPGS		Caltrans
PRIORITISATION GRADING SHEET	, (Refer to Manual for derivation of Rating values)	GLOB	GLOBAL UTILITY FUNCTION DEFINITIONS
HIGHWAY ROUTE POSITION BRIDGE NAME		ACTIVITY CRITERION Seismic Activity	1.00* (0.25 = low; 0.50 = moderate; 0.75 = active; 1.00 = high)
Hazard Index Peak Ground Acceleration Rating Remaining Service Life Rating Soil Condition Rating	Weighting = x 0.4 = = x 0.3 = = x 0.15 =	HAZARD CRITERION Soil Conditions Peak Rock Acceleration Seismic Duration	0.33* (1 = hgh risk zone; else 0) 0.38* (linear, normalised to 0.7g) 0.29* (0.5 = short; 0.75 = intermediate; 1 = long)
Risk of Liquefaction Rating	$\sum = \frac{x \ 0.15}{x \ 0.15} = $	IMPACT CRITERION ADT on Structure ADT Under/Over Structure	0.28*(parabola for a max ADT of 200000) 0.12* (see ADT above)
Importance Index AADT on Bridge Rating AADT under Bridge Rating Detour Length Rating	x 0.25 0.15 = 0.15 =	Detour Length Leased Air Space (Residential, Office) Leased Air Space	0.14*(linear, normalised to 100 miles) 0.15*(1=present; else 0) 0.07*(1=present; else 0)
Facility Crossed Kating Route Type on Bridge Rating Critical Utility Rating	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	(Farking, Morage) Rte Type on Bridge Critical Utility Facility Crossed	0.07*(1.0=interstate; 0.8=US, ST rte, or stream; 0.7=RR; 0.5=fed funded Co rte or city str; 0.2=non fed funded Co rte of city str; 0.0=fed land, ST land, other) 0.10*(1= present lefe 0) 0.7*(see Rte Tyre on Bridge)
Yuner annuy muex Year Designed Rating Superstructure Hinges Rating Superstructure Overlap Rating	= x 0.25 = x 0.08 = x 0.1	VULNERABILITY CRITERION Year Designed (Constructed)	0.25*(0.5=yr<1946; 1.0=1946 syrs 1971; 0.25=1972 s 1979; 0.0 =
Superstructure Lengin Kaung Pier Type Rating Skew Rating Abutment Type Rating Other Feature Rating	llity In	Hinges (Drop Type Failure) Outriggers, Shared Column Bent Redundancy Skew	0.165* (0.0=no hinge; 0.5=1 hinge; 1.0=2 or more hinge) 0.22*(1=present; else 0) 0.165*(0.0=no col; 0.25=pier walls; 0.5 multi-col bents; 1.0=single col bents) 0.12*(linear, normalised to 90)
Seismic Prioritisation Grade	= Hazard Index x [(0.6 x Importance Index) + (0.4 x Vulnerability Index)] = $x [(0.6 x) + (0.04 x)]$ = $x [(0.6 x)]$	Aoument Lype	0.08*(V= monolithic; 1=nonmonolithic) . Figure 3
Prepared by: Checked by:	Date		

4.4.2.1 Hazard index

The *Hazard Index* reflects the seismicity and site risks for a particular bridge site and is based on the following attributes:

- Peak Ground Acceleration
- Remaining Service Life
- Soil Condition
- Risk of Liquefaction

The attributes adopted depart significantly from those used by Caltrans because of:

- The unavailability of detailed seismicity information in New Zealand;
- The desire to keep the procedure simple;
- The significance of the bridge structure's present age and likelihood of a damaging seismic event occurring in its remaining life;
- The potential for ground instability in the vicinity of the structure.

In view of this departure the index determination has so far only been used during the testing reported in Section 5.

Each of the attributes is discussed in detail below.

(i) Peak Ground Acceleration attribute

The *Peak Ground Acceleration* attribute has been adopted to reflect peak rock acceleration, seismic duration (because experience has shown that the duration of an earthquake event has a significant effect to the level of damage) and the frequency of seismic activity. Site-specific data on each of these characteristics are not presently available in New Zealand and are unlikely to be available for some time. The Zone Factor (Z), defined in the Bridge Manual (1994), was developed with consideration of all these characteristics, albeit in a qualitative manner. It is therefore efficient and appropriate to base this attribute on the Zone Factor which ranges from 0.4 to 0.8 (now 0.6 to 1.2)³, to reflect the variation of seismic risk within New Zealand. For rating this attribute a linear relationship is proposed normalised to a Zone Factor of 0.8 (now 1.2),³

Peak Ground Acceleration rating is:

 $= Z / 0.8 \pmod{Z / 1.2}^3$

As the value of Z can range from 0.4 to 0.8 (now 0.6 to 1.2)³ the *Peak Ground Acceleration* rating can range between 0.5 and 1.

³ see footnote to Section 4.2.1

As this attribute is considered the most significant for this variable it has been assigned a 40% weighting. This differs significantly from the 67% weighting (Peak Rock Acceleration plus Seismic Duration) used by Caltrans, but reflects the fact that three extra attributes are included within the SPGS *Hazard* variable compared with those included in the Caltrans procedure (Figure 4.1).

(ii) Remaining Service Life attribute

The Remaining Service Life attribute has been adopted to reflect the likelihood of a damaging seismic event occurring within the remaining service life of a bridge. This attribute will ensure that appropriate consideration is given to effective use of Transit New Zealand's financial resources.

For rating this attribute a simple step function has been adopted. In assigning the rating value the Risk Factor, R, defined in the Bridge Manual (1994), was used, and is equivalent to the value for events with approximately a 25% probability of exceedance within the remaining service life of the structure.

Remaining Service Life rating is:

- = 1.0 greater than 50 years of remaining service life
- = 0.7 remaining service life from 25 to 50 years
- = 0.5 less than 25 years of remaining service life

As this attribute is considered significant for the Hazard variable it has been assigned a 30% weighting. The total weighting for this attribute plus the Peak Ground Acceleration attribute is 70%, and compares closely with the Caltrans value of 67% for the Peak Rock Acceleration plus Seismic Duration (Figure 4.1).

(iii) Soil Condition attribute

Experience has shown that the degree of flexibility of subsoils can have a significant effect on the level of damage that can occur in an earthquake. This was particularly evident in the Loma Prieta earthquake of October 1989. It is therefore appropriate to reflect this effect in the SPGS. To ensure consistency in interpretation of the soil type, the definitions of subsoil categories in the Bridge Manual (1994) have been adopted. For the Proposed Preliminary Screening Procedure two categories of subsoil flexibility applied, but since revision of the "Earthquake Resistant Design" section of the Bridge Manual, the three defined categories have been adopted and are included in Appendix 5.

It is recommended that Transit New Zealand should encourage those applying the SPGS to use the advice of a geotechnical engineer or geologist when completing this part of the procedure.

For rating this attribute a simple step function has been adopted. To assign the rating values, consideration was given to the availability of information (in California hazardous soil site maps are available), the skills of the staff completing the SPGS and the weighting of this attribute.

Soil Condition rating is:

- = 1.0 Flexible soil or "Don't know"
- = 0 Normal soil

This attribute has been assigned a 15% weighting. Although this weighting is significantly different from that used by Caltrans (33%), when combined with the risk of liquefaction attribute (of 15%), the total weighting of 30% compares closely.

(iv) Risk of Liquefaction attribute

Although several different types of ground instabilities might affect a bridge, liquefaction is the most significant. The effect of liquefaction has been well illustrated by past bridge failures during earthquakes overseas – for example in Alaska and Chile.

For rating this attribute a simple step function has been adopted. The attribute rating is based on a qualitative assessment of the risk of liquefaction, which will necessarily require subjective judgement where detailed site investigation results are not available. It is recommended that Transit New Zealand should encourage those undertaking the SPGS to use the advice of a geotechnical engineer or geologist when completing this part of the procedure. The following definitions for risk of liquefaction are proposed:

- High Risk of Liquefaction soils which underlie abutment fills or footings, or provide lateral support to piles, and which generally comprise saturated medium-dense-to-loose sands, silty sands and non-plastic silts.
- Low Risk of Liquefaction all other soil types

Risk of Liquefaction rating is:

- = 1.0 High risk of Liquefaction or "Don't know"
- = 0 Low risk of Liquefaction

This attribute has been assigned a 15% weighting.

Summary

The Hazard Index is the sum of:

Weighting		Attribute Rating		Weighted Rating
0.40	x	Peak Ground Acceleration rating	-	
0.30	X	Remaining Service Life rating		
0.15	X	Soil Condition rating	=	
0.15	x	Risk of Liquefaction rating	-	
		TOTAL	=	Hazard Index

4.4.2.2 Importance Index

The *Importance Index* utilises six attributes to assess and reflect the consequences of bridge damage including public safety, the recognition that bridges form a vital link, and the socioeconomic impacts and effects on road users. The attributes adopted include:

- Annual Average Daily Traffic Count (AADT) on Bridge
- AADT under Bridge
- Detour Length
- Facility Crossed
- Route Type on Bridge
- Critical Utility

The attributes adopted compare closely with those used by Caltrans but slight modifications have been made to more closely reflect the characteristics of New Zealand's transportation system and its usage, and the available information. Each of the attributes is discussed in detail below.

(i) AADT on Bridge attribute

The AADT on Bridge attribute has been adopted to reflect directly the traffic use and hence the traffic disruption, should damage occur. As this procedure is designed for state highway and motorway bridges, cycle and pedestrian use is usually low and traffic use is the appropriate indicator. The AADT is a measure of state highway use that is readily available and has been compiled in a consistent manner. The total AADT on the bridge is therefore an appropriate and convenient measure for use in the SPGS.

For rating this attribute a parabolic relationship is proposed based on a maximum AADT of 20 000.

AADT on Bridge rating is:

$$= \sqrt{[(AADT)/20\ 000]} \le 1$$

The maximum AADT is significantly less than that used by Caltrans but is considered appropriate for New Zealand.

This attribute has been assigned a 25% weighting, which is consistent with that used by Caltrans. (The rating and weighting have been changed for the Recommended Preliminary Screening Procedure by omitting the square root, relating to a base AADT of 30 000, combining with the Detour Length attribute, and assigning a 50% weighting.)

(ii) AADT under Bridge attribute

The AADT under Bridge attribute reflects the traffic disruption in the vicinity of the bridge should that bridge fail. The other traffic users may or may not be on a state highway or motorway and the total AADT affected is to be used.

For rating the attribute a parabolic relationship is proposed based on a maximum AADT of 20,000. (This was changed for the Recommended Preliminary Screening Procedure by omitting the square root and relating to a base AADT of 30 000.)

The AADT under Bridge rating is:

$$= \sqrt{[(AADT)/20\ 000]} \le 1$$

$$= 1 \quad \text{when a state highway or motorway}$$
bridge crosses a railway line.

This attribute has been assigned a 15% weighting, which is consistent with that used by Caltrans. In adopting this weighting a comparison was made to reflect a sensible balance of risk between this attribute and that of (iv) *Facility Crossed* (below). (The weighting was changed to 10% for the Recommended Preliminary Screening Procedure.)

(iii) Detour Length attribute

The *Detour Length* attribute has been adopted to reflect the level of inconvenience caused by the loss of a bridge. The basis of this attribute is the "extra distance travelled" (EDT). To assess this, consideration will probably need to be given to the "origin" and "destination" of the traffic, the condition of the detour route and its ability to accommodate the traffic use, and the likelihood that the detour route itself to have survived the seismic event. Consideration of these items is necessarily subject to considerable qualitative judgement.

For rating this attribute a linear relationship normalised to 100 km is proposed.

The *Detour Length* rating is:

 $= (EDT)/100 \leq 1$

This attribute has been assigned a 15% weighting which is consistent with that used by Caltrans. (The weighting has been changed for the Recommended Preliminary Screening Procedure by combining with the AADT on Bridge attribute, and assigning a 50% weighting.)

(iv) Facility Crossed attribute

The Facility Crossed attribute has been adopted to reflect the potential for loss of life beneath the bridge, property damage and individual or business financial losses. In assessing the width of the affected land, 2 x height of structure above the ground plus the width of the structure should be adopted. This attribute is equivalent to the combined Caltrans Leased Air Space attributes.

For rating this attribute a simple step function has been adopted.

Facility Crossed rating is:

- = 1.0 where residential, commercial or industrial facilities would be affected by collapse;
- = 0.5 where parking, storage facilities or railway facilities would be affected by collapse;
- = 0 other uses

This attribute has been assigned a 20% weighting which is consistent with that used by Caltrans. In adopting this weighting a comparison was made to reflect a sensible balance of risk between this attribute and that of (ii) AADT under Bridge (above). (The weighting was changed to 15% for the Recommended Preliminary Screening Procedure.)

(v) Route Type on Bridge attribute

The Route Type on Bridge attribute was adopted to directly reflect the importance of the route as a national traffic lifeline. To reflect Transit New Zealand's responsibilities it is appropriate to apply the national rather than local importance of the route. The categories of relative importance of highways, listed in Section 5 of the Bridge Manual (1994), have been adopted as the basis for this attribute.

For rating this attribute a simple step function has been adopted.

Route Type on Bridge rating is:

- = 1.0 Bridges carrying more than 2500 vpd Bridges carrying motorways Bridges on State Highways No: 1, 2, 3, 3A, 4, 5, 6, 8, 8A
- = 0.8 Bridges carrying between 250 and 2500 vpd Bridges on State Highways not listed above
- = 0.6 Bridges carrying less than 250 vpd Non-permanent bridges

The attribute has been assigned a 15% weighting. This weighting is higher than that used by Caltrans but reflects the low occurrence of a state highway (or motorway) bridge crossing another state highway (or motorway) in New Zealand; and the level of Transit New Zealand's responsibility for the transportation system.

(vi) Critical Utility attribute

The *Critical Utility* attribute has been adopted to reflect the importance of the other services that are carried on the bridge and would be disrupted should the bridge collapse. The services that are to be considered in rating this attribute include: water supply, sewerage, electricity, gas and telephone

(For the Recommended Preliminary Screening Procedure only water supply, sewerage and gas, in pipes of 150 mm or greater internal diameter, are considered for this attribute.)

Should any of these utilities be carried on a bridge then a high rating should be given. However, some state highway bridges may carry utilities that service only a small population. In these cases it may be appropriate to check with the utility authority whether temporary disruption to these would be critical or not, and rate the attribute accordingly.

For rating this attribute a simple step function has been adopted.

Critical Utility rating is:

- = 1.0 critical utility is carried on the bridge
- = 0 critical utility is not carried on the bridge

This attribute has been assigned a 10% weighting which is consistent with that used by Caltrans.

Summary

The *Importance Index* is the sum of:

Weighting		Attribute Rating		Weighted Rating
0.25	X	AADT on Bridge rating	=	
0.15	x	AADT under Bridge rating	=	
0.15	X	Detour Length rating		
0.20	x	Facility Crossed rating	=	
0.15	х	Route Type on Bridge rating	=	
0.10	X	Critical Utility rating	=	
		TOTAL	=	Importance Index

4.4.2.3 Vulnerability Index

The *Vulnerability Index* utilises eight attributes to define and reflect structural details which have a potential for damage. Hence the Index also reflects the potential cost of retrofitting a bridge. These attributes have been based on the experience gained from past performance of bridges in earthquakes and allows for the interaction of structural components. The attributes adopted in the SPGS include:

- Year Designed
- Superstructure Hinges
- Superstructure Overlap on Supports
- Superstructure Length
- Pier Type
- Skew
- Abutment Type
- Other Feature

The attributes adopted generally align with, but differ in some ways from, those used by Caltrans.

As the outrigger/shared column structural form has not been used in New Zealand this attribute, used by Caltrans, is not relevant to the SPGS and has essentially been replaced with the *Other Feature* attribute. The *Other Feature* attribute allows the assessor the discretion to identify the presence of a vulnerable feature, whether this is an abutment/approach instability (other than liquefaction), bearing details, diaphragms, inadequate linkages or the general bridge condition.

In the SPGS greater emphasis has been placed on the general "looseness" of the superstructure relative to its supports. This is reflected in the *Hinges, Overlap* and *Length* attributes for the superstructure, because a "loose" bridge allows greater relative movement during an earthquake and is therefore more likely to suffer a "drop" type failure.

Because bridge details have important effects on the performance of the structure during an earthquake the assessor will need to access the original structure drawings (preferably As-Built revisions) and to have a knowledge of the structural modifications that have been made since construction. The assessor will also need to have, or be able to call upon, an advisor with some experience of how structures will respond in an earthquake.

Each of the attributes adopted to determine this index is discussed in detail below.

(i) Year Designed Attribute

The Year Designed attribute reflects the main stages in the development of seismic design and detailing. Experience has shown that structure performance and hence the level of damage in a seismic event is strongly dependent on the overall design philosophy and on the design of individual elements. In New Zealand the main code changes occurred in 1933 (following the Napier earthquake), and in 1972 when the Highway Bridge Design Brief (MWD 1972) was issued. The distinction between year designed and year constructed must be recognised, so the year designed is to be used.

For rating this attribute a simple step function has been adopted.

Year Designed rating is:

- = 1.0 Bridge designed before 1933
- = 0.5 Bridge designed in the years 1933-1972
- = 0 Bridge designed after 1972

The 1956 Bridge Manual (MOW 1956) did not contain the requirement for linkages between superstructure elements that was included in the 1933 design instruction but this potential structural shortcoming is covered specifically by the initial bridge screening (see Section 4.2).

This attribute is considered the most significant for this variable and has been assigned a 25% weighting. This is consistent with that used by Caltrans.

(ii) Superstructure Hinges attribute

The Superstructure Hinges attribute refers specifically to in-span hinged or movement joints within the main longitudinal load-bearing structural members. It accounts for the

potential "drop type" failure during earthquakes which experience has shown is a common potential problem with this detail.

This attribute excludes stepped seatings which commonly exist at piers or abutments, as these are specifically covered in the (iii) *Superstructure Overlap* attribute (below). It also excludes articulated deck slabs with continuous longitudinal reinforcing steel passing through the "hinges".

The number of hinges is the total for all spans of a bridge. For rating this attribute a simple step function has been adopted.

Superstructure Hinges rating is:

- = 1.0 if there are 2 hinges or more within a bridge superstructure
- = 0.5 if only one superstructure hinge is present
- = 0 if no superstructure hinges are present

This attribute has been assigned an 8% weighting. Comparison with the Caltrans value suggests this weighting is low, but when combined with the *Superstructure Overlap* and *Superstructure Length* attributes weightings, the "drop type" failure can be seen to have been emphasised.

(iii) Superstructure Overlap on Supports attribute

The Superstructure Overlap on Supports attribute has been adopted to reflect the potential "drop type" failure at piers or abutments, which experience has shown to be a potential problem during earthquakes. The attribute rating is based on the Minimum Overlap Requirements for the span/support overlap specified in the Bridge Manual (1994). The bearing overlap, also specified in the Bridge Manual, is not considered critical for the purposes of the SPGS.

As inter-span linkages are considered to provide low-cost insurance against loss of span support, it is appropriate to adopt a conservative approach to rating this attribute. The Bridge Manual overlap requirements are based on the assumption that the linkages have been designed to meet the requirements applicable to them, as also set out in the Bridge Manual. Such an assumption cannot be justified for an existing structure. For the situations where the linkage capacity is clearly undersized, significantly deteriorated or has an inadequate load path (e.g. if a holding-down bolt has inadequate lateral support from pier cap concrete), then a "no linkage" situation should be assumed and also a high rating should be given for the *Other Feature* attribute. In extreme circumstances the assessor has the discretion to withdraw the structure from the SPGS and prioritise it under the initial screening procedures (Section 4.2). For the situations where the linkage capacity is marginally inadequate then the choice of whether a linkage system is present or not will be at the assessor's discretion.

An intermediate category of linkage by "holding-down bolts in shear" has been adopted to recognise the lesser ductility available in this form of span/support linkage.

For rating this attribute a simple step function has been adopted. As a bridge may have different details, with different rating values, for different locations, the highest rating value should be used.

Superstructure Overlap on Supports rating is:

No linkage system or loose linkage system present:

- = 1.0 overlap less than 400 mm
- = 0 overlap 400 mm or more

Linkage comprising holding-down bolts in shear:

- = 1.0 overlap less than 300 mm
- = 0 overlap 300 mm or more

Tight tension linkage system present

- = 1.0 overlap less than 200 mm
- = 0 overlap 200 mm or more

This attribute has been assigned a 10% weighting. This weighting is slightly higher than that for the *Superstructure Hinge* attribute because hinges in bridges in the most seismically active areas of New Zealand have been identified and at least some have been retrofitted to make them more secure. For comments in comparison with the Caltrans procedure refer to the (ii) *Superstructure Hinges* attribute (above).

(iv) Superstructure Length attribute

The Superstructure Length attribute reflects:

- The higher risks of differential seismic responses with the longer structures;
- The diminished damping effect provided by the approach fills in the transverse direction with increased bridge length; and
- The greater potential for a "drop type" failure because longitudinal displacements of multiple simply supported spans may accumulate, possibly resulting in overlap provisions being exceeded.

For rating this attribute a simple step function has been adopted.

Superstructure Length rating is:

- = 1.0 bridge length exceeding 100 m
- = 0.5 bridge length from 50 m to 100 m
- = 0 bridge length less than 50 m

The attribute has been assigned a 7% weighting. For comments in comparison with the Caltrans procedure refer to the (ii) *Superstructure Hinges* attribute (above).

(For the Recommended Preliminary Screening Procedure 5 categories of superstructure length and a weighting of 12% have been adopted to reflect the results of testing reported in Section 5.)

(v) Pier Type attribute

The *Pier Type* attribute has been adopted to reflect the different seismic responses and the different degrees of reserve against sudden failure which are inherent in the typical structural forms used. Past performance of bridges during earthquakes has shown pier type to be a significant attribute and it is appropriate to include it in the SPGS.

For rating this attribute a simple step function has been adopted.

Pier Type rating is:

- = 1.0 single column
- = 0.5 multi column, or slab pier on pile foundation
- = 0.25 slab pier on spread footing foundation

The attribute has been assigned a 15% weighting and relates closely to that used by Caltrans (in which the term "Bent" is used instead of "Pier").

(vi) Skew attribute

The *Skew* attribute has been adopted to reflect the likely accumulation of eccentricity and torsional effects which may not have been fully allowed for in the original design. Past performance of bridges in seismic events has shown that bridge skews tend to be increased during strong earthquake shaking, and it is appropriate to include this attribute in the SPGS.

For rating this attribute a linear relationship is proposed normalised to 90°.

Skew rating is:

 $= \theta / 90 \le 1$

 θ = the angle in degrees between the perpendicular to the centreline of the roadway at each abutment, and the line of the backface of the abutment. If θ at each abutment differs, the greater value shall be used.

The attribute has been assigned a 10% weighting and this relates closely to that used by Caltrans. (The weighting was changed to 5% for the Recommended Preliminary Screening Procedure reported in Section 5.)

(vii) Abutment Type attribute

The Abutment Type attribute has been adopted to reflect that bridges with monolithic abutments perform very well in earthquakes, whereas those without them are more susceptible to damage. In this context a monolithic abutment is defined as one to which the superstructure is tightly linked, so that significant independent horizontal movement of the superstructure relative to the abutment during earthquake shaking is unlikely. To be considered as monolithic the abutment backwall must be in intimate contact with the approach fills over a depth at least equal to the depth of the superstructure, and over the full width of the main longitudinal members.

Abutment Type rating is:

- = 1.0 non-monolithic abutments
- = 0 monolithic abutments

The attribute has been assigned a 10% weighting, which relates closely to that used by Caltrans.

(viii) Other Feature attribute

The *Other Feature* attribute has been adopted to allow the assessor the discretion to reflect any other feature which is likely to make the bridge vulnerable to damage. It is expected that these other features will be different from the attributes used in the SPGS, except for linkages (refer iii *Superstructure Overlap* attribute (above)). At least the following features should be considered:

- Linkages (capacity, condition, ductile capability)
- Diaphragms (adequacy for second order effects)
- Bearings (susceptibility to damage)
- Standard of important details
- The overall general condition of the bridge

Approach stability (e.g. landslides that may be activated by a seismic event).
 Note that liquefaction is covered separately and should not be included in this attribute.

For rating this attribute, a value between 1.0 and 0 has been adopted based on the judged importance of the feature(s) identified.

Other Feature rating is:

- = 1.0 (maximum) if a vulnerable feature or features are present
- = 0 if a vulnerable feature is not present

This attribute has been assigned a 15% weighting.

Summary

The *Vulnerability Index* is the sum of:

Weighting		Attribute Rating		Weighted Rating
0.25	x	Year Designed rating	=	
0.08	x	Superstructure Hinges rating	=	
0.10	X	Superstructure Overlap rating	=	
0.07	x	Superstructure Length rating	=	
0.15	X	Pier Type rating	=	
0.10	x	Skew rating	=	
0.10	Х	Abutment Type rating	=	
0.15	X	Other Feature rating	-	
		TOTAL	=	Vulnerability Index

4.4.2.4 Seismic Prioritisation Grade (SPG)

To determine the *Seismic Prioritisation Grade* the weightings from the Caltrans procedure have been adopted for the Proposed Preliminary Screening Procedure.

Seismic Prioritisation Grade is:

 $SPG = Hazard\ Index\ x\ [0.6\ x\ (Importance\ Index) + 0.4\ x\ (Vulnerability\ Index)]$

As noted in Section 4.3 the relative weighting between the *Importance Index* and the *Vulnerability Index* can be readily amended. The testing reported in Section 5 presented an opportunity to investigate the sensitivity of the SPG to the relative weightings.

4.4.3 Bridge Ranking

The Seismic Prioritisation Grading System (SPGS) will provide for each bridge a Seismic Prioritisation Grade (SPG). The purpose of the SPG is to produce a preliminary ranking list of bridges which justify more detailed seismic assessments. This ranking will indicate the relative assessed risk of highway disruption and its consequences caused by seismic damage of the bridges surveyed.

The preliminary screening process outlined in Section 4.2 is intended to be used to identify the first priority bridges. These bridges would be afforded the highest overall ranking and, possibly, the highest priority for retrofit. It must be emphasised that before any decisions are made regarding the justification of physical retrofit works, more detailed seismic assessment of higher ranked structures on an individual basis will be necessary to more closely determine the feasibility and benefit/cost ratio of any retrofit work which may be identified as appropriate.

Transit New Zealand funding limitations and level of acceptable risk of highway disruption will determine the retrofitting programme. The vulnerability of bridge approaches is not addressed by this ranking procedure. The potential for the formation of a hazard to vehicles if the approach fill settles to expose the vertical face of the abutment backwall is also not addressed. The presence or absence on bridge drawings of approach settlement slabs should be noted when extracting structure details from the records. A decision on whether slabs should be installed may also then be appropriate as part of the retrofit decision at a later stage.

4.5 Summary and Implementation of the Proposed Preliminary Screening Procedure for New Zealand Bridges

The Proposed Preliminary Screening Procedure is summarised in Table 4.2.

Three forms were produced for use in implementing the Proposed Preliminary Screening Procedure. The forms are included as Appendix 4 to this report. As a result of the subsequent testing, reported in Section 5, the forms were modified. The modified versions are included in Appendix 5 to this report, as part of the Draft Manual for Implementing the Recommended Preliminary Screening Procedure.

Table 4.2 Summary of Proposed Preliminary Screening Procedure

1 Exclude:

- Designed post-1972
- Single spans with integral abutments or well connected/overlapped abutments (overlap rating = 0)
- Multi spans with all of:
 - Three spans or fewer
 - Continuous, or spans interconnected with tight linkage bolts
 - Overall bridge length-to-deck width ratio 8 or less
 - Skew angle less than 15 degrees
 - Span arrangement reasonably balanced, with no span exceeding 30 metres
 - All the piers of multi-column or slab form
 - All the piers of less than 7 metres high from the top of the foundation to the soffit of the superstructure
 - Bridge superstructure with monolithic abutments or a superstructure overlap rating of 0 when rated to the seismic screening procedures
 - Foundations and abutments founded with little likelihood of failure due to soil liquefaction or instability
- Primarily timber superstructure

2 First priority for assessment:

Bridges without connections between superstructure elements, in the following priority order:

- Bridges with AADT exceeding 2500 vpd in seismic Zone Factor Z = 0.8
- Bridges with AADT less than 2500 vpd in seismic Zone Factor Z = 0.8
- Bridges with AADT exceeding 2500 vpd with a seismic Zone Factor Z < 0.8
- Bridges with AADT less than 2500 vpd with a seismic Zone Factor Z < 0.8

3 Prioritisation of remaining bridge stock by deriving the Seismic Prioritisation Grading (SPG):

•	Hazard	Hazard Index = sum of:	
	Peak ground acceleration	[Z/0.8]	x 0.4
	Remaining service life	[<25 yrs 25-50yrs>50yrs] 0.5 0.7 1.0	x 0.3
	Soil condition	[flexible or "don't know"; normal] 1.0 0	x 0.15
	Risk of liquefaction	[high risk or "don't know"; low risk]	x 0.15

Table 4.2 (Continued)

Importance	Importance Index $=$ sum of:
AADT count on bridge	$[(\sqrt{AADT/20\ 000}) \le 1]$ x
AADT count under bridge	$[(\sqrt{AADT/20\ 000}) \le 1$, but = 1 if over r'w x
Detour length	[extra distance travelled/100 \leq 1] x
Facility crossed	[resid, commerc, indust, 1.0] [parking, storage, railway 0.5] [other uses 0]
Route type on bridge	[AADT > 2500 vpd, m/ways, main SH's 1
	[AADT 250-2500 vpd, secondary SH's 0.8] [AADT < 250 vpd, non-perm bridges 0.6]
Critical utility	[utility carried 1.0] (utility not carried 0]
Vulnerability	Vulnerability Index = sum of:
Year designed	[pre-1933 1933-1972 post-1972] 1.0 0.5 0 x
Superstructure hinges in spans	[>2 1 none] 1.0 0.5 0 x
Superstructure o'lap at supports	[no link or loose link: o'lap $< 400 \ 1.0$] o'lap $\ge 400 \ 0$]
	[HD bolts in shear: o'lap < 300 1.0]
	[tight tension linkage: $o'lap \ge 300 0$] o'lap < 200 1.0] $o'lap \ge 200 0$]
Superstructure length	[>100m 50-100m <50m] 1.0 0.5 0 x
Pier type	[single column 1.0] x [multi col, or slab pier on piles 0.5] [slab pier on spread footing 0.25]
Skew	[skew angle/90]
Abutment type	[non-monolithic monolithic] 1.0 0
Other feature	[feature present not present] 1.0 0 x
SPG = Hazard Index x [0.6 x Importa	ance Index + 0.4 x Vulnerability Index]

5. TESTING OF PROPOSED PRELIMINARY SCREENING PROCEDURE

5.1 Project Tasks

The bridge inventory for SH 1 between Bulls and Wellington lists 36 road bridges. Initially all listed bridges were to be included in the pilot study but the Ngauranga interchange structures are of recent design and the Thorndon overbridge is a special case which would not be appropriate for a screening procedure such as this. These bridges were omitted. Since the structures north of the Ngauranga interchange bridges are most representative of the bridges on the state highway network, it was decided the detailed appraisal should include 31 bridges comprising all the structures from Bulls to the Johnsonville access structures. Details of two small bridges south of Bulls were unavailable and were omitted resulting in 29 bridges being appraised in the project.

The research fell into four task areas.

Task 1 Assemble Information and Undertake Preliminary Seismic Screening

- Task 1.1: Obtain details of structures, site investigations, traffic intensities and detour routes.
- Task 1.2: Apply the Proposed Preliminary Screening Procedure described in Section 4.
- Task 1.3: Summarise the results of applying the Proposed Procedure.

Task 2 Detailed Assessment of Bridges

- Task 2.1: For all the bridges included in the pilot assessment determine the critical points in each structure for stability, strength, ductility and displacement. Determine the threshold response acceleration(s) above which significant damage would be caused and appropriate approximate response spectra. Take account (to the extent that available information held in the bridge records allows) of the effects of ground liquefaction or lateral spreading on the abutments and/or piers and assess if they are likely to be potential problems.
- Task 2.2: Determine the probability of significant structural damage occurring within a time span of 50 years and estimate both the direct and indirect costs of seismic damage.
- Task 2.3: Compare the results of the seismic assessments undertaken in Task 2.1 and Task 2.2 with those of the Proposed Preliminary Screening Procedure in Task 1, and determine whether:
 - The procedure identifies bridges that warrant more detailed assessment; and
 - The procedure prioritises structures satisfactorily?

Task 2.4: Identify improvements which could be made to the Proposed Preliminary Screening Procedure and estimate the time and costs required to apply the screening procedure to the state highway system.

Task 3 Reporting

Prepare a report describing in detail the work undertaken, the results obtained and such conclusions and recommendations as may be appropriate.

Other Tasks

Investigate the effects of alternative ways of calculating the Seismic Performance Grading as suggested during discussions on the development of the screening procedure with the Project Review Committee.

5.2 Procedures

5.2.1 Task 1 – Assemble Information and Undertake Preliminary Seismic Screening

5.2.1.1 Structural information (Task 1.1)

Information on each bridge was assembled by obtaining copies of the detailed structural drawings held in the offices of Works Consultancy Services Limited. Drawings could not be obtained for the two bridges at Route Positions 850/5.67 and 5.68, both identified as Makowai No.2 and with spans of 6 m. Their omission from the appraisal is not considered significant.

It was intended to obtain site information from bores to better evaluate the *Soil Condition* and *Liquefaction Risk* attributes but available site information was generally limited to what was included on the drawings. As a result the survey recorded "Don't Know" for the majority of the structures for these attributes. For bridges south of Porirua there is more confidence in the quality of the foundation subsoils because the bridges are grade separating structures rather than stream crossings.

Each bridge site was visited to ensure that the drawings held represented the current structures. This was a valuable exercise and should always be a part of the assessment process. The benefit from such a visit is illustrated, for example, by the discovery that the drawings initially obtained for the Rangitikei River bridge at Bulls did not show the underpinning of the piers and the retrofit of the in-span hinges that had been carried out 25 years after the bridge was built. Further searching located more drawings under a separate records number. More generally, a site visit allows the assessor to develop a better feel for the structure when selecting values for some of the more subjective attributes of the screening procedure, such as *Other Features*, and to identify important characteristics which may not be obvious from the drawings.

5.2.1.2 Information on traffic volumes (Task 1.1)

Traffic information was obtained from Transit New Zealand records, and comprised the values of Average Annual Daily Traffic (AADT) counts for reference stations along the route. The values adopted were used for the AADT attribute ratings in the screening procedure, and for calculating the economic impacts of loss of use of the bridges after possible seismic damage. AADT values had to be estimated for structures located between reference stations and intersections that may influence traffic volumes. A summary of the traffic data used is included in Table 5.4.

5.2.1.3 Information on available detours (Task 1.1)

Available detours for each bridge were identified from topographic maps, and the shortest additional route length was tabulated (Table 5.4). In some cases loss of use of a bridge would result in a very long detour and those in excess of 100 km were tabulated as a maximum of 100 km.

5.2.2 Trial Application of the Proposed Preliminary Screening Procedure (Task 1.2)

The Proposed Preliminary Screening Procedure described in Section 4 and summarised in Table 4.2 was applied and the results are summarised in Table 5.1. The table also includes comparison with ranking from the economic analysis discussed later.

5.2.3 Tasks 2.1 and 2.2

5.2.3.1 Assessment of the bridge structures (see Tables 5.2 and 5.3)

For each bridge the hierarchy of damage was identified by approximate analysis. It assumed incremental horizontal loading in the calculations to determine the load resistance of various parts of the structure and the equivalent return periods of shaking at which they would progressively reach their strength limits. For the scope of this project, analyses were necessarily approximate and generally based on a computer model which assumed elastic behaviour of the structure. The following assumptions were made:

- Material properties were assumed to be the specified minimum values where shown on the drawings, or otherwise as advised in the Bridge Manual (1994).
 Member strengths were taken as "ideal" values i.e. assuming a strength reduction factor of 1.
- Member shear strengths were assessed on the basis of the methods set out in "Design Guidelines for Assessment, Retrofit and Repair of Bridges for Seismic Performance" (Priestley 1992). Member shear strengths associated with member ductility values of μ = 2 were used. The shear force acting on a section failing in flexure was derived by applying a factor of 1.15 to the force causing the member to reach its flexural strength, to allow for strain hardening effects.

- For structures where the limiting member would be acting in flexure the threshold of significant damage was assumed to have been reached when the structure attained a displacement ductility factor of $\mu=2$. In many instances this is probably conservative, but is considered reasonable for members of structures of the age of those being assessed.
- The return period at which various thresholds would be reached was calculated on the following basis:
 - Estimate the structure period from the flexibility indicated by the computer model.
 - Calculate the response force and equivalent seismic coefficient C_{μ} ' at which a threshold strength would develop in the structure. For non-ductile behaviour this value was noted. For ductile behaviour assumed to reach a threshold at μ = 2, and on the basis of the equal displacement theory for periods exceeding 0.7 seconds (which applied to all significant structures) a value of 2 x C_{μ} ' was noted.
 - Read off, from Figure 5.2 of the Bridge Manual (1994), the elastic response coefficient C_μ for the structure period.
 - Calculate the ratio of C_{μ} ' (or 2 x C_{μ} ' as appropriate) to C_{μ} which represents the value of the risk factor R shown on Figure 5.5 of the Bridge Manual (1994). Read off the value of return period equivalent to the value of R for the threshold being investigated. The probability of exceedance of the threshold within a period of 50 years was then estimated on the basis of the equation in Section 5.2.3 of the Bridge Manual (1994).

(Note:Since the testing the Bridge Manual seismic loading requirements have been revised to reflect the seismic hazard specified in the New Zealand Loadings code (SNZ 1992). The proposed revisions indicate a reduction of loading for a given return period, and hence the probabilities of damage used in this project are conservatively greater than likely in reality. However, for the purposes of developing a list of bridges ranked in relative order of estimated annual damage costs, it is unlikely to be of serious consequence.)

• Many of the assessed bridges are of a type similar to the Whirokino Trestle at Route Position (RP) 872/13.04, with similar column details. Tests at the University of Canterbury on models of piers of this type have shown that good ductile behaviour can be attained under numerous loading cycles but that the bar anchorages fail prematurely. The tests also showed that the sloping bars which extend into the lower lengths of the columns from the haunches of the piers contribute significantly to the strength. These effects were taken into account in the assessments for this project, although a structure ductility of μ = 2 was used as a threshold value for damage. In higher columns with similar haunching, hinging may form in the column above the point where the sloping bars enhance the column strength, and this should not be overlooked.

Results of applying the Proposed Preliminary Screening Procedure

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• Most of the shorter structures resist transverse seismic loads by a combination of resistance at the abutments and at individual piers, with the deck acting as a diaphragm. The proportional contributions of the systems are indeterminate. For shorter structures computer simulations were made of each case to calculate the upper and lower bound forces which might develop at each support point. For the assessment the pier and abutment loads were assumed to be the average of the upper and lower bound values.

5.2.3.2 Assessment of cost and time to repair bridge damage

Estimation of the cost to repair the damage expected from the earthquakes of various estimated return periods (Section 5.2.3.1) was based generally on assuming a percentage of the new cost for the item damaged, taking into account the added difficulties of working on an existing structure. The costs to repair less significant items of damage were estimated from an itemised breakdown of activities where possible. Estimation of times for repair were based on consideration of the activities involved.

Execution of this project task highlighted the approximation inherent in the assessment of the cost and time for repairing. Similar approximation, in the form of widely scattered values is evident in other published results of exercises in estimating damage costs as a percentage of replacement costs. While averaging such estimates to obtain overall damage costs for a large number of structures in an affected area may provide acceptable accuracy, the estimation of damage cost and repair time for each of a number of structures for the purpose of ranking the structures in terms of an economic analysis is much more difficult. For example, the repair time would be dependent on accessibility to the site and availability of resources.

For this project it was decided that the "best estimate" of damage cost and repair time for each structure would be used, rather than a possible range of data for each of the structures. A summary of the data adopted for the economic analyses is included in Table 5.3.

5.2.3.3 Assessment of cost and time to provide temporary bridging

At locations where damage was considered likely to close a bridge to traffic the cost and time required for installing a Bailey bridge or constructing a local bypass were estimated on the basis of experience with past similar projects. A critical aspect in providing a temporary bridge is the feasibility of installing a single span against the need for intermediate piers. A maximum of 43 metres (140 feet) was assumed for a single span. A summary of the costs and times assumed for the provision of temporary crossings is included in Table 5.3.

Table 5.2 Summary of SPGs and hierarchies of bridge damage derived from structural assessments

SUMMARY OF STRUCTURAL ASSESSMENT, AND SPG FROM SCREENING PROCEDURE (refer to notes for interpretation)

SH1 RP	Bridge Name	SPG		Comments from Structural Assessment
844/1.27	Rangitikei River Bridge at Bulls	0.39	Sway bracing between beams: Pier piles (transverse load): Span restraints at piers and joints:	Yield/buckling — high probability — moderately scrious consequences. Plastic hinging causing spalling & shear failure — low probability — serious consequences. Shear damage to holding bolts — low probability — not serious consequences.
845/2.38	Makowai Stream Bridge	6.23		6m span monolithic with abutment walls on footings - not analysed.
845/3.82	Piakatutu Stream Bridge	0.28		6m span monolithic with abutment walls on footings – not analysed.
850/4.35	Makahikaroa Stream Bridge	1270		7m span monolithic with abutment walls on piles- not analysed.
850/5.67	Makowai № 2 Bridge	1		No information available – not analysed.
850/2.68	Makowai № 2 Bridge	_		No information available – not analysed.
872/12.41	North Whirokino Trestle	0.31		Structural details same as Whirokino Trestle below; bridge 3 x 12m spans long. Due to deck diaphragm action it is judged structure has very low probability of pier yielding or column bar pull-out – not analysed.
872/13.04	Whirokino Trestle	0.33	Pier columns: Column bar anchorage slippage:	Plastic hinging with spalling – low probability – moderately serious consequences. High probability this will occur before columns yield in flexure, causing structure to "soften".
872/14.30	Manawatu River (Whirokino) Bridge	0.48	Sway bracing between beams (Piers B, C, G, F): Pier D, E piles (transverse load): Span restraints at piers and joints:	Yield/buckling – high probability – moderately serious consequences. Plastic hinging causing spalling & flexure/shear failure – medium probability – serious consequences. Yielding – low probability – not serious consequences.
900/6.77	Ohau River Bridge	0.48	Piles under piers: Shear thrust blocks at mid-length deck joint:	Shear failure – low probability – serious consequences. Shear failure could cause damage to joint hangers – strength is equivalent to pier pile strength – low probability of failure judged.
900/7.04	Ohau Overbridge	0.58	Abutment walls at base:	Possible shear damage under dynamic earth pressure – low probability but judged less than this, taking into account usual behaviour of such items – moderately serious.
900/8.16	Kuku Stream Bridge	0.42		I Im span monolithic with abutment walls on footings – not analysed

Table 5.2 (continued)

SH1 RP	Bridge Name	SPG		Comments from Structural Assessment
900/10.35	Waikawa River Bridge	0.44	Piles under piers:	Shear failure – low probability – serious consequences
900/10.67	Manakau North Overbridge	0.54	Piers C, G: Piers D, E, F: Piers B, H:	Slab piers on footings – very robust. Plastic hinging with spalling – low probability – moderately serious consequences. Tie beams likely to flexure damage – medium probability – little significance in short term. Plastic hinging with spalling – low probability – moderately serious consequences.
900/13.90	Waiauti Stream (Manakau) Bridge	0.41		12m span monolithic with abutment walls – not analysed.
915/0.00	Pukehou (Manakau South) Overbridge	0.59	Abutment E raked piles, and shear key at Pier D: Connections at pier bottom/tops (dowelled hinges) and at Abutment A (concrete rocker):	Shear failure under longitudinal loading – high probability – very serious consequences. These connections theoretically have good resistance to shear (low probability of failure) but their form is unconventional and warrants detailed assessment to determine if there is sufficient reserve in the system.
915/4.04	Waitohu Stream Bridge	0.33	Piles under piers:	Shear failure – low probability – serious consequences.
915/4.86	Otaki Overbridge	0.46	Columns carrying abutments: Columns of main piers:	Plastic hinging with spalling – high probability – moderately serious consequences. Plastic hinging with spalling – low probability – serious consequences.
915/6.81	Otaki River Bridge	0.45	Piles under river piers (with significant free length):	Plastic hinging causing spalling & flexure/shear failure – medium probability – serious consequences.
915/10.53	Mangaone Stream Bridge	0:30		6m span monolithic with abutment walls on footings – not analysed.
931/5.19	Waikanae Overbridge	95.0	Link beams at piers: Abutment piles: Pier stems and piles:	Shear damage – high probability – moderately serious consequences. Flexural yield – high probability – not serious consequences. Flexural or shear damage – low probability – serious consequences.
942/0.00	Paraparaumu Overbridge	0.39	Columns carrying abutments:	Plastic hinging with spalling – low probability – moderately serious consequences.
953/0.00	Paekakariki Overbridge	0.48	Piers C, G: Piers D, F: Pier E: Piers B, H:	Plastic hinging/shear failure in piles – medium probability – serious consequences. Plastic hinging in columns causing flexural damage to hinge – low probability – serious; high probability of damage to ground tie beam – moderately serious. Plastic hinging in columns causing flexural damage to hinge – low probability – serious; high probability of damage to ground the beam – moderately serious. Plastic hinging in columns causing flexural damage to hinge – low probability – serious.
953/7.70	Pukerua Bay Overbridge	0.50	Pier columns	Subject to flexural damage from rotation of deck in plan if skewed abutments move in. Assessed risk – low.

SH1 RP	Bridge Name	SPG		Comments from Structural Assessment
953/15.41	Paremata Harbour Bridge	0.38	Piles under piers: South abutment: North abutment:	Pile flexural hinging with buckling (spalling) – medium to high probability – serious consequences. Residual rotation under longitudinal loading – high probability – moderately serious consequences. Subject to possible distortion due to liquefaction of surrounding soils – medium probability – moderately serious.
969/4.40	Kenepuru Stream Bridge	0.35		12m span on piles – designed 1987 – not analysed.
969/7.15	Collins Avenue East Bridge	0.28	Columns carrying abutments:	Plastic hinging with spalling – indged low probability – serious consequences.
	Collins Avenue West Bridge	0.28		
979/0.00	Takapu Road Overbridge	0.24		I Im span monolithic with abutment walls on footings – not analysed.
979/4.27	Johnsonville North Overpass	0.24		9m span monolithic with abutment walls on footings – not analysed.
979/4.61	Johnsonville South Overpass	0.25		To the second section of the section of the second section of the second section of the second section of the section of the second section of the section o

The shaded SPG cells indicate that the screening procedure would exclude these bridges from assessment - the SPG was calculated to investigate what score would result, as part of evaluation of the screening procedures. NOTES:

The stated probabilities relate to a 50 year period and are for comparative purposes only for evaluating the screening procedures. The analyses undertaken which resulted in the stated probabilities were based on simplified analytical methods using assumed material properties and non-specific site data. Because of the approximations and judgements used for the analyses, probabilities have been stated in bands, representing the following %09 < High 30% - 60% Medium 10% - 30% Low <10% Very low $\vec{\sim}$

The consequences of occurrence of the failures listed should be read in conjunction with the probability – ie although an occurrence may be stated as having serious consequences, it may be that there is only a low probability of the failure occurring. ω.

Table 5.3 Data obtained from the bridge assessment, used for the economic analysis

STATE HIGH	STATE HIGHWAY I BRIDGES BETWEEN RP 844/1.27 AND 979/4.61 - IN ORDER OF ROUTE POSITION	44/1.27 ANL	7 7/4/4.61 - 184 C	KUER OF NO	OLE POSITIO	,								
SH1 RP	Bridge Name	Return Period (Years)	Probability of Exceedance in 50 yrs	Cost to repair damage	Time crossing out of service	Bailey and approaches required?	, and aches red?	Install/remove bailey	ove bailey	Build temporary bypass	nporary ass	Estimated bridge replacement	Length of Detour km	Annual average daily
					(days)	Req'd?	Days in use	Time (days)	Cost (S)	Req'd?	Cost (S)	± 20%	in brackets)	count (AADT) 1992
844/1.27	Rangitikei River Bridge at Bulls	20	26	400,000	09	no		1	-	ou	_	000 000 8	01	0.010
		165	.26	1,400,000	300	no		***		០ព	_	onnionnio	(≤18)	21,5
845/2.38	Makowai Stream Bridge	9150	.28	5,000	0	по	-	_	-	0u	-	20,000	6.5	9,500
845/3.82	Piakatutu Stream Bridge	2150	.28	5,000	0	no	‡	ı	-	ou	_	000*05	0	9,500
850/4.35	Makahikaroa Stream Bridge	2150	.28	5,000	0	no		-	١	ou		000'09	3	ı
850/5.67	Makowai № 2 Bridge	No details	No details of these bridges held – 6.8 and 10 metre instantings entlement	eld – 6.8 and 10	6.8 and 10 metres long respectively. Effect of seismic damage to these bridges is judged to be	ectively. Ef	fect of seism.	ic damage to th	ese bridges is	judged to be		000°09	_	****
850/2/058	Makowai № 2 Bridge	marganica.										000*06	_	-
872/12.41	North Whirokino Trestle	200	.22	120,000	0	по	1	***	-	no	-	400,000	11	7,900
872/13.04	Whirokino Trestle	200	.22	270,000	-	no	-	1	-	no	-	000 000 61	17	2.000
		3000	.02	1,000,000	28	no	-	ı	ı	по	-	12,000,000		200,
872/14.30	Manawatu River (Whirokino)	20	.92	80,000	5	no	1	ı	ı	по	-	2 500 000	75	7 900
	ning.	90	.43	380,000	21	yes	180	21	290,000	no	1	2,000,000	(540)	907,
72.9/006	Ohau River Bridge	200	.22	770,000	21	yes	300	21	290,000	no	ı	1,700,000	>100	11,300
900/7.04	Ohau Overbridge	170	.26	50,000	-	ou .	ı	_	ı	ou	1	200,000	>100	11,300
900/8.16	Kuku Stream Bridge	2150	.28	5,000	0	ou Ou	ı	1	ı	ou	ı	000,001	>100	11,400
900/10.35	Waikawa River Bridge	150	.28	100,000	3	yes	21	3	29,000	ou	1	350,000	>100	11,400

Table 5.3 (continued)

STATE HIG	STATE HIGHWAY 1 BRIDGES BETWEEN RP 844/1.27 AND 979/4.61 – IN ORDER OF ROUTE POSITION	144/1.27 ANE	979/4.61 – IN O	RDER OF RO	UTE POSITION									
SHI RP	Bridge Name	Return Period (Years)	Probability of Exceedance	Cost to repair damage	Time crossing out of	Bailey and approaches required?	and sches red?	Install/remove bailey	ove bailey	Build temporary bypass	ıporary ıss	Estimated bridge replacement	Length of Detour km	Annual average daily
				2	(days)	Req'd?	Days in use	Time (days)	Cost (S)	Req'd?	Cost (S)	± 20%	in brackets)	count (AADT) 1992
79:01/006	Manakau North Overbridge	99	.54	75,000	3	ou	-			yes	50,000	000 000 1	7	11 400
		450	11.	300,000	3	no	· · · · ·		1			1,000,000	7100	1,400
900/13.90	Waiauti Stream (Manakau) Bridge	2150	.28	5,000	0	по	-	_	-	оп		125,000	>100	11,400
915/0.00	Pukehou (Manakau South) Overbridge	50	.63	130,000	3	yes	21	3	6,000	ou	-	1,100,000	3 (≤13)	11,400
915/4.04	Waitohu Stream Bridge	185	.24	130,000	3	yes	28	3	29,000	ou	_	260,000	3 (≤13)	11,500
915/4.86	Otaki Overbridge	45	19'	80,000	0	no		-	-	yes (eviete)	**	450,000	-	11 800
		185	.24	180,000	28	00	1	_	ı	(cvicion)	-	200,001		00011
915/6.81	Otaki River Bridge	100	.39	120,000	4	yes	28	4	26,000	no	-	3,000,000	>100	12,400
915/10.53	Mangaone Stream Bridge	2150	.28	5,000	0	ou	ı	1	ı	по	1	20,000	9	14,600
931/5.19	Waikanae Overbridge	20	.92	25,000	1	no	ı	_	ı	по	-	1 200 000	>100	14 600
		38	.74	80,000	3	yes	21	3	9,000	no	4	000,002,1		14,000
942/0.00	Paraparaumu Overbridge	200	.22	120,000	0	no	ı	ı	ţ	yes (exists)	I	500,000	0	14,600
953/0.00	Paekakariki Overbridge	20	.92	75,000	0	no Ou	ı	ı	1	yes (existe)	ı	1 200 000	0.5	16 990
		140	.30	460,000	2	no	1		1.	(commo)		200,000,1		20,501
953/7.70	Pukerua Bay Overbridge	200	.22	30,000	4	yes	28	4	29,000	по	1	450,000	8 (≤36)	17,400

Table 5.3 (continued)

STATE HIG	STATE HIGHWAY I BRIDGES BETWEEN RP 844/1.27 AND 979/4.61 – IN ORDER OF ROUTE POSITION	144/1.27 ANI	979/4.61 – IN C	RDER OF ROL	JTE POS	NOILI									
SH1 RP	Bridge Name	Return Period (Years)	Probability of Exceedance	Cost to repair damage	Time crossing out of	ing of	Bailey and approaches required?	and iches ed?	Install/remove bailey	ove bailey	Build temporary bypass	nporary ass	Estimated bridge replacement	Length of Detour km	Annual average daily
			er er	©	(day	<u> </u>	Req'd?	Days in use	Time (days)	Cost (S)	Req'd?	Cost (\$)	£ 20%	in brackets)	count (AADT) 1992
953/15.41	Paremata Harbour Bridge	95	.63	260,000	115	1 28	maybe	115	28	270 000	Ś		2 500 000		26 200
		70	15.	460,000	155		maybe	155	3	200,012		1	2000,000,000	-	200,000
		06	.43	550,000	170		maybe	170			_				
969/4.40	Kenepuru Stream Bridge	9150	.28	5,000	0		ou	-	-	-	по	ı,	110,000	0.5	34,400
969/7.15	Collins Avenue East Bridge	9150	.28	10,000	0		ои	***		I	ou	ı	540,000		32,400
51.7/696	Collins Avenue West Bridge			bridges)									bridges)		(1041)
979/0.00	Takapu Road Overbridge	9150	.28	2,000	0	-	no	-	_	-	по	-	110,000	1	39,500
979/4.27	Johnsonville North Overpass	9150	.28	10,000	0	-	110	ı	***	=	оп	1	000'091	0.5	30,100
979/4.61	Johnsonville South Overpass			bridges)									bridges)		(1001)

5.2.3.4 Economic analysis

A. Methodology

The "Seismic hazard" relating to a bridge denotes the potential for adverse consequences associated with bridge failure in a seismic event. The hazard is ranked according to the magnitude of potential adverse consequences or cost, i.e. the vulnerability of the bridge.

The cost of damage will probably be high for any bridge which has been subjected to a very large seismic event. However in considering the economic risk to society, account must also be taken of the likelihood of occurrence of the event causing damage to the bridge, i.e. the product of hazard and risk.

Accordingly the appropriate measure of economic risk is the expected annual damage cost, which makes allowance for all possible damaging events in any year and sums the costs of these, modified or weighted by their probability of occurrence. Figure 5.1 shows the relationship between the probabilities of exceedance for seismic events of various intensities and the resultant cost of damage. This is for the full range of seismic events between those of very large magnitude, high damage and low probability to those of high probability at which damage first commences. The expected annual damage cost is the sum of all costs multiplied by probability, i.e. the area under the curve.

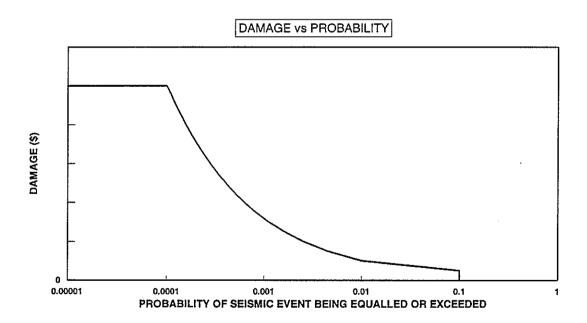


Figure 5.1 Relationship between probability of exceedance for seismic events and resultant cost of damage

These curves can be developed for each bridge by detailed analysis with a number of seismic events of differing intensities. However for the purpose of appraising the Proposed Preliminary Screening Procedure a rough order method at a lesser level of detail was used. In this project use was made of the data for the one, two or three events applied to each bridge, for which damage costs were estimated. The obvious difficulty was to synthesise a curve through a single point when the cost of damage for only one event was estimated.

To assist in the evaluation an analysis was made of damage related to seismic events from existing data to look for trends. Figure 5.2 shows the relationship between earthquake return period (plotted on a log scale) and damage (expressed as a percentage of bridge replacement cost). Data from three sources has been used:

- The analysis carried out in this study where two or three events per bridge have been analysed.
- Information from the Applied Technology Council publication ATC-13 "Earthquake Damage Evaluation Data for California", 1985, (ATC 1985) which presents the results of a survey of expert opinion on the relationship between a seismic event and damage for a range of infrastructure, including simple-span and continuous bridges.
- The draft Retrofit Concepts Report for Thorndon Overbridge, by Beca Carter Hollings and Ferner Ltd (BCHF 1994).

The latter report gives expected damage to the Thorndon Overbridge for a number of seismic events associated with (i) the Wellington faultline only and (ii) all faultlines except the Wellington faultline.

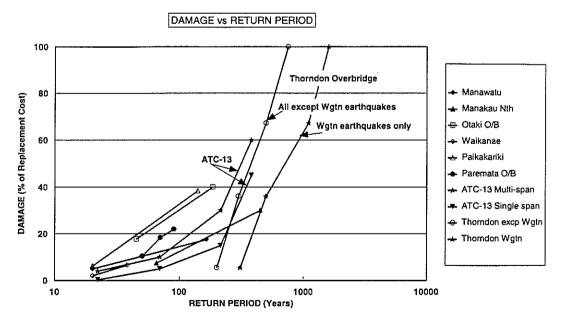


Figure 5.2 Relationship between earthquake return period and cost of damage.

The graph in Figure 5.2 indicates a trend for flatter slopes at the onset of damage, and steeper slopes with more damaging events. For synthesising a continuous curve for each bridge, the curve is assumed to consist of two straight line sections. The following method has been used to construct the curves for each bridge:

- The change in line slope has been assumed to occur at the damage cost level of 40% of replacement cost.
- No damage has been assumed to occur in earthquakes of return period less than 20 years.
- Where two points have been obtained from estimates of damage for a bridge a straight line has been drawn between these extending to the level of 40% damage. Beyond the 40% level the curve continues in a straight line at the slope given by the Thorndon Overbridge results.
- Where three points have been obtained from estimates of damage only the extreme two have been used in the above process.
- Where a single point only has been obtained from estimates of damage a straight line has been drawn from a point given by 4% damage and a 20 year return period event through the single point, and extending to the 40% damage level. The line has been continued as a straight line beyond the 40% level at the slope given by the results from the report on the Thorndon Overbridge.
- Where a single point only has been obtained from estimates of damage, and which has a damage percentage of less than or equal to 4%, the line below the 40% level has been assumed to start at a point given by zero damage and a 20 year return period event.

B. Costs

Bridge damage costs and related seismic events have been taken from the data presented in Table 5.3.

The costs associated with any seismic event include those of traffic disruption and the construction of temporary bridging and detours.

The costs of traffic disruption have been evaluated by determining the length of detour required and calculating additional travel costs (for vehicle operation, time and accidents) using the parameter costs given by the Transit New Zealand Project Evaluation Manual (Transit New Zealand 1991). Table 5.4 shows the assumptions made on detour length for each bridge, traffic volumes and resulting daily total disruption cost. Traffic detour costs in the table have been evaluated for the full volume of daily traffic. However some portion of the normal number of tripmakers

will be discouraged by the additional detour costs (the value or benefit of the trip is less than the new high cost). Hence the cost of traffic disruption is equal to the additional or detour cost imposed on those who continue to travel, plus the loss of benefit to those who cease to make the trip. The total is less than the costs noted in the table by up to 50%. In the absence of detailed analysis of the traffic demand curves (which would form a major study) a factor of 0.75 has been applied to the costs shown in Table 5.4. This factor was based on the results of a more detailed verification analysis carried out on the Paremata Harbour bridge (Section 5.2.3.4.(C)).

Other costs associated with the installation of a Bailey bridge or construction of a temporary bypass have also been included.

The analysis makes allowance for the number of days that traffic would be disrupted, an estimate of which is given in Table 5.3, and queueing delay costs while temporary bridging is in operation (Section 5.2.3.4.(C)). Assumptions are set out in Table 5.5.

The impact of loss of life on total costs was tested in an evaluation which assumed that it was only at the higher percentages of bridge damage that risk to life is significant. While the costs of loss of life were in some cases high, the low probabilities of the associated events reduced the impact on final costs. The largest increase found was in the order of 4% of expected annual damage cost. This did not alter the ranking of the bridges.

C. Verification of Detour Costs

A more detailed analysis was undertaken for the costs incurred from seismic damage to the Paremata Harbour bridge. Estimates were made of the number of travellers switching to alternative modes (e.g. rail), and of trip suppression, to calculate the likely traffic volumes using the Grays Road detour route. Accident records for the last 13 years were used to assess the existing accident rate. The cost of additional accidents was assessed for the detour traffic using this route. Allowance was also made for the consumer surplus loss (lost benefits net of saved travel costs) of those discouraged from tripmaking. Total costs were calculated to be in the order of \$150,000 per day compared with the figure of \$190,000 per day using the cruder (full detour cost) method. Consequently a factor of 0.75 on full detour cost was chosen for the analysis.

Delay costs caused by reduced flow capacity on temporary bridging were also evaluated for the Paremata Harbour bridge. Assuming the deck is sealed and the approach road alignment is good, the initial capacity is assessed at 1200 vehicles per hour (vph) rising to 1400 vph. An analysis of queueing time (taking into account the variation and duration of traffic flow) produced an average estimated daily delay cost of \$25,000. This figure was used in the analysis, and scaled amounts (depending on AADT) were chosen for the other bridges which would have temporary bridging.

Table 5.4 Detour lengths, traffic volumes, and calculated consequential daily total costs of traffic disruption for traffic using the detours.

Bridge	Detour (km)	AADT	Detour Cost (\$/day)
Rangitikei River		9 910	42,836
local traffic	18		•
non-local SH1-SH1	3		
non-local SH1-SH3	10		
Makowai Stream	0.5	9 500	3,081
Piakatutu Stream	0	9 500	0
Makahikaroa Stream	0		0
Makowai No 2			
North Whirokino Trestle	17	7 900	79,512
Whirokino Trestle	17	7 900	79,512
Manawatu River Whirokino		7 900	79,512
local traffic	40		
non-local traffic	25		
Ohau River	100	11 300	624,760
Ohau Overbridge	100	11 300	624,760
Kuku Stream	100	11 400	630,288
Waikawa River	100	11 400	630,288
Manakau North Overbridge	100	11 400	630,288
Waiatuti Stream	100	11 400	28,405
Pukehou Overbridge		11 400	28,158
local traffic	13		
non-local traffic	3		
Waitohu Stream		11 500	28,405
local traffic	13		
non-local traffic	3		
Otaki Overbridge	7	11 800	51,006
Otaki River	7	12 400	53,382
Mangaone Stream	6	14 600	53,874
Waikanae River	100	14 600	803,562
Paraparaumu Overbridge	0	14 600	0
Paekakariki Overbridge	0.5	19 600	5,460
Pukerua Bay Overbridge		17 400	86,190
local traffic	36		
non-local traffic	8		
Paremata Harbour	11	25 300	190,636
Kenepuru Stream	0.5	34 400	11,782
Collins Avenue East	1	32 400	19,926
Collins Avenue West	1	23 400	19,391
Takapu Road Overbridge	1	39 500	24,293
Johnsonville North Overpass	0.5	30 100	9,724
Johnsonville South Overpass	0	30 100	0

Table 5.5 Estimated costs of traffic disruption.

Bridge	AADT	Days	Daily Cost (\$)	Total Cost (\$)
Manawatu River Whirokino	7 900	180	5,000	900,000
Ohau River	11 300	300	5,000	1,500,000
Waikawa River	11 400	21	5,000	105,000
Pukehou Overbridge	11 400	21	5,000	105,000
Waitohu Stream	11 500	28	5,000	140,000
Otaki River	12 400	28	5,000	140,000
Waikanae River	14 600	21	10,000	210,000
Pukerua Bay Overbridge	17 400	28	10,000	280,000
Paremata-Seismic return period	25 300			
50 years		115	25,000	2,875,000
70 years		155	25,000	3,875,000
90 years	.,,	170	25,000	4,250,000

D. Calculated annual damage costs for bridges in the study.

The annual damage costs for bridges in the study have been calculated using a spreadsheet analysis. The details for each bridge are included in Appendix 2.

The spreadsheet synthesises the curve of damage ν seismic event for each bridge and evaluates return periods for a given set of damage percentages. This enables each curve to be sketched and a calculation to be made of the area under the curve.

The results are expressed as an expected annual cost of damage for each bridge, with a breakdown into cost components. Expected annual costs of damage are set out in Table 5.6 along with a cost ranking of the bridges.

E. Comments

All costs have a rough order of accuracy. In particular the traffic disruption costs are dependent on assumptions made for detour length, extent of discouragement of tripmaking and time over which the traffic is disrupted. These assumptions may be subject to considerable variation. However, the methods used are adequate to give a broad ranking of the bridges with the impacts of high traffic levels, long detours and lengthy detour periods being enough to clearly weigh against particular bridges.

In many cases the cost of detours far exceeds the cost of bridge damage. The detour costs make no allowance for secondary impacts such as loss of regional production or business output. These would increase the total costs assumed, but their inclusion would require detailed consideration of impacts along with consideration of combined bridge effects (more than one bridge failing during the one event). In one study the cost of secondary impacts has been estimated as being equal to twice the total direct costs. However, assuming that the factor quoted applies to all direct costs (bridge plus detour costs) the ranking order of the bridges would not be affected.

Inclusion of the costs from probable loss of life did not significantly alter expected annual damage costs or affect the ranking. The value of life was taken from the Transit New Zealand Project Evaluation Manual parameters. It made no allowance for any risk-averse attitude of Transit New Zealand to loss of life, which would increase the benefit ascribed to preventing loss of life.

5.2.4 Task 2.3

5.2.4.1 Comparison of Results

Task 2.3 requires a comparison of the results of Tasks 2.1 and 2.2 with those of Task 1, and a determination of the effectiveness of the trial seismic screening procedure. This has been achieved by comparing the expected annual cost of seismic damage to the bridges, listed in Table 5.6, with the results of applying the trial procedure for preliminary seismic screening, listed in Table 5.1. Section 5.3 contains a discussion of the comparison.

5.2.5 Task 2.4

5.2.5.1 Identify improvements to the trial screening procedure

To identify improvements to the trial screening procedure 14 additional applications were made that incorporated changes to the attribute rating values, the weighting factors, or both. From each application the bridges were ranked in order of decreasing value of seismic priority grade, and the ranking list was compared with the listing of expected annual cost of damage (Table 5.6). The comparisons were summarised in tabular form and are presented in Section 5.3.

A comparison of the results of applying the different screening procedure options was made with a list of the bridges ranked in order of priority for seismic assessment. This ranking was based on judgement, examination of the drawings and on the results of the structural assessment presented in Table 5.3. The list was drawn up to contain three bands of priority rather than attempting to identify a specific order. The results of this comparison are discussed in Section 5.3.

Table 5.6 Expected annual costs of damage to bridges, in order of route position and in order of annual damage cost.

No	SH1 RP	Bridge Name in order of Route Position	Annual Damage Cost (\$)	Bridges in order of Annual Damage Cost	Annual Damage Cost (\$)
1	844/1.27	Rangitikei River	192,769	Ohau River	602,578
2	845/2.38	Makowai Stream	197	Paremata Harbour	341,312
3	845/3.82	Piakatutu Stream	197	Rangitikei River	192,769
4	850/4.35	Makahikaroa Stream	237	Waikanae River	83,633
	850/5.67	Makowai No 2		Waikawa River	80,717
	850/5.68	Makowai No 2		Manawatu River Whirokino	74,113
5	872/12.41	North Whirokino Trestle	3,324	Whirokino Trestle	33,598
6	872/13.04	Whirokino Trestle	33,598	Pukerua Bay Overbridge	29,961
7	872/14.30	Manawatu River (Whirokino)	74,113	Manakau North Overbridge	25,803
8	900/6.77	Ohau River	602,578	Ohau Overbridge	24,907
9	900/7.04	Ohau Overbridge	24,907	Otaki River	24,029
10	900/8.16	Kuku Stream	350	Pukehou (Manakau South) Overbridge	16,150
11	900/10.35	Waikawa River	80,717	Paekakariki Overbridge	15,485
12	900/10.67	Manakau North Overbridge	25,803	Waitohu Stream	15,269
13	900/13.90	Waiauti Stream (Manakau)	316	Otaki Overbridge	11,269
14	915/0.00	Pukehou (Manakau South) Overbridge	16,150	Paraparaumu Overbridge	3,361
15	915/4.04	Waitohu Stream	15,269	North Whirokino Trestle	3,324
16	915/4.86	Otaki Overbridge	11,269	Collins Ave East	675
17	915/6.81	Otaki River	24,029	Collins Ave West	675
18	915/10.53	Mangaone Stream	197	Kenepuru Stream	385
19	931/5.19	Waikanae River	83,633	Takapu Road O'Bridge	385
20	942/0.00	Paraparaumu Overbridge	3,361	Kuku Stream	350
21	953/0.00	Paekakariki Overbridge	15,485	Waiauti Stream (Manakau)	316
22	953/7.70	Pukerua Bay Overbridge	29,961	Johnsonville South U'pass	280
23	953/15.41	Paremata Harbour	341,312	Johnsonville North U'pass	280
24	969/4.40	Kenepuru Stream	385	Makahikaroa Stream	237
25	969/7.15	Collins Ave East	675	Makowai Stream	197
26	969/7.15	Collins Ave West	675	Mangaone Stream	197
27	979/0.00	Takapu Road Overbridge	385	Piakatutu Stream	197
28	979/4.27	Johnsonville North U'pass	280	Makowai No 2	
29	979/4.61	Johnsonville South U'pass	280	Makowai No 2	

Comparison of the ranking orders derived from the trial screening procedure and the economic analysis.

Table 5.7

SH1RP	Bridge Name	Bridges	Excluded from Priorilisation		-	SUMMARY - D	IPFERENCE I	HANKINGS:	rpy - Difference in Rankings ; (economic analysis - seismic performance grade)	MALYSIS - SE	ISMIC PERFO	RMANCE GR	(DE)					į	
		Difference > 5	By Screening Procedure	RAMKING	RANKING1	BAHKING2	RANKINGS	RANKING4	RAHKINGS	RANKINGS	RANKING?	RANKINGB	RAKKINGS	RANKING10 RANKING12	ANKING12 A	RANKING14	AVERAGE	HANKING	HANKING11 RAKKING13
845/2.38 845/2.38 845/7.82 850/4.35	Rangiukei River Bridge at Bulks Makowai Stream Bridge Piakaturu Stream Bridge Makaturan Stream Bridge		yes yes	= 44-	7707	ភ្នំ សុ ស +		<u> </u>	440	5 5 60 5	544.	9-1-	7-0-		ω -	á i i v	ý -: 43 - ú 0 8 -		7
850/5.67 850/5.68	Makowai No 2 Bridge Makowai No 2 Bridge		8	•	No drawings available No drawings available	s available - n n - ekailable - n	- not assessed	÷	•	-	=		=	=	=	,	3		
872/12.41 872/13.04 872/14.30	North Whitokino Tresde Whitokino Tresse Manawatu River (Whitokino) Bridge		. oc oc	⊹ ÷	à Ö u	250	% C −	454		∓ 49 ₹	شفاد		જં જે જ	ú ù -	فظف	450	7.5. 8.6. 8.1		·
900/6.77 900/7.04	Ohau River Bridge Ohau Overbridge Kribu Stram Bridge	•	2 2 2	÷ e ⊊	ù ÷ Ş			Ψ Φ \$	ผ่หน	in si	ا بن بن		- 		ून इ		7.5.		· -
۷. ۲.	Wakawa River Bridge Wanakau North Overbridge		2 2 2	5 tb ru	<u> </u>			300	<u> </u>	į ņ a	300		<u> </u>	<u> </u>	ž ú n	3 ch ru	2 8 8 8		ာ ကု က
_	Waiauti Steam (Manakau) Bodgs Pukehou (Manakau South) Overbridgs Walahin Steam Bridges		. S. S. S.	9 = 4	224			222	<u> </u>	<u> </u>	55.		- <u>12</u> 61 -		<u> </u>	<u>. 67 00 .</u>	12.2 8.8		900
	Manolis Sweam brogs Otaki Overbridge Otaki River Bridge		2 2 2	, α -	φ — φ			9 - 4	4 4	န် ကို အ	400		700	701	40 m	400	4. 0. 0. E. 1. 0.	·	v - v
	Mangaone Stream Bridge Walkanae River Bridge	•	yes	~-	च ल				~ 6	40) N 87		, ee tJ	- F	0 60	<u> </u>	128		· "
	Paraparaumu Overbridge Paekakariki Overbridge	-	2 2	- 1	O F			νi –	ψņ	? -	ńΝ		ńο	70	ń÷	ńΦ	4. 8.0		
953/15.41	Pukerua Bay Uverbroge Paremata Harbow Bridge Vancoust Steam Bridge		2 2 3	<u>.</u> 4	<u>- 6</u>			<u>4 ÷ .</u>	ÅΘ̈́	ν÷	4 <u>6</u> 6		φĢ	1	4	Ŧ ÿ ·			10
	Colina Avenue Ensi Bridge Colina Avenue West Bridge	,	£ 2 2	4 4 6	<u>, o o</u>			• ଡ଼ ଡ଼	9 4 4	ý n n	41.		한학	777	<u>. 1</u>	4 40 43	2 ci ci		77
979/0.00	Takapu Road Overbridge Johnsonville North Overpass Johnsonville South Overpass		yes	444	<u> 6</u> 0	700	흐야하	e i i	<u> </u>	- 0 -	÷ 9 9	후다다	æ 13 4	\$ 0 N	က် လ် ယ်	شذاذ	7.7. 8.1. 7.1.		
•		. 5750	Sum of all Sum of all but	158 17	37.	<u> </u>	_	58	140	120	142	2 ~"	136 51	132	138 52	152 60	i	64.0	.0 62.0 10 19.0
JIGMARY OF	SUMMARY OF CHANGES TO FACTORS EXPLORED:				z	NOTES:													
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File SUMMARYL, WK1 - 19.45- 24 April 1995

5.2.5.2 Assessment of time required to apply the Preliminary Screening Procedure to the state highway system

An assessment of the time required to apply the Preliminary Screening Procedure to the New Zealand state highway system is very difficult to make on the basis of the small sample of structures included in this project. The estimate has been based on an assessment of the average time per bridge required for acquiring the drawings, visiting the site, completing the bridge data and screening procedure, entering the data into a spreadsheet, reviewing the results and considering anomalies. The results are discussed in Section 7.

5.3 Results and Discussion

5.3.1 Comparison of the Results of Application of the Proposed Preliminary Screening Procedure with the Results of the Economic Analysis

5.3.1.1 General

Comparison of the ranking orders derived from the trial screening procedure and the economic analysis is presented in Table 5.7. The bridges were listed in priority order 1 to 29 for the two procedures (1 is equivalent to the bridge with the largest SPG or the greatest annual damage cost (Tables 5.1, Appendix 3 and Table 5.6). The difference in their ranking numbers was calculated as: economic rank value minus seismic performance grade (SPG) rank. A positive value for a bridge indicates the economic analysis ranks the bridge as a lower priority than does the trial screening procedure. An ideal match of the priorities from the two procedures would produce a value of zero for each bridge and a total of values for all bridges of zero. The value of the total for all bridges, with the minus signs ignored, therefore indicates the magnitude of the overall discrepancy between the results from the two procedures. This total is listed below the summary of results for each trial. For a procedure such as this, a correlation within five places is considered reasonable in view of the considerable approximations in the base data for the economic analysis and the closeness in the values of some of the seismic priority gradings. The reasons for discrepancies exceeding five were investigated.

Table 5.7 also contains the results for all the calculation runs carried out. Each run involved ranking the bridges by application of the screening procedure using a particular set of factors (as described in the summary on Table 5.7) followed by a comparison with the ranking order from the economic analysis.

This project has not evaluated some aspects of the Preliminary Screening Procedure directly, because some attributes show no variation in the bridges on the length of highway considered – in particular those included in the Hazard Index. This deficiency was recognised and discussed when the project brief was set up, and the

decision was made to investigate bridges on a length of highway rather than to select bridges from various areas. This is discussed in more detail later.

5.3.1.2 Results using the Proposed Preliminary Screening Procedure

The results of the comparison using the proposed trial screening procedure are listed under RANKING in Table 5.7. The correlation of individual bridges is poor, and the average correlation is poor.

5.3.1.3 Results using Variations of the Proposed Preliminary Screening Procedure

The results of comparisons made by incorporating changes to the attribute rating values, to the weighting factors, or to both, of the trial screening procedure are listed under RANKING1 to RANKING14 of Table 5.7. Details of the changes made for each trial are summarised in Table 5.7 and are highlighted on the results sheet for each, included in Appendix 3.

5.3.2 Discussion of Results

5.3.2.1 General

Although the economic analysis was unavoidably based on approximate data (summarised in Table 5.3), it showed the strong influence of the costs of interruption of use of the bridges. The breakdown of the expected annual costs of damage are summarised in Table 5.8, which shows that the cost of traffic having to detour is a major proportion of the high costs.

While the above conclusion is considered reasonable, the approximation of the cost values must be noted and becomes more understandable with examination of the data in Table 5.3 on which the costs are based. Apart from the approximations made in execution of the economic analysis, and discussed in Section 5.2, key items of the base data for the analysis are:

- The extent of seismic damage to each bridge and the cost and time needed for repairs, particularly with the uncertain availability of resources.
- The intensities of shaking to cause the different identified levels of seismic damage, and their probabilities of occurrence.
- The extent to which seismic damage is likely to render each bridge unserviceable to either light or heavy vehicles, or both.
- The likelihood for the need to install a temporary bridge and the cost and time required for its installation.

Table 5.8 Expected annual costs of seismic damage to bridges.

PILOT APPRAISAL OF SEISMIC SCREENING PROCEDURES STATE HIGHWAY 1 BRIDGES BETWEEN RP 844/1.27 AND 979/4.61

Sorted by Route Position Order

Totai	192,768	197	197	237			3,324	33,598.	74,113	602,578	24,908	320	80,717	25,803	316	16,150	15,269	11,269	24,029	197	83,633	3,361	15,485	29,961	341,312	385	675	675	385	280	280
osts (\$/yr) Bypass	0	С	0	0	•		0	0	0	0	0	0	0	169	0	0	0	0	0	0	0	0	- 0	0	0	0	0	0	0	0	<u>-</u> 0
Expected Annual Damage Costs (\$/yr) Detour Bailey Bypass Bridge	0	С	0	0	d Assessed	Assessed	0	0	14,500	14,500	0	0	1,450	0	0	450	1,450	602	1,300	0	420	0	0	1,450	5,400	0	0	0	0	0	0
Expected Anr Detour	147,335	С	C	C	No Drawings Available - Not Assessed	No Drawings Available - Not Assessed	0	2,517	44,786	566,999	23,429	0	76,157	21,818	0	8,417	10,195	6,874	15,007	0	77,207	0	88	26,929	318,725	0	0	0	0	0	0
Bridge Damage	45,433	197	197	237	No Drawings	No Drawings	3,324	31,081	14,827	21,079	1,479	320	3,110	3,216	316	7,283	3,624	3,793	7,722	197	5,976	3,361	15,397	1,582	17,187	385	675	675	385	280	280
Economic Analysis Ranking	3	2.2	62	26	•		17	7	9	-	10	22	5	6	23	12	14	15	#	. 28	4	9	13	8	2	20	18	19	21	25	24
Excluded from Prioritisation By Screening Procedure	CII	yes	yes	yes	•		yes	OL.	ou	ou	yes	9	9	2	yes	<u>о</u> п	2	01	OL	yes	ou	OL.	on O	ou	ou	yes	ОП	ou	yes	yes	yes
Bridge Name	Rangilikel River Bridge at Bulls	Makowai Stroam Bridge	Piakatutu Stroam Bridgo	Makahilkaroa Stream Bridge	Makowal No 2 Bridge	Makowai No 2 Bridge	North Whirokino Trestle	Whirokino Trestle	Manawatu River (Whlrokino) Bridge	Ohau River Bridge	Ohau Overbridge	Kuku Stream Bridge	Walkawa River Bridge	Manakau North Overbridge	Walauti Stream (Manakau) Bridge	Pukehou (Manakau South) Overbridge	Waltohu Stream Bridge	Otaki Overbridge	Otaki River Bridge	Mangaone Stream Bridge	Waikanae River Bridge	Paraparaumu Overbridge	Paekakariki Overbridge	Pukerua Bay Overbridge	Paremata Harbour Bridge	Kenepuru Stream Bridge	Collins Avenue East Bridge	Collins Avenue West Bridge	Takapu Road Overbridge	Johnsonville North Overpass	Johnsonville South Overpass
SH1 RP	844/1.27	045/2.38	845/3.82	850/4.35	050/5.67	850/5.68	872/12.41	872/13.04	872/14.30	900/6.77	900/7.04	900/8.16	900/10.35	900/10.67	900/13.90	915/0.00	915/4.04	915/4.86	915/6.81	915/10.53	931/5.19	942/0.00	953/0.00	953/7.70	953/15.41	969/4.40	969/7.15	969/7.15	979/0.00	979/4.27	979/4.61

Summary of the ranking orders derived on the basis of SPG calculated using the Proposed Preliminary Screening Procedure and variations.

PILOT APPRAISAL OF SEISMIC SCREENING PROCEDURES STATE HIGHWAY 1 BRIDGES BETWEEN RP 8441,27 AND 979/4.61

Table 5.9

Sorted by Ro	Sorted by Route Pasition Order																		
SH1RP	Bridge Name	Excluded from				រី	ИММАЯҮ∙ВА	SUMMARY - RANKING BY SEISMIC PRIORITY GRADE	ISMIC PRIORI	IY GRADE				1			.		
		By Screening Procedure	RANKING	BANKING1	RANKING2	RANKINGO	BANKING4 I	RANKING5 F	RANKING6	RANKING7	HAMKINGS	RANKINGS	RANKING10	RANKING12	BANKING14	AVERAGE	RANKING11	1 BANKING13	
844/1.27 645/2.38 645/3.62 650/4.35	Rangikkei River Birdge at Bulls Makowai Sitean Birdge Pakanulu Sitean Birdge Makanikana Sitean Birdge	yes yes	22 23 25 25 25 25 25 25 25 25 25 25 25 25 25	28 28 28 28 28 28 28 28 28 28 28 28 28 2	= 8 ¥ £	14 28 22 22 25 No d/awings avai		15 10 29 24 24 23 25 70 10 25 24 25 24 25	288 26 27	23 24 25 25	3.83.8	25 28 7	24 28 24 25	25 24 25 25 25 25 44 25	2828	11.1 28.1 23.4 24.8		~000	8000
8205.68 87271.24 87271.3.04 8721.3.04 8721.3.04 8007.6.15 9007.6.15 9007.6.15 9007.1.3.90 915.4.86 915.6.81 915.6.81 915.6.81 915.6.81 915.6.81 915.7.00 9377.70	Manowai No 2 Bidge Month Winkoino Tresile Manawaiu River (Whicokino) Bidge Manawaiu River Bidge Ohau Gher Bidge Ohau Gher Bidge Manakau Bidge Riverbou (Manakau South) Overbidge Waiduu Sieram Bidge Managane Sieram Bidge Oha Gher Bidge Day Overbidge Oha Gher Bidge Day Overbidge Palapanamu Overbidge Colsins Avenue Fast Bidge Johnsonville South Overpass	yes	9 m v 5 m v 5 m v 5 m v 6 v 6 v 6 v 6 v 6 v 6 v 6 v 6 v 6 v	887 8 5 5 5 4 5 - 5 6 6 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	077 4 2 C C C C C C C C C C C C C C C C C C	No drawings available - 17 17 17 17 17 17 17 17		2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	2500058787055082	854888562562-5154788828	₽ 8 4 9 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	ē ē 4 4 5 6 ⊡ e e = 1 € 8 8 7 7 7 5 7 7 7 7 8 8 9 9 9 9 9 9 9 9 9 9 9 9 9 9	· · · · · · · · · · · · · · · · · · ·	<u> </u>	8 7 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6		0 4 4 4 0 0 4 8 8 0 0 0 0 0 0 0 0 0 0 0	047200004000000000000000000000000000000
SUMMARY O	SUMMARY OF CHANGES TO FACTORS EXPLORED:	r.																	
FANKING. FANKINGI. FANKINGI. FANKINGA.	Basic screening data and SPG to draft report As TANKING: Du SPG - Hazard vir moortance i Vuhrerability As TANKING: Du SPG - Hazard vir moortance i says As TANKING: Du M. ADT - 0 for bridges over railways As TANKINGS: Du Hazard factors adjusted As TANKINGS: Du Hazard factors adjusted As TANKINGS: Du Spois inquelaction values = 0 for bridges over railways As TANKINGS: Pu ISPG - Hazard x friportance x Vuhrerability As TANKINGS: Pu ISPG - Hazard x friportance x Vuhrerability As TANKINGS: Pu IN Vuhrerability adjusted As TANKINGS: Du IN Vihrerability adjusted As TANKINGS: Du IN Vihrerability adjusted As TANKINGS: Du IN Vihrerability adjusted ton railway As TANKINGS: Du IN Vihrerability adjusted turline ilman in RANKINGS: A RANKINGS: Du IN Vihrerability indices allered As FANKINGS: Du IN Vihrerability indices allered	eport so over rainways sover rainways adjusted andjusted andjus	pility S over railways Budge Bridge Refin attibute Refin	e in RANKINGB. S xificts allored	۶. 10														

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• The dependability of the detour route in the event that the state highway bridge becomes unserviceable. The economic analysis is based on the assumption that the shortest detour remains available but clearly this may not be the case if strong shaking affects the area.

When the above factors are taken into account it is understandable that correlation with a specific set of ranking values from another source is poor. Different judgement of each of the values of base data could change the ranking from the economic analysis significantly. Similarly the ranking order from the results of the screening procedure are quite sensitive to the factors built in to the procedure. However, the exercise is valuable in highlighting the influence of the detour costs, and hence the need to concentrate on the security of bridges which are either:

- Key items with no short detour nearby, or
- Difficult to temporarily replace with a demountable bridge or with a ford or other bypass.

5.3.2.2 Comparison of the results of the calculation runs

The results of the testing are summarised in Tables 5.7 and 5.9. Table 5.9 lists, for each calculation run, the ranking number of each bridge on the basis of the seismic priority grade (SPG) calculated in that run. A high SPG value means a high priority for assessment with an associated smaller priority ranking number.

Several changes were made to the original factors in the Proposed Preliminary Screening Procedure and summarised in Table 5.9 for each calculation run. The changes were based on the following considerations:

• The effect of the application of a high value factor (AADT under = 1) for bridges crossing a railway.

While the importance of the security of these bridges is not doubted, some of the discrepancy of ranking could possibly arise because the economic analysis took no account of this high value factor. RANKING2 with AADT Under = 0 showed a small reduction of discrepancy compared with RANKING. However, for all other trials the value of 0 was used for AADT Under. The conclusion was that for the screening procedure the same rating should be used for the attribute, but that the weighting factor for the attribute should be reduced from 0.15 to 0.10.

• The importance of the cost to road users of loss of use of the bridge.

The economic analysis showed strongly that the length of detour, the duration of the detour and the traffic volumes using the bridge all had

a big influence on the cost of disruption. For this reason the SPG was also calculated using increased weighting factors for the AADT on Bridge, Detour Length and Superstructure Length attributes (increased variously by 0.05 or 0.1), with corresponding decreases in the values for AADT under Bridge, Facility Crossed, Bridge Skew and Other Feature.

To further strengthen the influence of the cost of a detour, RANKING12 included five subdivisions of the *Superstructure length* attribute factor - namely 0, 0.2, 0.6, 0.8 and 1.0 for lengths 0-20, 20-40, 40-100, 100-200 and >200 metres respectively. The increased interval from 0.2 to 0.6 for bridge lengths over 40 metres was selected because 40 metres is the maximum span that can be conveniently provided with a temporary structure without intermediate piers. If intermediate piers are required, the length of time a detour is needed is likely to be extended.

The discrepancy values for RANKING10 and RANKING12 were lower than the results of other equivalent trials. As a result the adjustment of the attribute weighting values is considered appropriate, using the values adopted for RANKING10, but also applying a total weighting factor of 0.5 to the product of the AADT on bridge and the Detour length ratings. The product concept was introduced to further emphasize the influence of these two attributes.

-	AADT under bridge	0.10 instead of 0.15
-	Facility crossed	0.15 instead of 0.20
-	Superstructure length	0.12 instead of 0.07
-	Bridge skew	0.05 instead of 0.10

SPG was calculated as:

Hazard Index x Importance Index x Vulnerability Index

instead of the original formula:

Hazard Index x (0.6 x Importance Index + 0.4 x Vulnerability Index)

RANKING1 and RANKING6 included calculation of the SPG on the above basis. The discrepancy values for both of these calculation runs were significantly less than those for all others, which might suggest that adoption of the fully multiplicative approach should be adopted. This approach has been discouraged by others in the past (Section 4.3), on the grounds that extreme values gain emphasis and errors are amplified. Although the discrepancies were reduced there was no change in ranking order in RANKING1 compared to RANKING, but there were some changes in RANKING6 compared with RANKING2. From this project sufficient evidence is not considered to have been

generated to warrant changing the formula for the calculation of the SPG.

• Use of different weighting factors for calculating the Hazard Index.

RANKING4 included values of 0.35 (0.40), 0.25 (0.30), 0.20 (0.15) and 0.20 (0.15) for the weighting factors applied to *Peak Ground Acceleration*, *Remaining Service Life*, *Soil Condition* and *Liquefaction Risk* respectively. The values originally included in the Proposed Preliminary Screening Procedure, and used in all other calculation runs, are shown in parentheses.

Compared with RANKING2 results, the ranking orders in Table 5.9 show very little difference, while the discrepancy values in Table 5.7 are higher for "RANKING4". In view of the similarity of the attribute values for the hazard index for the bridges, little change could be expected to occur in the ranking. As a result of the uniformity of values the Hazard Index content of the procedure was not subject to testing in this project. The recommendation is that the originally proposed values of weighting factors for the Hazard Index should not be changed.

• Use of different factors in the SPG calculation in RANKING14 using 0.7 x Importance Index and 0.3 x Vulnerability Index instead of 0.6 and 0.4 respectively.

Using different factors would give more emphasis to the importance of the bridges, as indicated by the economic analysis. The overall discrepancy was the second highest of all calculation runs, and the rankings did not alter significantly.

5.3.2.3 Comparison of the ranking results for specific bridges

A. Comparison of SPGR and EAR

Because the correlation between the rankings from the economic assessment and the screening procedure was inconsistent, the results of the screening procedure were examined from other viewpoints as well.

Table 5.7 includes a column in which the average values of the difference in rankings for each of the bridges are listed. These show a number of bridges to have consistently large differences (say exceeding 5) of SPG rankings (SPGR) compared with the economic analysis rankings (EAR) (either consistently more or less). Contributory factors in each case clarify the reasons.

(i) Bridges in which SPG ranking exceeds, on average, the economic assessment ranking by 5 or more:

Piakatutu Stream bridge

Bridge is 5.4 metres long. SPGR exceeds EAR by 5.5.

All annual cost is incurred from damage but is small anyway (\$197).

Screening procedure excludes this bridge in the initial stage.

Kuku Stream bridge

Bridge is 11 metres long. SPGR exceeds EAR by 12.5.

All annual cost is in damage but is small anyway (\$350).

Screening procedure does not exclude this bridge in the initial stage, but only because it has a skew of 30 degrees.

Waiauti Stream bridge

Bridge is 13.7 metres long. SPGR exceeds EAR by 12.2.

All annual cost is in damage but is small anyway (\$316).

Screening procedure excludes this bridge in the initial stage.

Pukehou (Manakau South) overbridge

Bridge is 82 metres long. SPGR exceeds EAR by 8.8.

Shares of annual damage and detour costs are 45% and 52% respectively of the total of \$16,150.

This is a significant bridge across the railway and, intuitively, it should rank higher than the 12th ranking from the EAR, particularly when some of its details are considered.

Otaki River bridge

Bridge is 208 metres long. SPGR exceeds EAR by 5.5.

Shares of annual damage and detour costs is 32% and 62% respectively of the total of \$24,029.

This is a significant river bridge but is of robust construction provided the lengths of exposed piles are not excessive. Intuitively the SPGR seems higher than appropriate but the bridge should be in an "intermediate" ranking category, as is the case with its EAR of 15.

Mangaone Stream bridge

Bridge is 5.9 metres long. SPGR exceeds EAR by 7.1.

All annual cost is incurred from damage but is small anyway (\$197). Screening procedure excludes this bridge in the initial stage.

(ii) Bridges in which SPG ranking is less than, on average, the economic assessment ranking by 5 or more:

Rangitikei River bridge at Bulls

Bridge is 496 metres long. SPGR is 7.3 less than the EAR. Shares of annual damage and detour costs are 24% and 76% respectively of the total of \$192,768.

This is a significant river bridge with some bracing details which warrant closer study. Intuitively the SPGR seems lower than it should be and the EAR of 3 is nearer to what might be appropriate.

Whirokino Trestle

Bridge is 1097 metres long. SPGR is 9.5 less than the EAR.

Shares of annual damage and detour costs are 93% and 7% respectively of the total of \$33,598, but only because it is expected that the structure will not become unserviceable except in low probability strong shaking. The detour is very long and is included as such in the SPGR. Nevertheless, the EAR is significantly higher than the SPGR.

This is a long and important bridge with no close detour. Intuitively it should be assessed with medium/high priority because of its length, with a priority ranking between the EAR of 7 and the SPGR of 14.

Paremata Harbour bridge

Bridge is 136 metres long. SPGR is 11.4 less than the EAR.

Shares of annual damage and detour costs are 5% and 94% respectively of the total of \$341,312. The SPGR of this bridge is the most anomalous of the group since this bridge is a vital link with heavy traffic volumes and has been assessed to have poor seismic resistance because of its pile details. Intuitively the ranking should be much closer to the EAR value of 2 than to the SPGR of 12.

Takapu Road overbridge

Bridge is 11 metres long. SPGR is 7.7 less than the EAR. All annual cost is in damage but is small anyway (\$385). Screening procedure excludes this bridge in the initial stage.

(iii) Discussion

From the above points the reasons for the differences between the values of the SPGRs and the EARs generally can be identified. In 5 of the 10 cases the bridges

in question are short and, by application of the screening procedure, would be excluded from further consideration unless liquefaction of the foundation materials was a consideration. A sixth bridge (Kuku Stream) would also be excluded on inspection as its 30 degree skew is unlikely to warrant detailed assessment of the structure.

Of the other 4 structures the SPGR for Pukehou overbridge appears to be justified. Of more concern are the low SPGRs for Rangitikei River bridge, Whirokino Trestle and Paremata bridge. While it may be possible to modify the factors in the screening procedure to more closely account for all conditions, it seems unlikely that such a scheme could be more than a stage 1 screening process, as has always been the intention. The question is whether the procedure should be refined more than is proposed in this report, provided that the prioritisation process includes a review of the results of the screening that takes account of specific factors identifiable for individual bridges. Such a review must be undertaken by engineers experienced in earthquake engineering and bridge design, in conjunction with geotechnical engineers and economists.

B. Intuitive assessment of bridges

For this part of the review the bridges were subjected to an intuitive assessment, based on the information shown on the drawings and gained from the site visit, to allocate them to a priority category for more detailed assessment. Categories 1, 2 and 3 indicate the order of priority for assessment. No further priority order within each group was established for this report, although it could be in practice. Table 5.10 presents a summary of the rankings, including the categories from the intuitive assessment.

C. Miscellaneous observations

During application of the trial screening procedure it became evident that site investigation information is unlikely to be readily available for many of the bridges, and in particular for the older bridges which are generally those of most interest for assessment. The screening procedure, or a subsequent seismic assessment process, must consider the ground conditions to determine the likely seismic spectra and the probability of liquefaction or instability. This requirement could be a significant item of cost in the determination of priorities for seismic retrofit.

During application of the Proposed Preliminary Screening Procedure inclusion of cables in the *Critical Utilities* attribute (Section 4.4.2.2 (vi)) was considered inappropriate. The recommendation is that the criterion be amended to relate only to services in pipes with an internal diameter of 150 mm or more.

Table 5.10 Summary of priorities for detailed seismic assessment, based on the average SPG rankings from Table 5.7

oolieu by n (excludii	Sorted by Ranking of Average Selsmic Priority Grading, (excluding Rankings 11 and 13)	ading,				Sorted by R (Ranking:	Sorted by Ranking of Avarage Soismic Priority Grading, (Rankings 11 and 13 only)	ing.	
SH1 RP	Bridge Name	Excluded from Prioritisation A By Screening Procedure	Economic Assessment Ranking	SPG Ranking	Economic Assessment Ranking	SH1 RP	Bridge Name	Excluded from Prioritisation By Screening Procedure	Priority Category from Intuitive
931/5.19	Waikanae River Bridge Pukehou (Manakai South) Overbridge	00	4	- 0	4	931/5.19	Walkanae River Bridge	UO I	Assessment
	Ohau River Bridge		<u>- v</u>	v eo	==	915/6.81	Onau Hiver Bridge Otaki River Bridge	2 2	N
0	Manawalu River (Whirokino) Bridge	- Pa	Ð	4	2	915/0.00	Pukeliou (Manakau Soulli) Overbridge	2	
_	Ohau Overbridge	yes	9	in i	o	900/10.67	Manakau North Overbridge	2	••
910/001	Olaki hivel bilage Manakau North Overbridge	2 2	- 0	9 6	ΩV	872/14.30	Manawatu River (Whirokino) Bridge	2 1	•
	Waikawa River Bridge	£ £	n vo	· @	n en	844/1.27	waikawa River Bridge Ranciiikoi River Bridge at Bults	2 2	
	Kuku Straam Bridge	ou.	22	G	22	900/8.16	Kuku Siroam Bridae	2 2	- m
	Pukerua Bay Overbridge	OU OU	89	10	8	953/7.70	Pukerua Bay Overbridge	2	
0	Waiauti Stream (Manakau) Bridge	yes	23	-	13	953/0.00	Paekakariki Overbridge	6	•
844/1.27	Hangilikel Hiver Bridge at Bulls Dankakadal Ougsbidge	0 6	m ç	2 5	cu ș	953/15.41	Paremata Harbour Bridge	2	-
	r aekakatiki Overbitoge Paremala Harbour Bridge	2 2	200	24	7	872/13.04	Otaki Overbridge Welroving Transle	2 8	
_	Otaki Overbridge	2	, t	r ur	- 4	915/4 04	Wallohr Steam Bridge	2 8	3 (
**	Whirokino Trestle	2	7	16	9	942/0.00	Paraparaumu Overbridge	2 2	40
	Kenepuru Stream Bridge	yes	20	17	18	969/7.15	Collins Avenue East Bridge	2 2	, ,
-	Waitohu Stream Bridge	2	4	18	19	969/7.15	Collins Avenue West Bridge	2	1 (2)
_	Paraparaumu Overbridge	2	91	19		845/2.38	Makowal Stream Bridge	yes	e
	North Whirokino Treslie	yes	17	20		845/3.82	Piakatutu Stream Bridge	yes	m
20	Mangaone Stream Bridge	sak	28	21		850/4.35	Makahikaroa Stream Bridge	yes	(*)
908/7.15	Collins Avenue East Bridge	0 1	9 0	22		872/12.41	North Whirokino Trestle	yes	(7)
	Comits Avenue Tvest Bridge	90	5 0	57.0		900/13.90	Waiauti Stream (Manakau) Bridge	yes	.,
	riaxaiulu Siream Brioge Mababibarra Ciroam Bridge	yes	52	24		900/7.04	Ohau Overbridge	yes	
	Parallinal of Culture Chicago	yes	07	67		915/10.53	Mangaone Stream Bridge	yes	.,
	John Sonville South Overpass	yes	24	26		969/4.40	Kenepuru Stream Bridge	yes	.,
	Johnsonville North Overpass	yes	25	27		979/0.00	Takapu Road Overbridge	yes	.,
	Makowai Stream Bridge	yes	27	28		979/4.27	Johnsonville North Overpass	yes	.,
_	Takapu Hoad Overbridge	yes	21			979/4 61	Johnsonville South Overpass	yes	.,
	Makowai No 2 Bridge	No Drawings Available - Not Assessed	 Not Assesse 	<u>.</u>		850/5.67	Makowai No 2 Bridge		
850/5.68	Makowai No 2 Bridge	No Drawings Available - Not Assessed	- Not Assesse			850/5.68	Makowai No 2 Bridge		

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6. CHANGES TO PROPOSED PRELIMINARY SCREENING PROCEDURE RESULTING FROM REVIEWERS' COMMENTS AND FROM TESTING

6.1 Background

The testing in Section 5 identified elements of the Proposed Preliminary Screening Procedure that needed modification to improve the results of the screening.

The draft reports containing the research results were reviewed by specialists appointed by Transit New Zealand who suggested detail and major changes to the Procedure.

6.2 Reviewer's Proposal for Major Changes

6.2.1 Reviewer's Proposed Procedure

One of the reviewers doubted the accuracy of the Caltrans procedure and questioned the validity of the basis on which it was structured. He believed that it was preferable for Transit New Zealand to adopt a newly-developed method rather than to use a modified Caltrans procedure. He had developed such a method during post-graduate work at the University of Canterbury (Maffei and Park 1995) ("M and P"). It has been examined but not tested by the authors of this report while developing the Proposed Preliminary Screening Procedure.

6.2.2 Discussion

The "M and P" approach was designed to provide a basic screening procedure (flow-chart) coupled with a secondary screening (visual/schematic assessment). This is equivalent to the approach discussed in this report which uses the Proposed Preliminary Screening Procedure and a subsequent review by an experienced seismic engineer. The "M and P" approach, however, claims to use a minimum of data but to analyse it as accurately as possible with the basic and secondary screenings based on approximate benefit/cost analyses.

An examination of the "M and P" method raised doubts over whether it would result in more "accurate" orders of ranking. There was doubt whether it could use basic records in its first stage screening, and whether the results of the benefit/cost analyses would be reliable as a means of differentiating between the bridges in a group being screened. Reasons for these doubts include the following:

• There were unavoidable approximations incorporated in the curves used in the procedure (e.g. the Damage v intensity, "fragility", curves for different seismic vulnerability ratings). The derivation of these curves for individual bridges or groups of bridges would be time consuming if they were to be

sufficiently "accurate" for inter-relating the damage inflicted on individual bridges. Even so, it was questionable if "accuracy" could be attained. It seemed that the curves were to be derived by using specialist knowledge of the behaviour of various types of structure, or by observing typical levels of damage in past earthquakes, yet the database of damage records was small and did not cover the range of structural types for which information would be required.

• The data on which it was proposed to base the benefit/cost calculations appeared to depend on averaged values, such as percentage damage information from other general studies. This approach may be suitable when considering damage to a group of structures, but appeared to defeat the object of differentiating between the performance of individual structures, because of the large scatter of data which applied between individual cases.

In summary, the "M and P" method represents a logical approach to the problem of prioritising structures for seismic assessment, but it requires accurate input data to produce accurate output information. It is not appropriate to apply averaged data when differentiating between structures because neglecting the scatter of actual data for individual structures is likely to cause significant errors in the results, which would possess an illusory accuracy caused by the apparent sophistication of the methodology. Production of "accurate" input data, if attainable, would be very time consuming, and would need to be customised to each structure or group of similar structures.

Although the results of the testing in Section 5 show poor correlation between the ranking orders of bridges using the SPGS and the economic analysis, the overall ranking obtained when combined with a secondary screening will be realistic for practical purposes. It will enable the bridges to be prioritised for more detailed assessment of seismic resistance. A significant advantage of the Proposed Screening Procedure is that it is easy and quick to apply.

It would be very useful to have a measure of the relative benefit/cost ratios of retrofitting the bridges at the same time as the results of the screening procedure. It would be appropriate to derive these for the bridges identified as being in the highest priority for detailed assessment as an additional indicator of the order in which the assessments should be undertaken. Such a ratio could only be produced quickly if it were based on approximate methods, and hence itself would be approximate. Judgements for the individual bridges would be required of the cost and probability of damage, the cost of repairs, the time required for repairs, the effect of detours on traffic demand and costs, the likelihood and extent of damage to detours etc. Estimation of a benefit/cost ratio could be included in the procedure as part of the "expert" appraisal after the secondary screening, but would add a significant time delay to the overall process.

A recent paper (Buckle et al, 1995) describes the 1995 improved screening procedures for seismic retrofitting of highway bridges produced for the United States of America Federal Highway Authority. The procedure recognises that not all issues can be reduced to a numerical factor, and includes a critical review of the results of the numerical ranking to take account of factors such as redundancy and economic aspects, before the final prioritised list of bridges for detailed seismic assessment is produced.

6.3 Changes to the Proposed Preliminary Screening Procedure to produce the Recommended Preliminary Screening Procedure

Changes have been made to the Proposed Preliminary Screening Procedure outlined in Section 4, as a result of the testing in Section 5 the Reviewers' comments on the draft reports. The Recommended Preliminary Screening Procedure is set out in Appendix 5. Changes made are as follows:

- 4.4.2.1 Hazard index:
- (iii) Soil Condition rating is:
- = 1.0 Flexible or deep soil or "Don't know"
- = 0.5 Intermediate soii
- = 0 Rock or very stiff soil

The definition of subsoil types contained in the Bridge Manual 1994 (1995 Amendment), which is the same as that in NZS 4203: 1992, is adopted.

Reason: It is logical to use readily available classifications, and the use of 3 rating values reduces the effect of the "step" function.

- 4.4.2.2 Importance index:
- (i) AADT on bridge rating is:
- = AADT/30,000 ≤ 1
- (ii) AADT under bridge rating is:
- = AADT/30,000 ≤ 1
 - = 1 when a state highway or motorway bridge crosses a railway line.

(vi) Critical Utility attribute has been modified to exclude electricity and telephone cables and include only piped services in pipes of 150 mm diameter or larger.

Reason: The presence of cables is likely to represent a minor factor relative to traffic disruption costs, and their elimination from the procedure is a worthwhile simplification.

The *Importance Index* is the sum of:

Weighting		Attribute Rating		Weighted Rating
0.50	X	AADT on Bridge rating x Detour Length rating	****	
0.10	X	AADT under Bridge rating	=	
0.15	x	Facility Crossed rating		
0.15	x	Route Type on Bridge rating	=	
0.10	x	Critical Utility rating	=	
		TOTAL		Importance Index

Reason: The testing in Section 5 showed the traffic volume and length of detour represent a large component of the consequential costs of a bridge closure. Adjustment of the basis of the *AADT* on bridge rating and multiplying it by the *Detour Length* rating emphasise the effect of these factors.

4.4.2.3 Vulnerability index:

- (iv) Superstructure Length rating is:
- = 1.0 bridge length exceeding 200 m
- = 0.8 bridge length from 100 m to 200 m
- = 0.6 bridge length from 40 m to less than 100 m
- = 0.2 bridge length from 20 m to less than 40 m
- = 0 bridge length less than 20 m

Reason: The testing in Section 5 showed the length of time taken to reopen a bridge has a significant influence on the consequent cost of traffic interruption. The time taken to place a temporary bridge is affected by a need to construct temporary pier(s), which is likely for bridges more than 40 m long, and is also related to

the length of the bridge. The scope of the *Superstructure Length* attribute has therefore been expanded and its weighting increased from 0.07 to 0.12.

(vi) Skew rating:

The weighting of this attribute has been reduced to 0.05 to cater for the increase in the weighting of the *Superstructure Length* attribute.

4.5 (Table 4.2):

Reference to "Bridges with primarily timber superstructure" is deleted from the list of bridges excluded from further seismic assessment.

Reason: There is no structural reason why bridges with a timber superstructure should be excluded on the grounds of the material from which the superstructure is made. In practice, the majority of the small remaining number of timber bridges are likely to be in one of the categories which qualify for exclusion.

7. ASSESSMENT OF COST AND TIME REQUIRED TO APPLY THE PRELIMINARY SCREENING PROCEDURE

The total number of bridges on state highways in New Zealand is variously reported as 2524 on the Bridge Inventory and 3272 in Transit New Zealand Roading Statistics 1994. Some of this difference may arise from reporting bridges on dual carriageways collectively or individually, but it is also likely that some of the difference arises from the definition of a bridge at the small end of the range. For this reason, for the purposes of assessing the cost of applying the preliminary screening procedure to all the bridges on state highways, a figure of 3000 has been assumed.

From the Bridge Inventory, approximately 20% of bridges were designed after 1972. In the Recommended Preliminary Screening Procedure these bridges can be excluded from the prioritisation procedure. A decision will need to be made on the extent to which their details are assembled during screening of a length of highway. It is recommended that their details be retrieved, recorded and made available for brief review with all others, even though the detailed screening would not be required. The estimates of cost and time have been calculated based on this assumption.

On the basis of the times taken to screen the relatively small sample (29) which forms the subject of this report, it is estimated that the cost to apply the Recommended Preliminary Screening Procedure to the assumed 3000 bridges on the state highways network would be NZ\$1 - 1½ million. A time input of 10 000 to 15 000 hours is estimated, about half of which would be provided by engineers experienced in seismic engineering.

8. SUMMARY AND CONCLUSIONS

8.1 Summary Points

- The trial screening procedure is quick and easy to apply although completion of some items are subject to judgement. A visit to the site by one of the assessment team is essential before the screening is carried out to confirm details and ensure that the drawings held truly represent the existing structure.
- Correlation was not consistent between the two sets of priority rankings
 for seismic assessment, first using the trial screening procedure and
 second using approximate structural assessment and an economic
 analysis. This was caused by unavoidable approximations in the base
 data calculated for the economic analysis and by limitations in the
 scope (with consequent simplification) of the screening procedure.
- Examination of the reasons for the discrepancies in correlation showed that many, but not all, applied to small bridges that would be eliminated from assessment in the preliminary stage by the screening procedure.
- The economic analysis highlighted the significant cost to traffic in the event of a bridge becoming unserviceable. For this reason trials were run with alternative factors applied to the traffic numbers, bridge length and detour length attributes. Alternative values of factors were selected for recommended use.
- Insufficient evidence was obtained to justify calculating the seismic performance grade using a fully multiplicative formula rather than the combined multiplicative/additive formula recommended. The hazard index values relating to seismic zone, remaining service life and foundation conditions varied only slightly between bridges.
- Intuitive assessment of the bridges was carried out to sort them into three priority groups for more detailed assessment. The three groups matched reasonably closely the priority order from the screening procedure.

8.2 Conclusions

• The Recommended Preliminary Screening Procedure will produce an acceptable screening of structures for more detailed assessment of their susceptibility to earthquake damage, albeit with some anomalies.

To identify and rectify the anomalies an integral part of the procedure must be the review of the results by an experienced seismic engineer with advice from a geotechnical engineer and an economist.

An attractive feature of the procedure is its simplicity of application.

• Site geotechnical information needed to assess the older bridges is likely to be scarce. The information is important as these bridges are of most interest in the review process for selecting appropriate site response spectra, and for assessing the probability of subsoil liquefaction.

9. RECOMMENDATIONS

9.1 General Recommendation

It is proposed that the *Recommended Preliminary Screening Procedure* as set out in Appendix 5, be adopted for use in identifying bridges on the state highway network which justify detailed assessment of their earthquake resistance.

9.2 Implementation

9.2.1 Background

There are approximately 3000 bridges on the state highway network. As discussed in Section 7, the total cost of applying the screening procedure to these bridges is estimated to be NZ\$1 - 1½ million, with a time input of 10 000 to 15 000 hours.

Ideally it is desirable to screen all the bridge stock and to obtain a prioritised ranking list, before undertaking any detailed seismic assessments. In practice it is likely that the prioritised lists will consist of closely graded groups of bridges, and it is important to begin assessments of those in the highest priority groupings before all bridges could be screened. It is therefore recommended that the screening procedure be applied progressively within geographic areas selected on the basis of relative seismic hazard and traffic intensity. It is suggested that convenient units of area for the purpose would be the Transit New Zealand regions. Within each geographic area the order of assessment of the bridges would be determined on the basis of factors which influence the screening prioritisation. These are namely the average daily traffic on the bridge, the bridge length, pier heights and number of spans. They are readily available from Transit New Zealand records. This would represent an imperfect but practical approach which would enable the assessment effort to be applied to many, but not all, of the most appropriate bridges first.

9.2.3 Proposed Procedure

The proposed procedure for implementation would be:

- List the Transit New Zealand regions in order of priority and work on the bridges within one or more regions at one time depending on budget or other constraints.
- Decide the order in which routes, or parts of routes, within the region would be screened by using the Average Annual Daily Traffic (AADT) count.
- Sort the bridges on each route into descending order of importance for screening, based on age, number of spans, AADT and pier heights, in that order.

- Obtain drawings for the bridges.
- Review all the bridges in order of importance and note special features.
 Using judgement and the summary of Seismic Prioritisation Grading (Table 4.2) decide the final "best guess" priority list of bridges for screening within the region. A bridge/seismic design specialist may need to be consulted in some cases at this stage.
- Consult personnel familiar with the bridges in the local area, to confirm the proposed "best guess" list of priority for applying the assessment procedure.
- Apply the screening procedure to all the bridges in the order of priority determined. As noted in Section 8.2 (Conclusions) an integral part of the screening procedure must be the review of the results by an experienced seismic engineer, with advice from a geotechnical engineer and an economist. The resulting Seismic Priority Grading values will facilitate the production of a preliminary ranking list of bridges, within the Transit New Zealand region, which justify more detailed seismic assessment. More importantly, bridges will have been examined by a seismic design specialist and any inherent vulnerabilities noted, thus giving an opportunity for early action if considered necessary.

9.2.4 Suggested Order of Implementation

Figure 9.1 shows the relationship between the Transit New Zealand regions and the seismic zone factors, which reflect seismic hazard. On this basis, and taking traffic volumes into account, a suggested list of regions, in decreasing order of priority, for application of the screening procedure is as follows. The approximate number of state highway bridges within each region is also noted in brackets:

9 (81), 8 (189), 3 (236), 6 (73), 7 (99), 10 (158), 4 (139), 5 (75), 12 (286), 2 (182), 11 (262), 13 (200), 1 (164), 14 (222).

9.3 Other Issues

The Recommended Preliminary Screening Procedure does not include the bridge approaches. A methodology for screening and assessing embankments at bridge approaches or at other locations on the highways would require different criteria. Consideration should be given to its development. However, the likelihood of long term disruption of bridge use being caused by seismic damage to bridge approaches is considered much lower than damage to the structures they serve, because temporary access to the bridge is likely to be achievable in a relatively short time.

Figure 9.1(a) Relationship between the Transit New Zealand regions (numbers ringed, boundaries dashed), the state highways, and the seismic zone factors (numbers not ringed) - North Island.

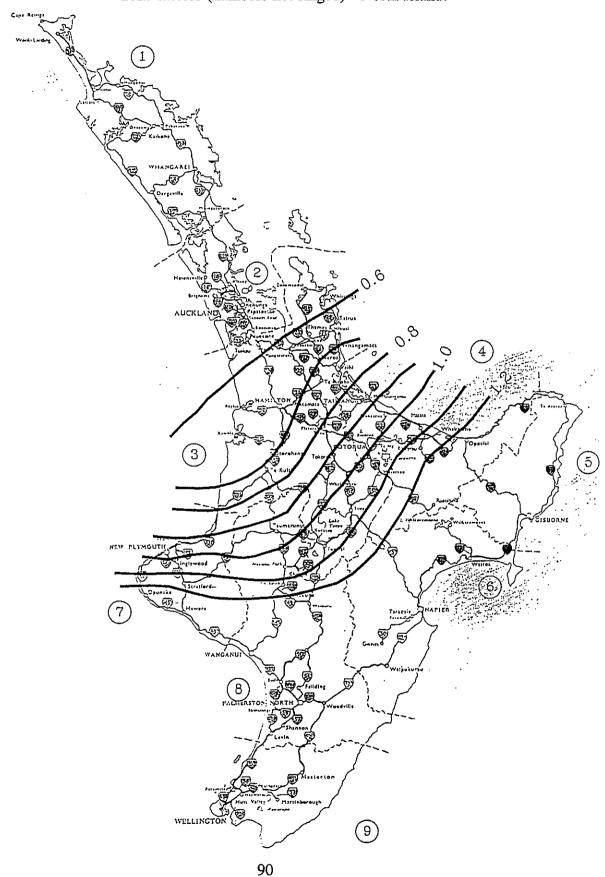
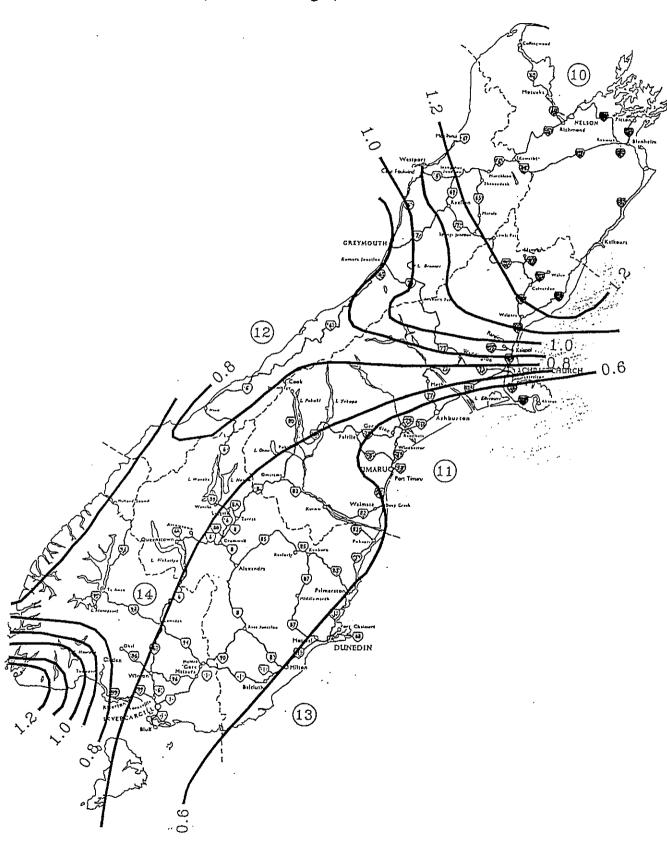


Figure 9.1(b) Relationship between the Transit New Zealand regions (numbers ringed, boundaries dashed), the state highways, and the seismic zone factors (numbers not ringed) - South Island.



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APPENDIX 1

DEVELOPMENTS IN SEISMIC PRIORITISATION OF BRIDGES IN CALIFORNIA

Ann D. Gilbert California Department of Transportation Division of Structures

DEVELOPMENTS IN SEISMIC PRIORITIZATION OF BRIDGES IN CALIFORNIA

ANN D. GILBERT Caltrans Civil Engineer

California Department of Transportation
Division of Structures

ABSTRACT

Proposed is a risk based methodology to systematically prioritize bridges in California according to their need for seismic retrofit. The proposed procedure is based on the multi-attribute decision theory. Objectives of the prioritization program and procedural details are discussed.

INTRODUCTION

A highway system is a complex network of vulnerable links. Bridge structures are by far the most critical links because of their vulnerability to damage when subjected to earthquake loads. It is crucial that transportation agencies in seismic regions evaluate bridges for potential deficiencies. The California Department of Transportation has an ongoing program to develop risk based methodologies for prioritizing existing bridges according to their need for seismic retrofit. Upgrading efforts to reduce seismic risk have continued at an unprecedented rate since the Loma Prieta Earthquake. A logical procedure to systematically rank all bridges according to their need for seismic hazard mitigation has been an essential tool.

California poses a unique atmosphere with special demands on any prioritization procedure. The California highway system contains over 12,000 bridge structures with a wide variance in the seismic loads and hazards applied to each bridge. Extreme demands are placed on the highway system with usage over 20,000 vehicles per day. Furthermore, bridge types, configurations, and details are inconsistent throughout the state. Engineers must remain cognizant of these challenging issues when developing a seismic prioritization procedure.

This paper presents an overview of the latest developments in the seismic prioritization of bridge structures in California. The recent advances have been significant and will be discussed in terms of overall objectives.

RECENT ACHIEVEMENTS

The seismic retrofit prioritization scheme utilized by Caltrans prior to 1992 employed a simplified judgment based risk assessment. In this scheme, each bridge received an overall score computed as the weighted sum of 12 factors. The real merits of this system was its simplicity and the speed of computation.

This ranking scheme employed an additive approach where the weighted factors affecting the probability of failure were added to the weighted factors affecting the consequences of

failure. The overall ranking score as previously computed may produce inconsistent evaluations of relative risk.

Risk is properly evaluated by multiplying the probability of failure by the consequences of that failure. Recent investigations to revise the prioritization scheme has lead to some significant advances. The development work in this area has meant a redefinition of goals and accompanying limitations. Some cognizant and deliberate trade off decisions were necessary in an effort to make measurable progress and improvements in short periods of time. A conventional seismic risk evaluation for all 12,000 bridges in the 15,000 miles of California state highways, although ideal, would be extremely detailed and time-consuming. It has always been imperative that the screening procedure to prioritize for seismic retrofit be efficient and capable of rapidly assessing a large number of bridges. Thus, it was decided that the merits of the previous scheme in terms of its simplicity and speed of computation should not be compromised for an enhanced methodology.

The objective was to develop a logical framework to systematically combine those factors which evaluate relative risk for ranking purposes. It became essential to migrate toward the proper multiplicative definition of risk as the product of the probability of failure multiplied by the consequences of that failure. These goals have been achieved by the employment of the multi-attribute decision theory [1].

PROCEDURAL DETAILS

The development of a prioritization scheme based on the multi-attribute decision theory has resulted in many positive outcomes. This procedure utilizes a risk-based methodology and therefore provides a rational criteria for evaluating the relative priorities of existing bridges. This procedure does not assess absolute risk but only determines relative risk. It remains simplistic in nature and serves only to produce a preliminary ranking. The resultant ranking provides a framework for selecting bridges to undergo a detailed seismic evaluation and retrofit design. Perhaps the single most important achievement in this prioritization scheme is that it incorporates the advantages of previously developed methodologies while employing a multiplicative formulation. The multi-attribute decision procedure provides a systematic method for treating preferences and values in the prioritization process. The hierarchical nature of this procedure has the distinct advantages discussed below.

The multi-attribute decision procedure assigns a priority rating to each bridge in order to determine those structures which are more vulnerable to seismic activity in their current conditions. The prioritization is based on a two-level approach which separates the seismic hazard from the impact and structural vulnerability criteria. The severity of seismic exposure is considered independently from the vulnerability and importance. The prioritization risk rating estimates the seismic hazard to a bridge from various sources and then subsequently assesses the impact and structural vulnerability resulting from those hazards. The details of this formulation allows a bridge with low seismic hazard to receive a lower overall risk rating than a similar structure with higher seismic hazards. This effect was not as achievable with previous prioritization methods which rely on a point-score summation procedure.

Figure 1 presents an overview of the multi-attribute decision procedure. A priority risk rating is computed for each bridge in the California highway network. It is a two level approach with the first level assessing the seismic exposures to a bridge and the second level assessing the impact and structural vulnerability criteria.

The first level criteria directly assess the seismic activity and hazard to each bridge. The second level criteria jointly define the performance of each bridge and potential losses of a bridge. Each of the following four criteria $(c_1, c_2, ..., c_i)$: seismic activity, hazard, impact, and vulnerability depend on a set of attributes $(a_1, a_2, ..., a_{nk})$. A set of weights $(w_1, w_2, ..., w_i)$ are assigned to each criterion at every level to reflect their relative importance. Attribute weights $(x_1, x_2, ..., x_{nk})$ are also assigned to each attribute to define their relative importance within each criterion [1]. The details are illustrated in Figure 2. The criteria weights and attribute weights are based on a 1991 expert opinion survey work of engineers and managers within Caltrans [2].

A global utility function, $g(a_{mi})$, was created for each attribute. They are essentially weight functions defined between 0 and 1 in Figures 3 through 9. These global utility functions are the same as those used in previous algorithm work.

A prioritization rating, $\mathcal{R}_{\cdot\cdot}$ is thus computed for each bridge such that:

$$\mathcal{R}_{J} \geq \mathcal{R}_{J} \geq \mathcal{R}_{J} \geq \dots \geq \mathcal{R}_{n}$$

The prioritization rating is computed by multiplying the global utility functions with the weights of the attributes. That is:

$$\mathcal{R}_{x} = \sum_{\substack{\text{all } c_{i}}} w_{i}(c_{i}) \quad \sum_{\substack{i=1 \ i=1}}^{mk} x_{j}(a_{j})g(a_{j})$$

where,

•		$\Psi(c_I)$	=	\mathbf{w}_I	=	1.0
c_2	= hazard criterion	$w(c_2)$	=	₩ ₂	=	1.0
C3	= impact criterion	$\mathbf{w}(\mathbf{c}_3)$	=	₩3	=	0.6
C_4	= vulnerability criterion	$w(c_i)$	=	\overline{W}_4	=	0.4.

The seismic activity criterion is based on some preliminary assessments and a recently developed activity map by L. Mualchin, Seismologist with the Division of Structures. Fault activity has only recently been incorporated into the prioritization and stems from the recognition that the sources of maximum credible earthquakes [3] have different seismic activities. Since absolute seismic activity is quite difficult to ascertain, relative differences of fault seismic activity were determined utilizing slip rate, seismicity, and recency of movements. The ground shaking effects from each fault were captured by delineating a distance to 0.2g acceleration. The entire state of California was divided into areas affected by high active, active, moderate active, and low active faults. However, only three activity zones resulted because the effects from moderate faults were practically overshadowed by the active and high active faults [4].

The seismic hazard criterion includes three principle components: soil site conditions, peak rock acceleration, and duration of strong ground shaking. The peak rock acceleration is presumed to be produced by maximum credible earthquakes. Duration is a newly considered component and is currently divided into three groups: long (bracketed duration greater than 25 seconds), intermediate (bracketed duration of 15 to 25 seconds), and short (bracketed duration less than 15 seconds). Bracketed duration was employed as the approximate function of magnitude and source distance [4].

The impact criterion utilizes eight attributes to assess the consequences of bridge damage on public safety. The importance of bridge structures as vital lifeline links is determined in

terms of socioeconomic impacts and the effects on the users of the transportation system. In addition to considerations for traffic capacities on and under the structure, potential property damage and loss of life beneath the structure is accounted for by including the residential leased air space and storage leased air space attributes. The importance of each bridge as part of an overall transportation system is evaluated in this criterion.

The final criterion is structural vulnerability. It is defined by six attributes which examine structural details and components to define potential damage. The six attributes generally remain unchanged from previous prioritization procedures [5].

It is useful to compare the ranking which results from the multi-attribute decision procedure with the ranking produced by the previous additive procedure. Figure 10 presents the different ratings for a sample of 100 representative bridge structures. It represents approximately a 30% variation in rank ordering. It is believed that this 30% change produces a more consistent and accurate ranking.

FUTURE OBJECTIVES

There are several areas to address when considering potential enhancement issues. Some efforts are currently underway and others are only potential long term goals. Any refinements which can be achieved have merits in optimizing the allocation of retrofit funds and serve to enhance the prioritization tools which need to be in place in the event of a major earthquake.

Each enhanced prioritization method which has been adopted over the past several years has continually brought Caltrans closer to a methodology based on structural reliability theory. This is a very important concept and provides a defensible and theoretically sound approach to prioritization. Consideration is being given to the application of rigorous structural reliability principles to specific critical bridges with the eventual extension to all structures. A key component will be the development of empirical fragility curves. The ATC-13 [6] structural classifications currently available are inadequate. Work needs to be done in the area of extrapolating extremely limited damage data and correlating it with ground acceleration.

The California highway system is a complex network of critical and potentially vulnerable bridges. It is increasingly important to evaluate the seismic reliability of the lifeline from a network systems point of view. Each critical element, or bridge, must be considered as part of a global system. Some modest attempts have been made to address this concept in the current work. However, additional work is needed.

Further refinements of the current method may eventually include subdividing each attribute into sub-attributes. Possible correlation and interrelationships between attributes must also be addressed. A few of the global utility functions need to be reevaluated, modified, and subjected to a sensitivity analysis. Also, an investigation is needed to evaluate the possibility of saturation of several of the global utility functions. Seismic duration is a complex function of soil conditions, characteristics of sources, and propagation paths. The necessity of improving duration assessments has already been recognized but needs to be implemented.

Improvements in the seismic hazard definitions including refinement of the soil condition attribute and seismic activity maps need to be addressed. Likewise, continued emphasis should be placed on data development efforts. This includes further documentation of leased air space sites and other collocation issues.

Finally, an additional level of sophistication should incorporate a cost-benefit analysis. In this way, the overall highway system vulnerability can be reduced by optimally allocating limited resources. Consideration needs to be given to the fact that a sequentially ordered prioritization list provides an imperfect framework in which to optimally select retrofit projects. The selection of alternatives and optimization exercises must be formalized.

CONCLUSION

Relative seismic risk computations for bridge structures are currently based on a large number of assumptions and judgment. The origin of much of this is the uncertainty and randomness of the earthquake process, as well as, the absence of defensible fragility curves. Yet, the current multi-attribute decision procedure has proven to be a successful tool for prioritization. The heavy reliance on empirical experience through past seismic damage of highway bridges coupled with a logical decision process has served Caltrans well in meeting its current challenges. With research efforts already in place empirical experience can be combined with statistical data to advance towards state-of-the-art structural reliability procedures.

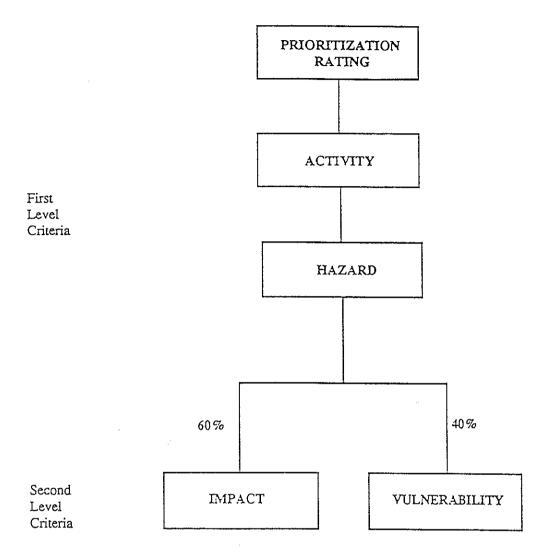
ACKNOWLEDGEMENTS

The author wishes to acknowledge the continued support and contributions from James H. Gates, California Department of Transportation, Divison of Structures. His commitment and recognition of the merits of risk based prioritization procedures have made the recent advances possible in California.

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MULTI-ATTRIBUTE DECISION PROCEDURE



 $\begin{aligned} & \text{Prioritization} = (\text{Activity})(\text{Hazard}) \; [\; (0.60)(\text{Impact}) + (0.40)(\text{Vulnerability})] \\ & \text{Rating} \end{aligned}$

Where,

Activity = (Global Utility Function Value)

 $Hazard = \sum (Attribute Weight)(Global Utility Function Value)$

 $Impact = \sum (Attribute Weight)(Global Utility Function Value)$

Vulnerability = \sum (Attribute Weight)(Global Utility Function Value)

Figure 1

CRITERIA AND ATTRIBUTE DETAILS

$c_I = ACTIVITY CRITERION:$

Activity Attributes	Activity Weights
a_{II} = Seismic Activity	$x_{II} = 100\%$

c_2 = HAZARD CRITERION:

Hazar	d Attributes	Hazard Weights
$a_{21} =$	Soil Conditions	$x_{21} = 33\%$
$a_{22} =$	Peak Rock Acceleration	$x_{22} = 38\%$
$a_{23} =$	Seismic Duration	$x_{23} = 29\%$

$c_3 = IMPACT CRITERION$:

Impact Attributes	Impact Weights
$a_{31} = ADT$ on Structure	$x_{37} = 28\%$
$a_{32} = ADT Under/Over Structure$	$x_{32} = 12\%$
a_{33} = Detour Length	$x_{33} = 14\%$
a_{34} = Leased Air Space (Residential, Office)	$x_{34} = 15\%$
a_{35} = Leased Air Space (Parking, Storage)	$x_{35} = 07\%$
a_{36} = Rte Type on Bridge	$x_{36} = 07\%$
a_{37} = Critical Utility	$x_{37} = 10\%$
a ₃₈ = Facility Crossed	$x_{38} = 07\%$

c_4 = VULNERABILTY CRITERION:

a ₄₁ :	=	Year Designed (Constructed)	$x_{41} =$	25%
		Hinges (Drop Type Failure)	$X_{42} =$	16.5%
		Outriggers, Shared Column	$X_{43} =$	22%
a44 :	=	Bent Redundancy	$X_{44} =$	16.5%
a ₄₅ :	=	Skew	$X_{45} =$	12%
a46 :	=	Abutment Type	$x_{46} =$	08%

GLOBAL UTILITY FUNCTION DEFINITIONS

ACTIVITY CRITERION

Seismic Activity

1.00*(0.25=low; 0.50=moderate; 0.75=active; 1.00=high)

HAZARD CRITERION

Soil Conditions Peak Rock Acceleration Seismic Duration

0.33*(1=high risk zone; else 0) 0.38*(linear, normalized to 0.7g) 0.29*(0.5=short; 0.75=intermediate; 1=long)

IMPACT CRITERION

ADT on Structure ADT Under/Over Structure Detour Length Leased Air Space (Residential, Office) Leased Air Space

(Parking, Storage) Rte Type on Bridge

Critical Utility Facility Crossed 0.28*(parabola for a max ADT of 200000)

0.12*(see ADT above)

0.14*(linear, normalized to 100 miles)

0.15*(1=present; else 0)

0.07*(1=present; else 0)

0.07*(1.0=interstate; 0.8=US, ST rte, or stream; 0.7=RR; 0.5=fed funded Co rte or city str; 0.2=nonfed funded Co rte of city str; 0.0=fed land, ST land, other)

0.10*(1=present; else 0)

0.07*(see Rte Type on Bridge)

VULNERABILITY CRITERION

Year Designed (Constructed)

Hinges (Drop Type Failure)

Outriggers, Shared Column Bent Redundancy

Skew

Abutment Type

 $0.25*(0.5=yr<1946; 1.0=1946\leq yr\leq 1971;$ $0.25 = 1972 \le yt \le 1979$; 0.0 = yt > 1979)

0.165*(0.0=no hinge; 0.5=1 hinge; 1.0=2 ormore hinges)

0.22*(1=present; else 0)

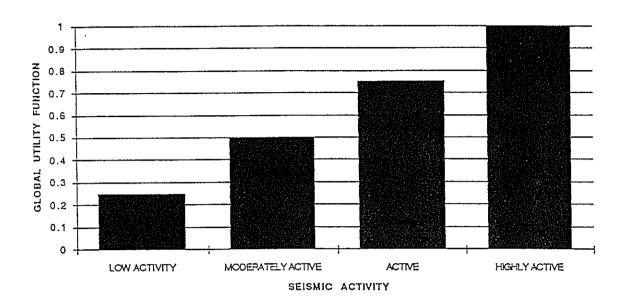
0.165*(0.0=no col.;0.25=pier walls;0.5 multi-col bents; 1.0=single col bents)

0.12*(linear, normalized to 90)

0.08*(0=monolithic;1=nonmonolithic)

GLOBAL UTILITY FUNCTIONS

SEISMIC ACTIVITY



PEAK ROCK ACCELERATION

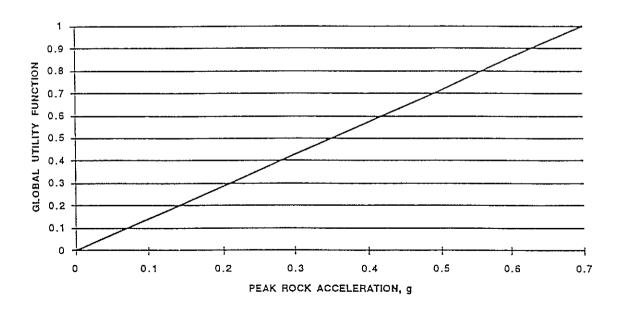
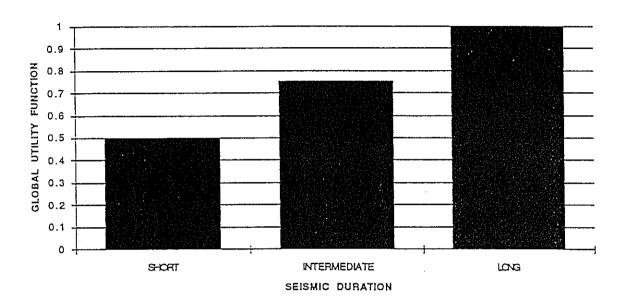


Figure 4

GLOBAL UTILITY FUNCTIONS SEISMIC DURATION



AVERAGE DAILY TRAFFIC

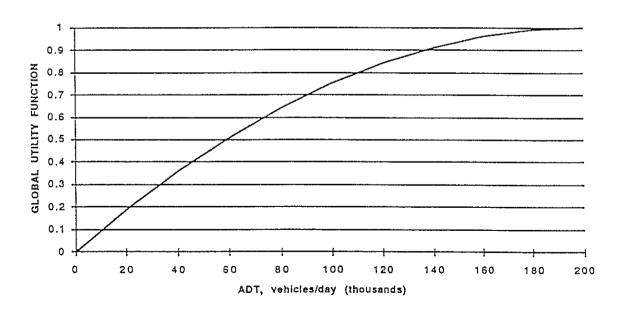
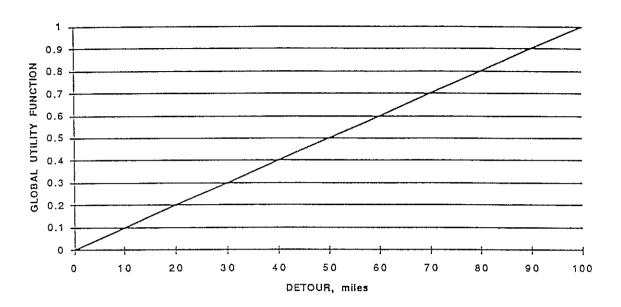


Figure 5

GLOBAL UTILITY FUNCTIONS

DETOUR



ROUTE TYPE

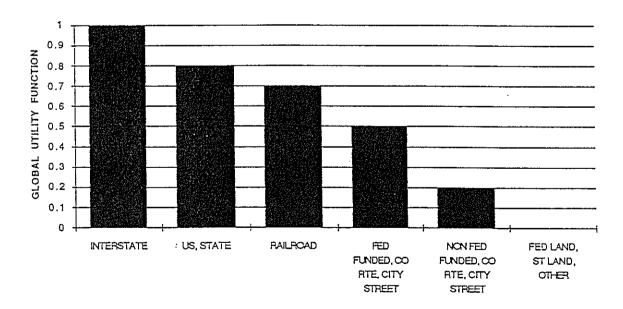
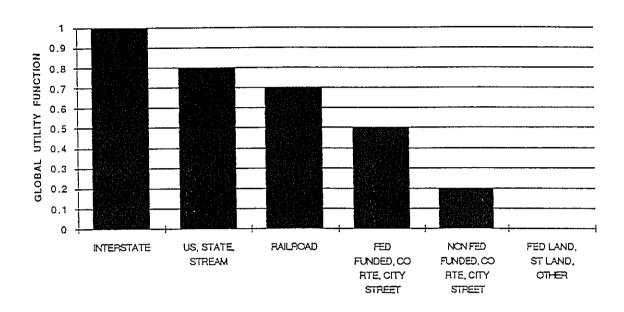


Figure 6

GLOBAL UTILITY FUNCTIONS

FACILITY CROSSED



YEAR CONSTRUCTED

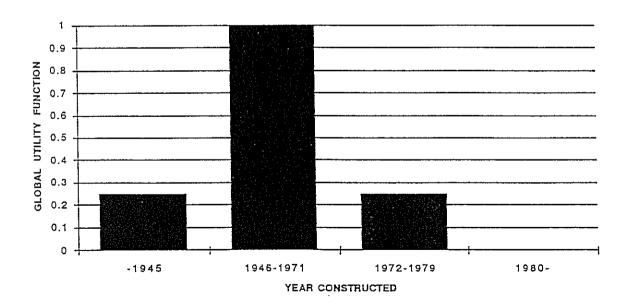
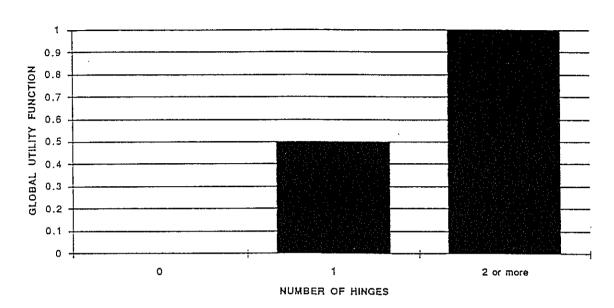


Figure 7

GLOBAL UTILITY FUNCTIONS

HINGES



BENT REDUNDANCY

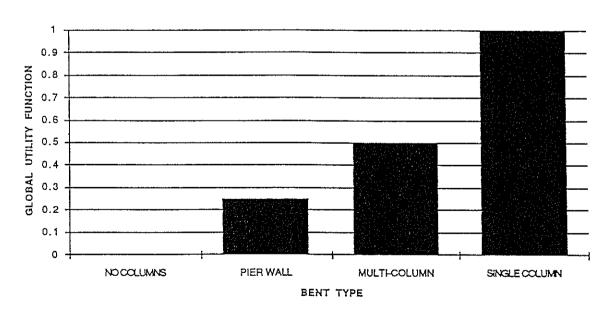


Figure 8

GLOBAL UTILITY FUNCTIONS

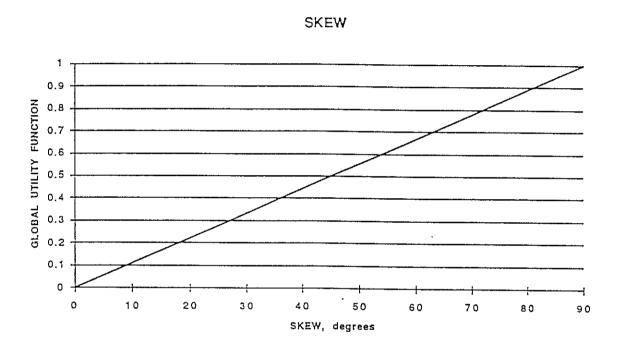
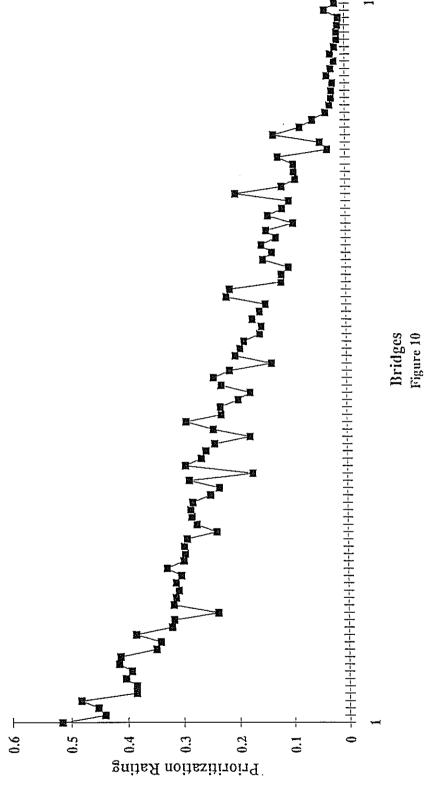
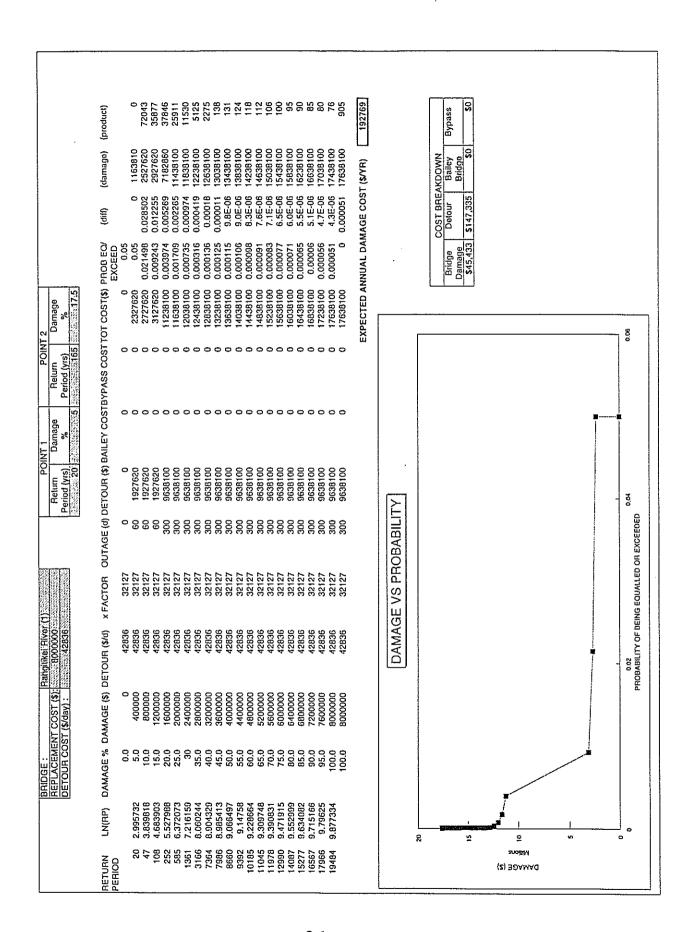


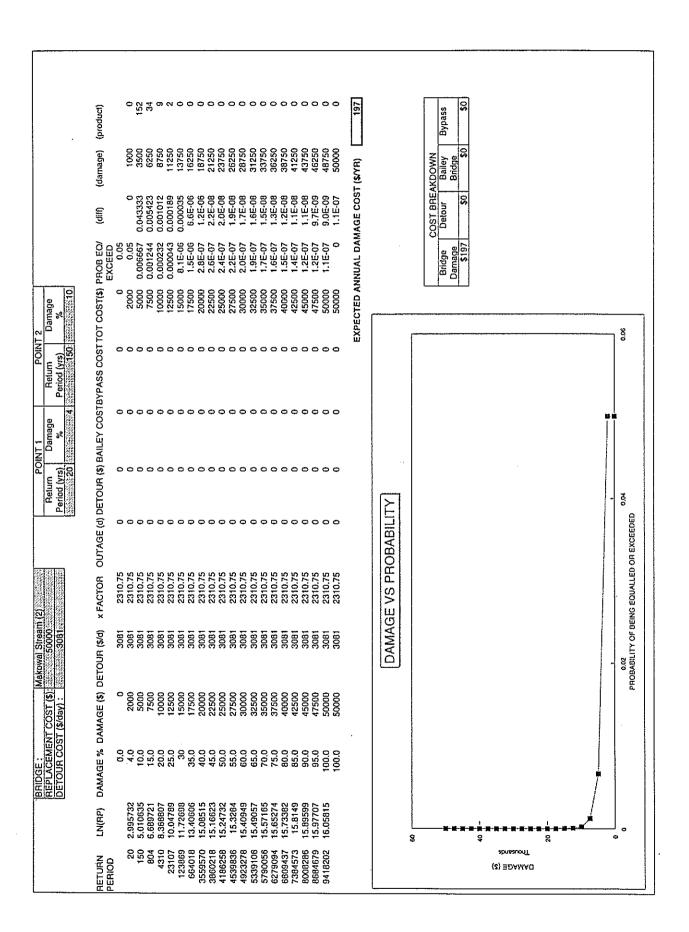
Figure 9

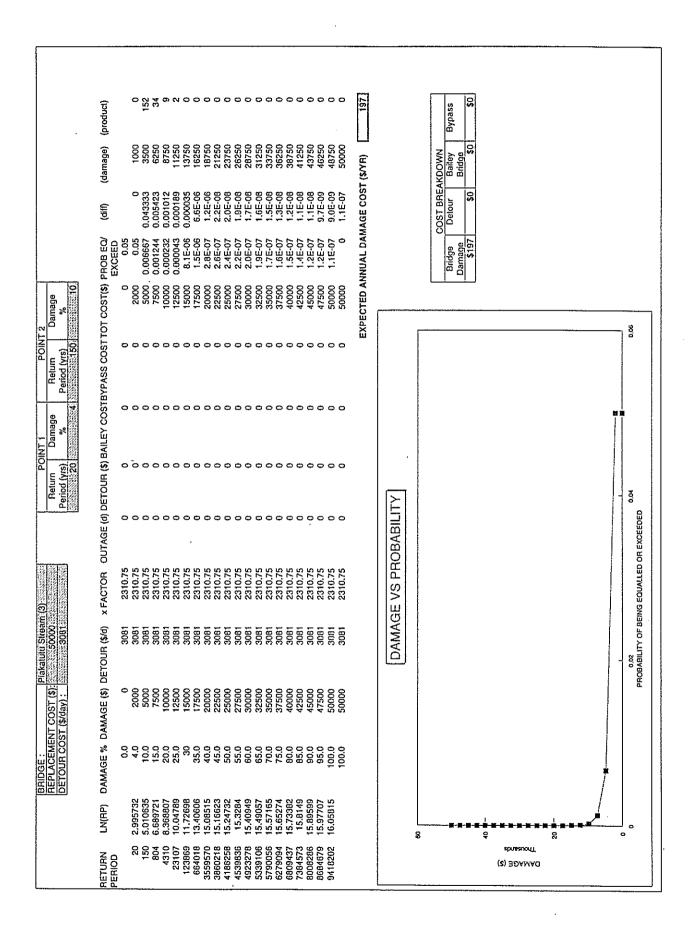


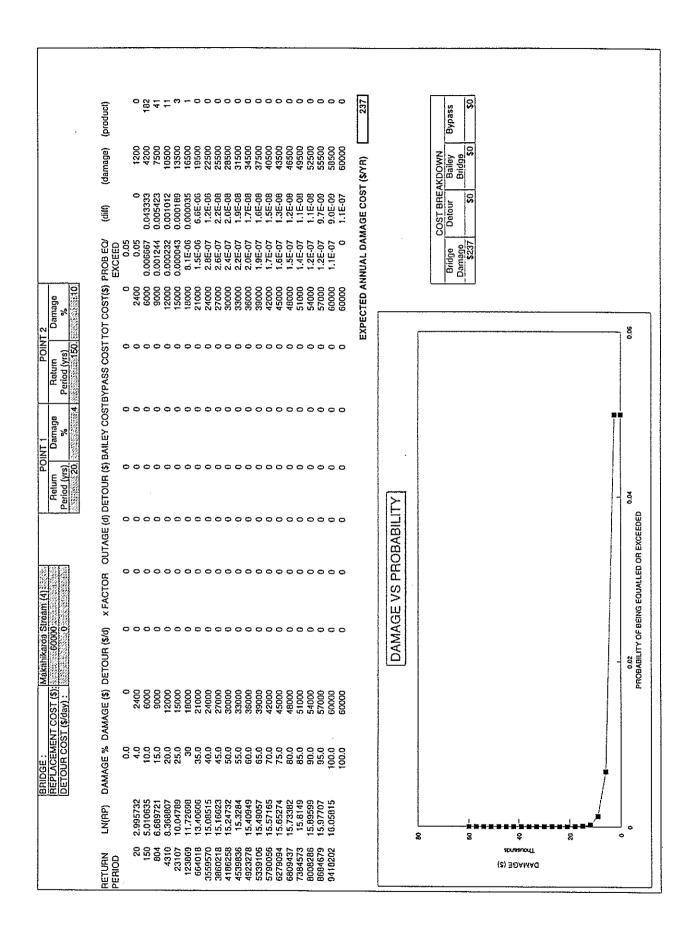
APPENDIX 2

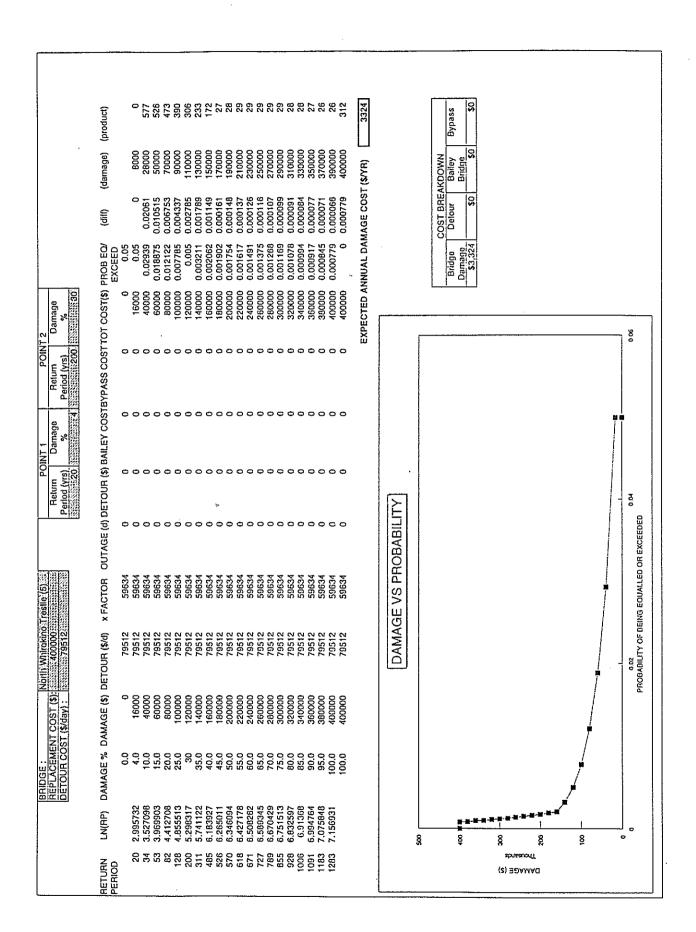
ECONOMIC ANALYSIS - DETAILED SHEETS FOR EACH BRIDGE

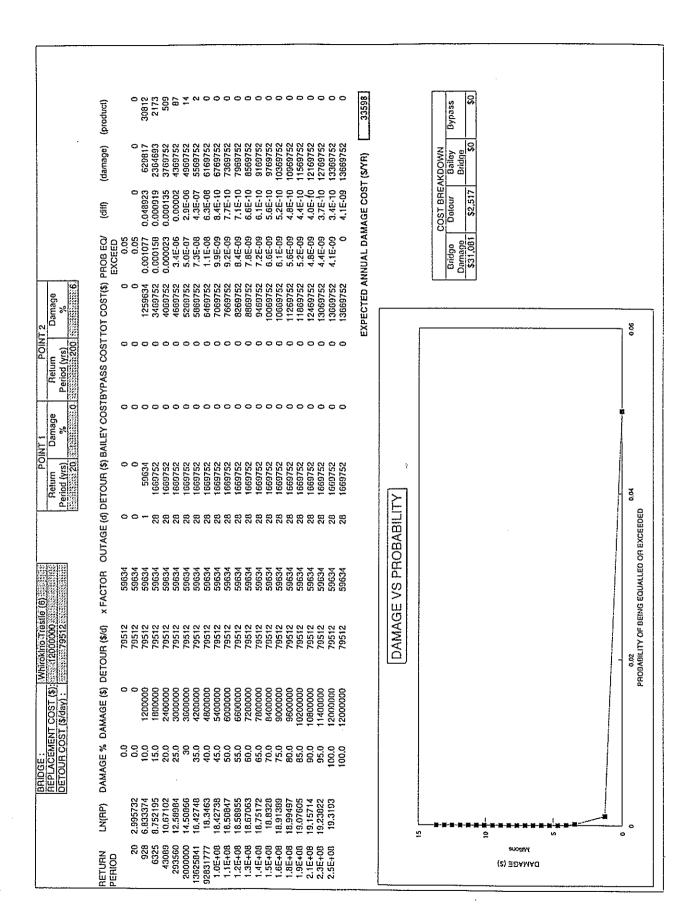


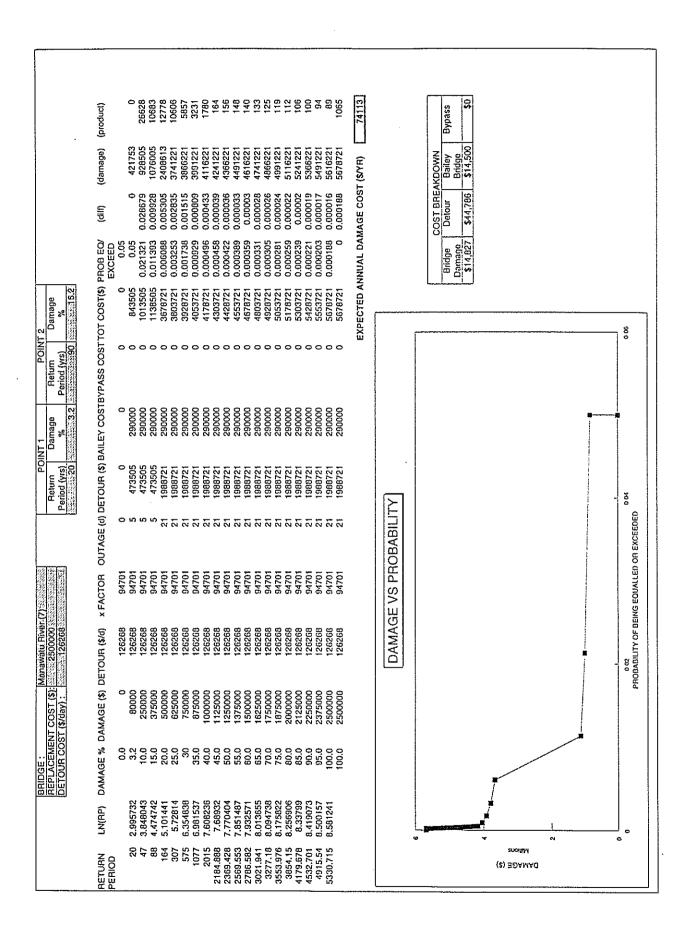


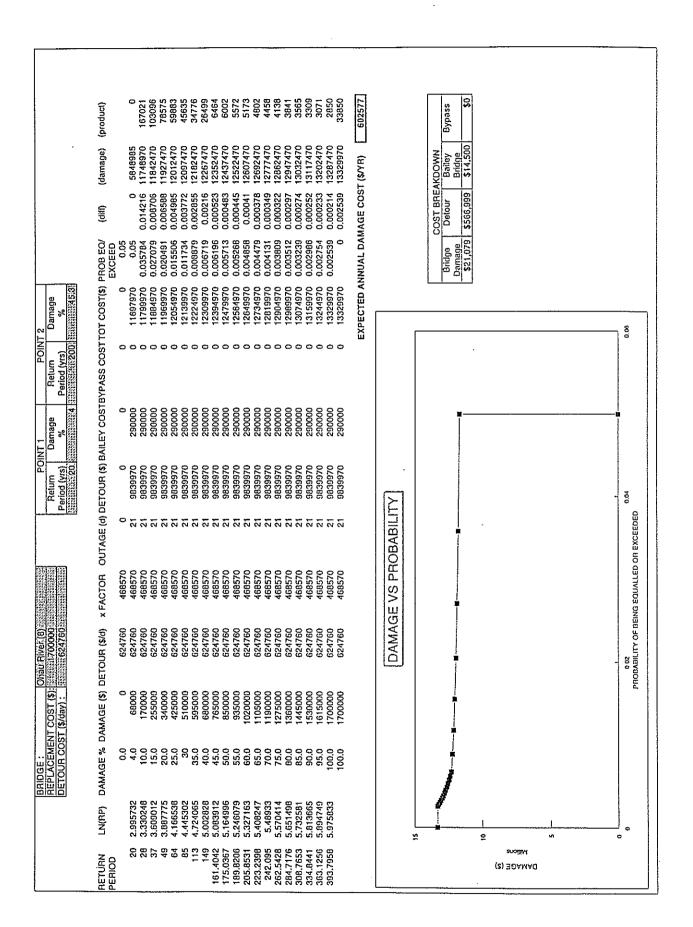


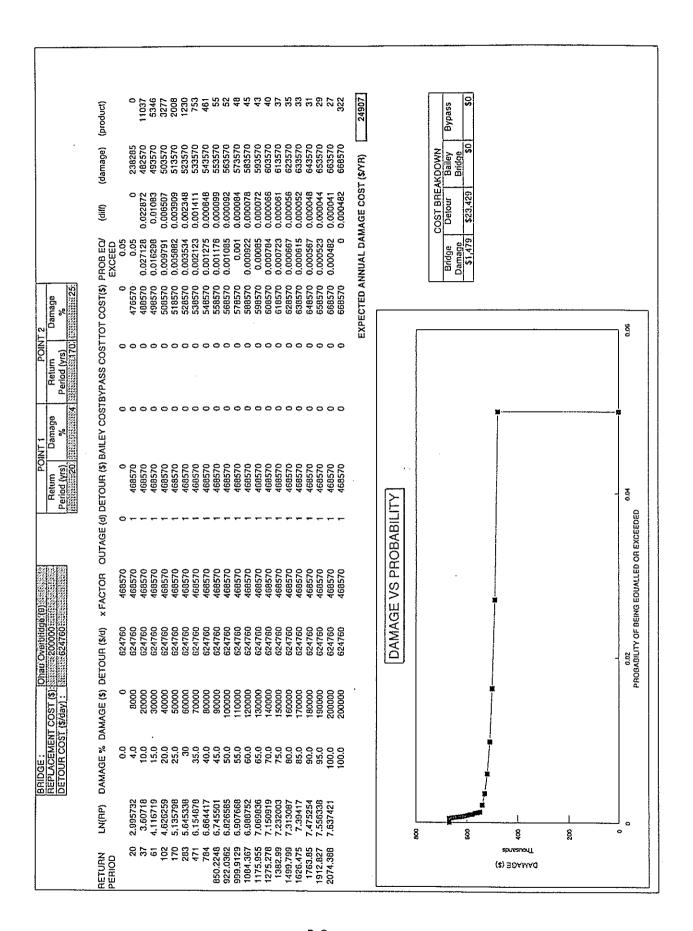


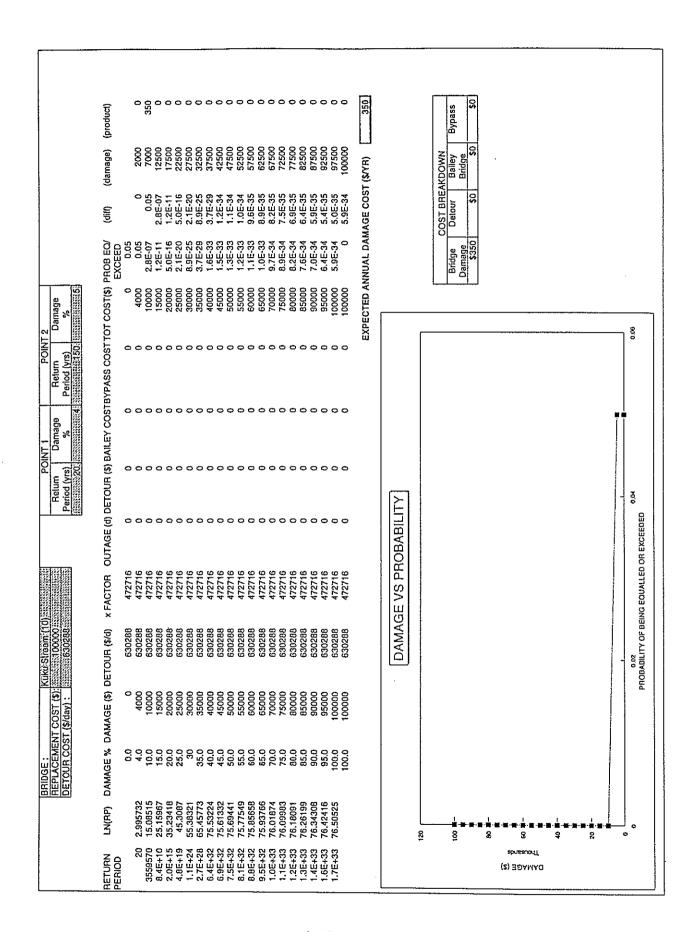


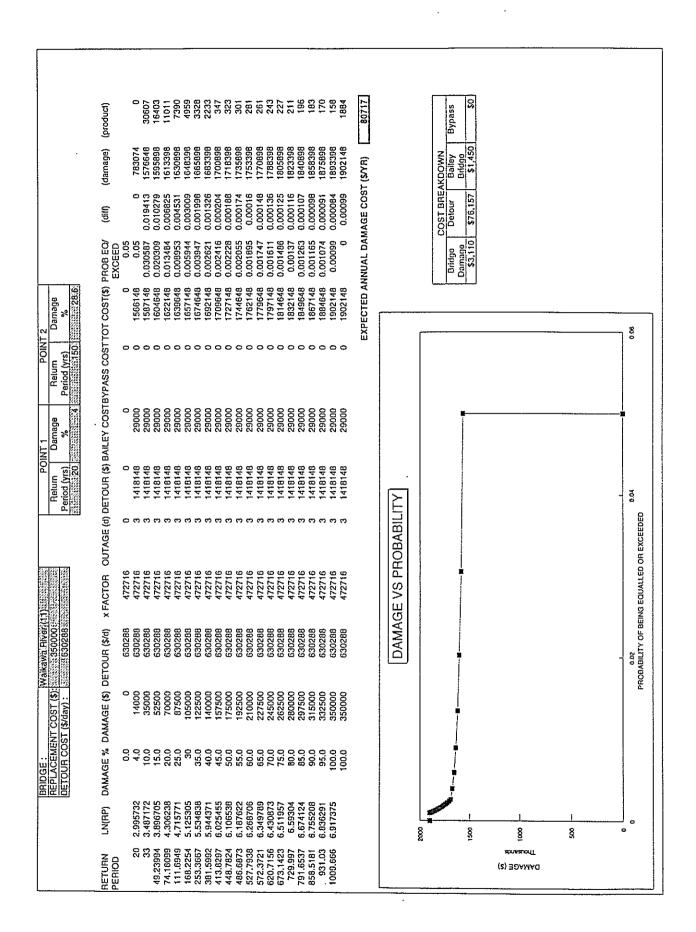


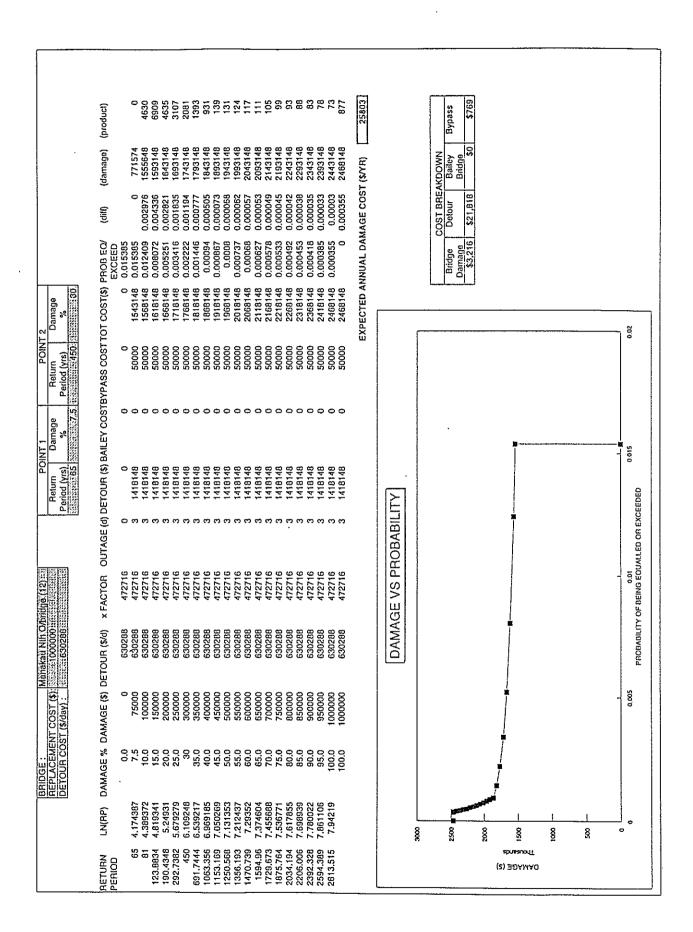


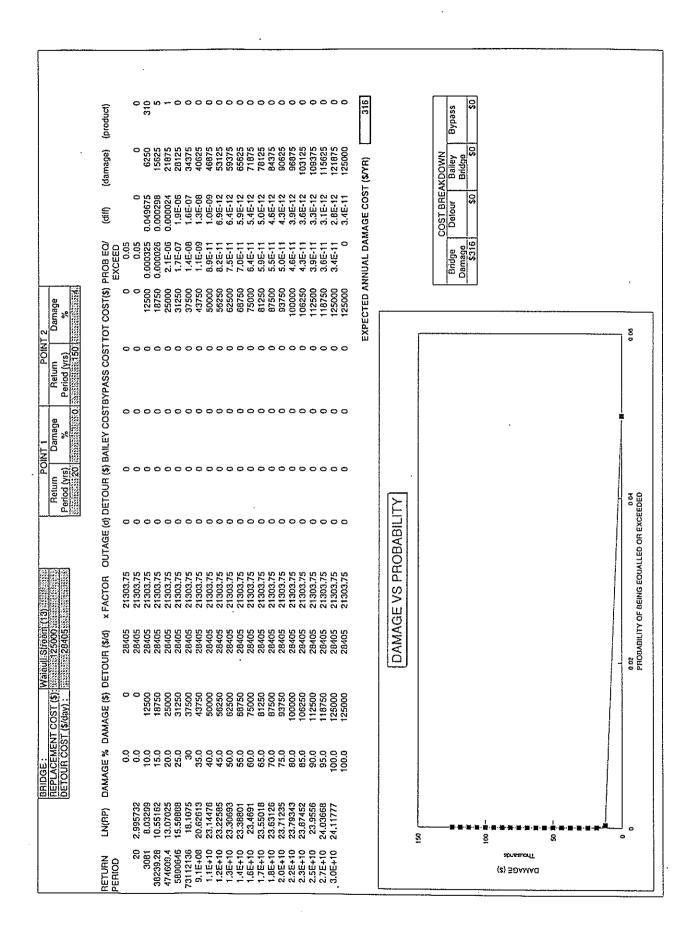


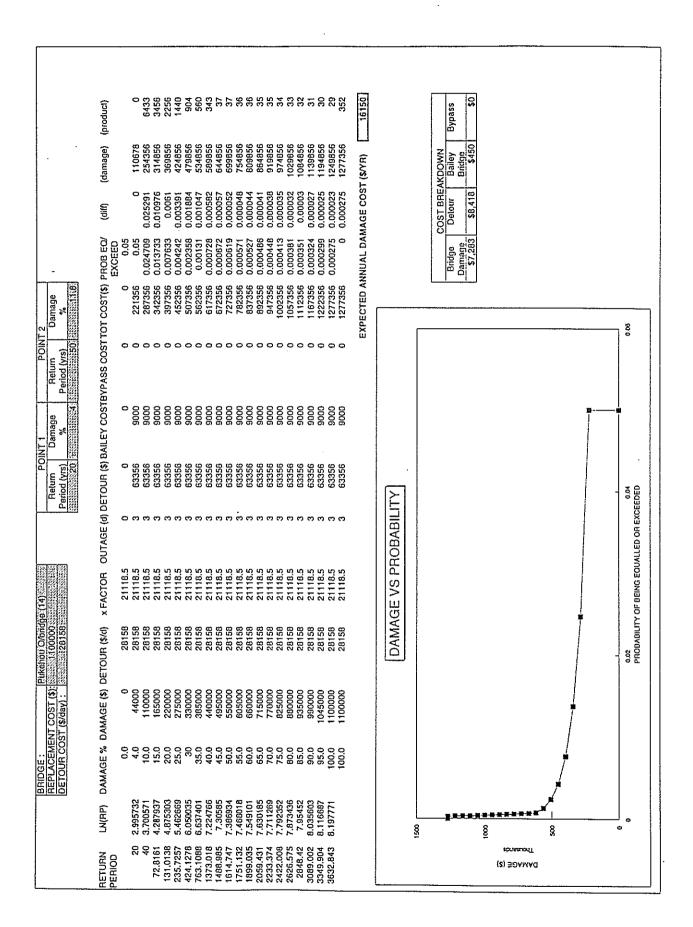


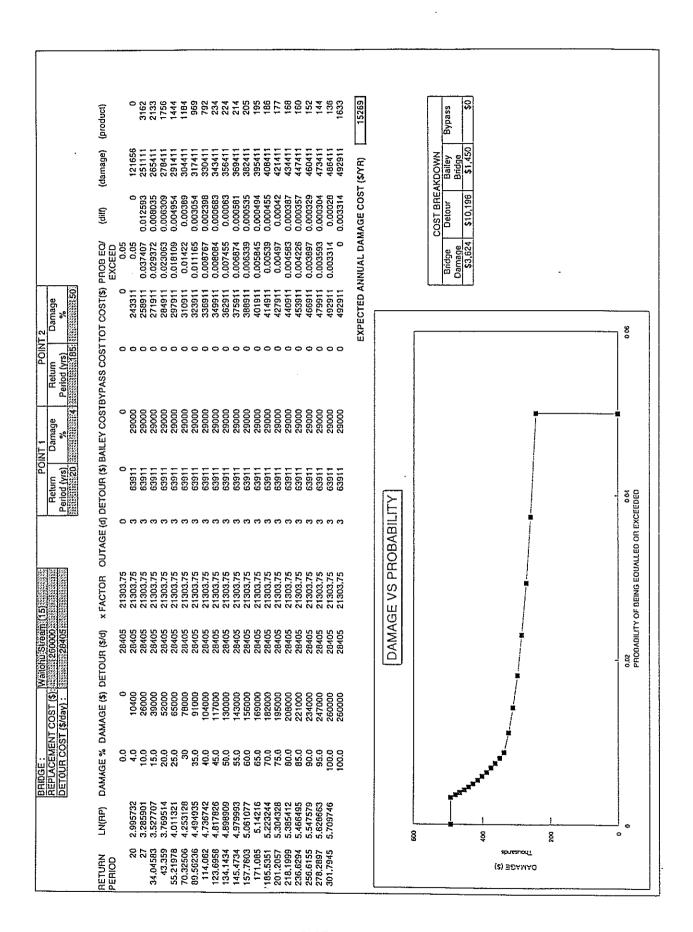


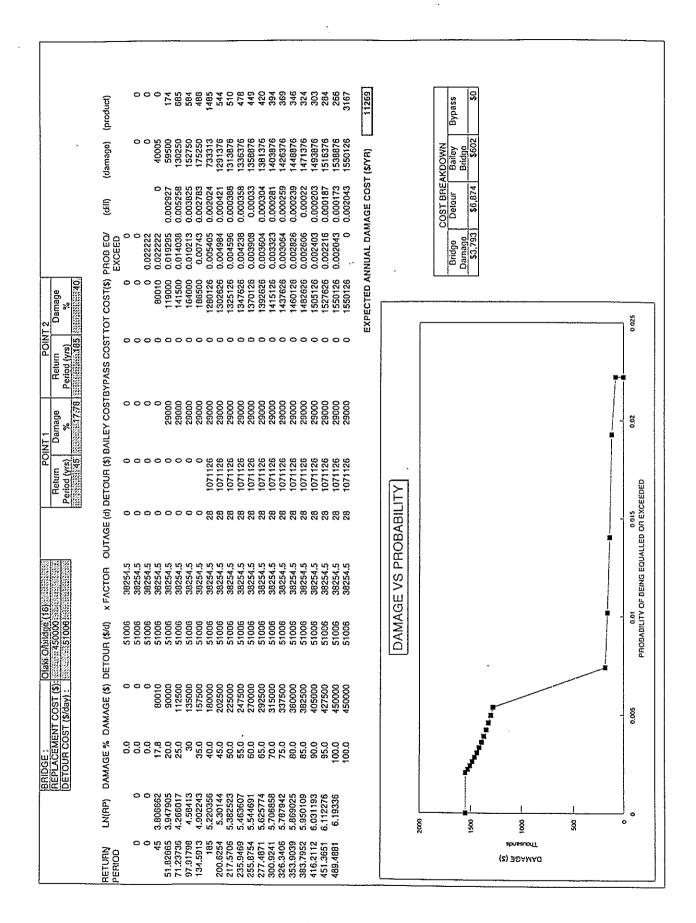


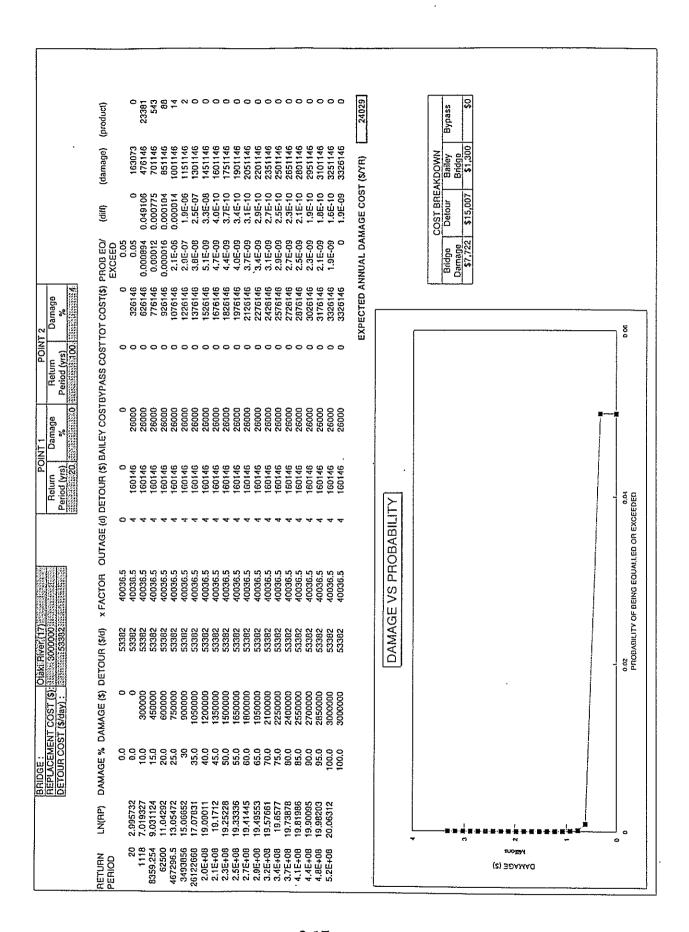


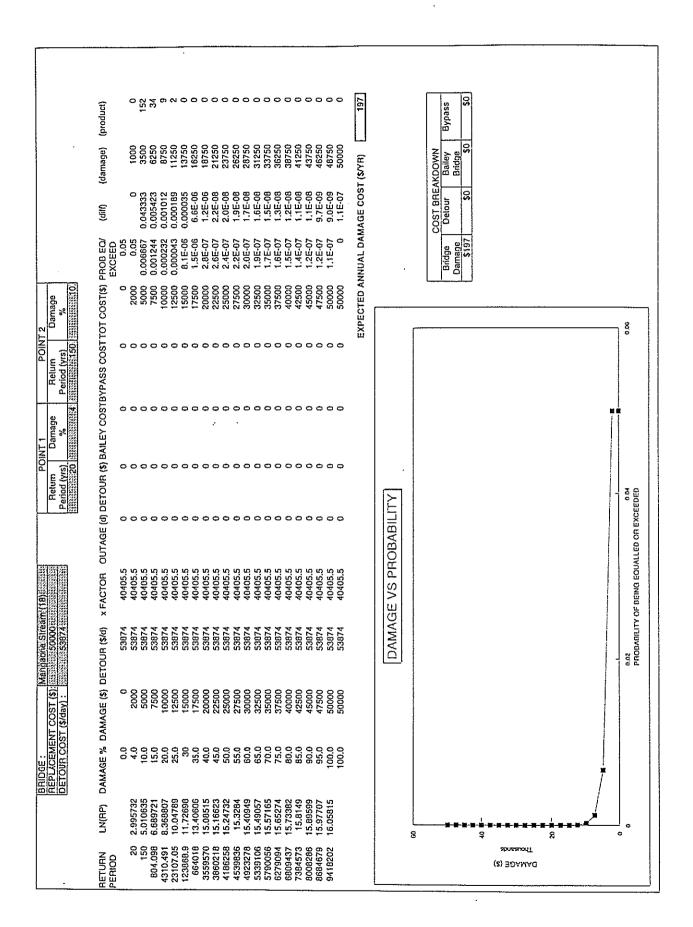


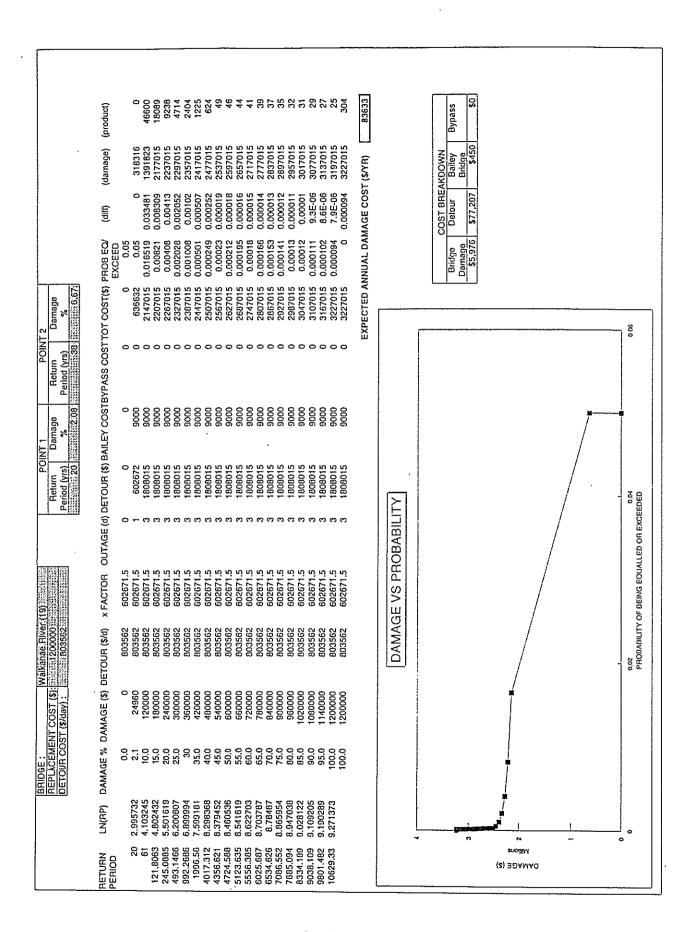


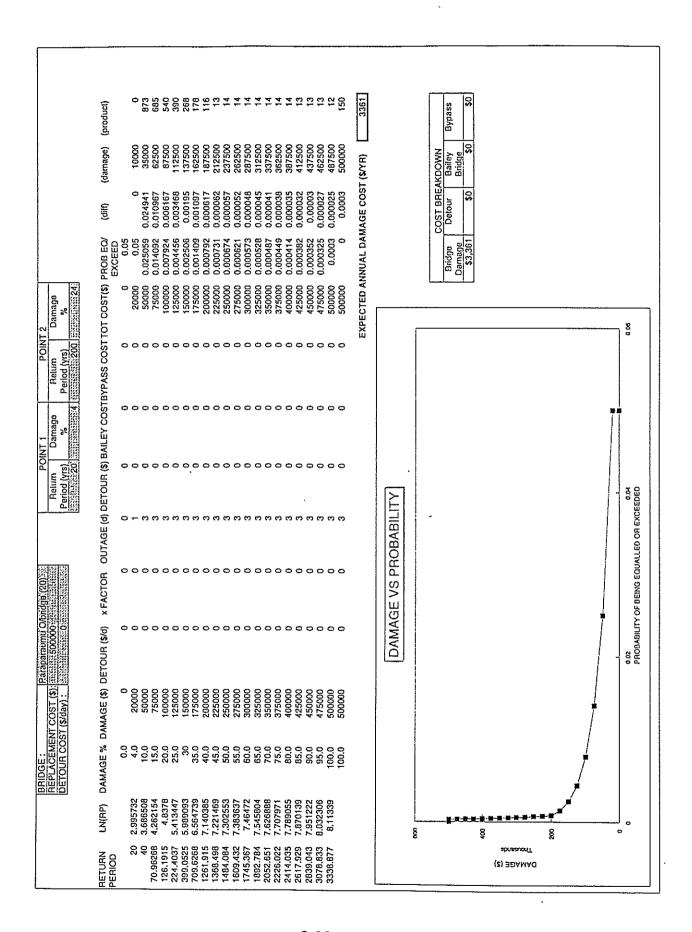


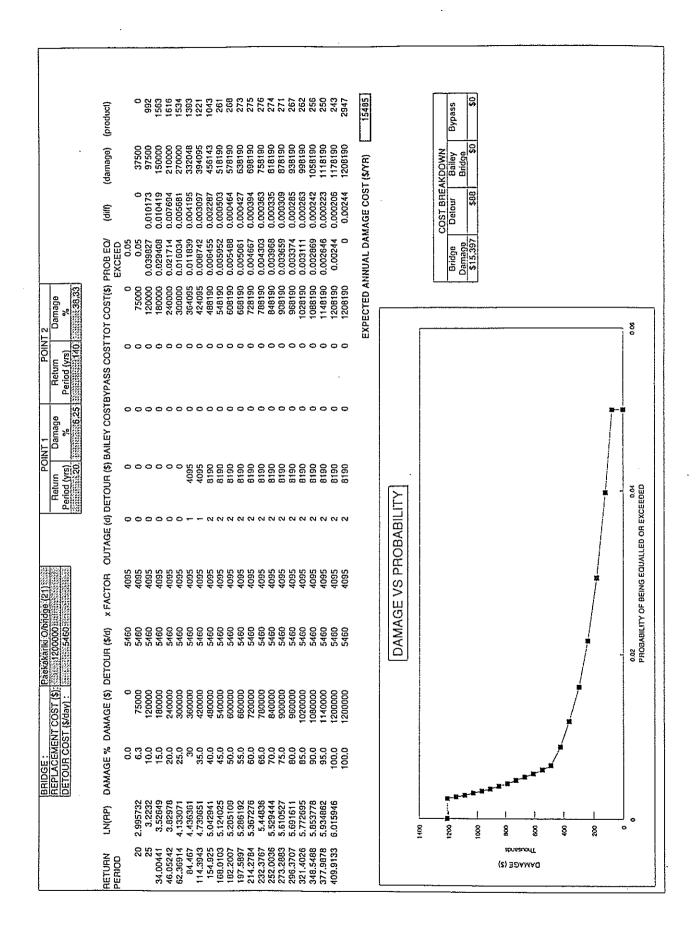


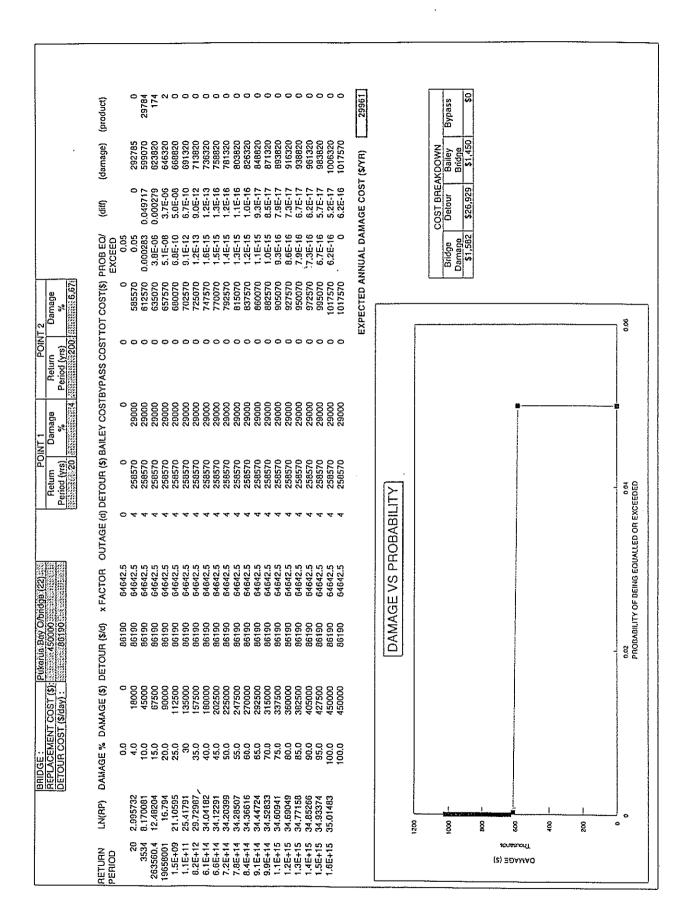


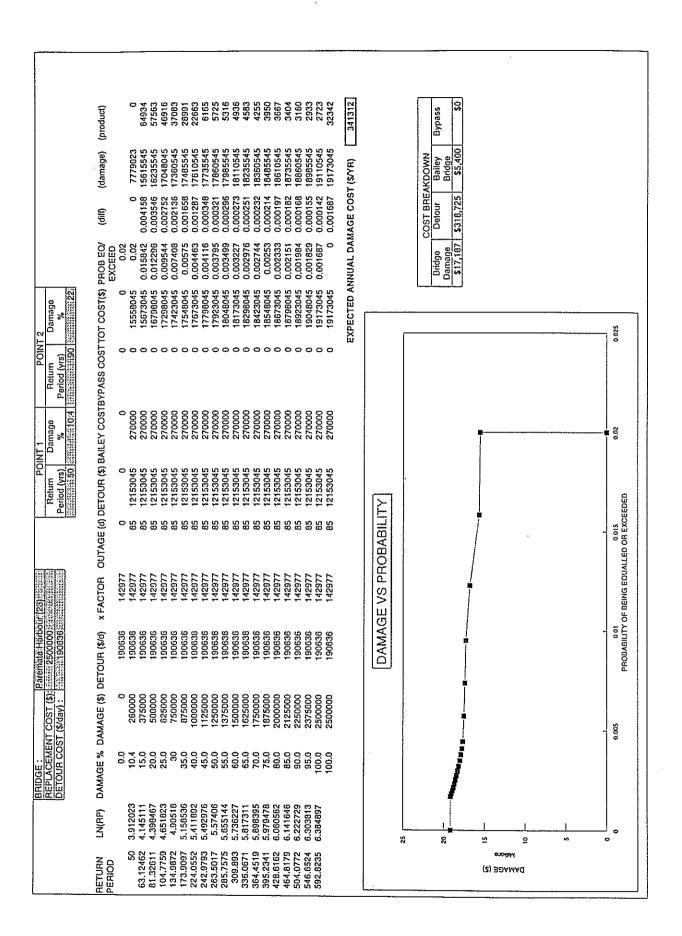


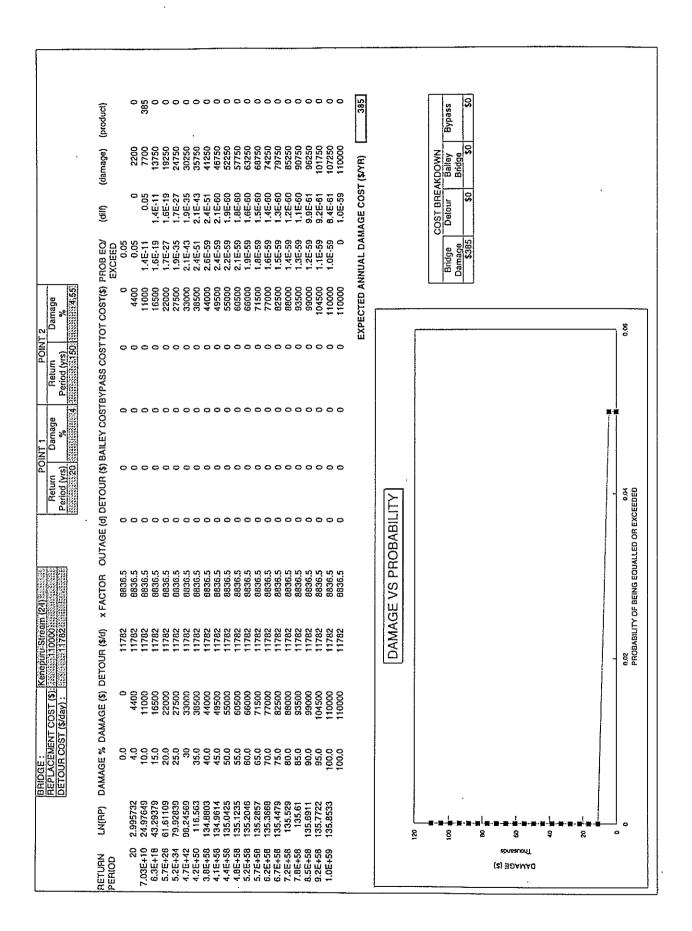


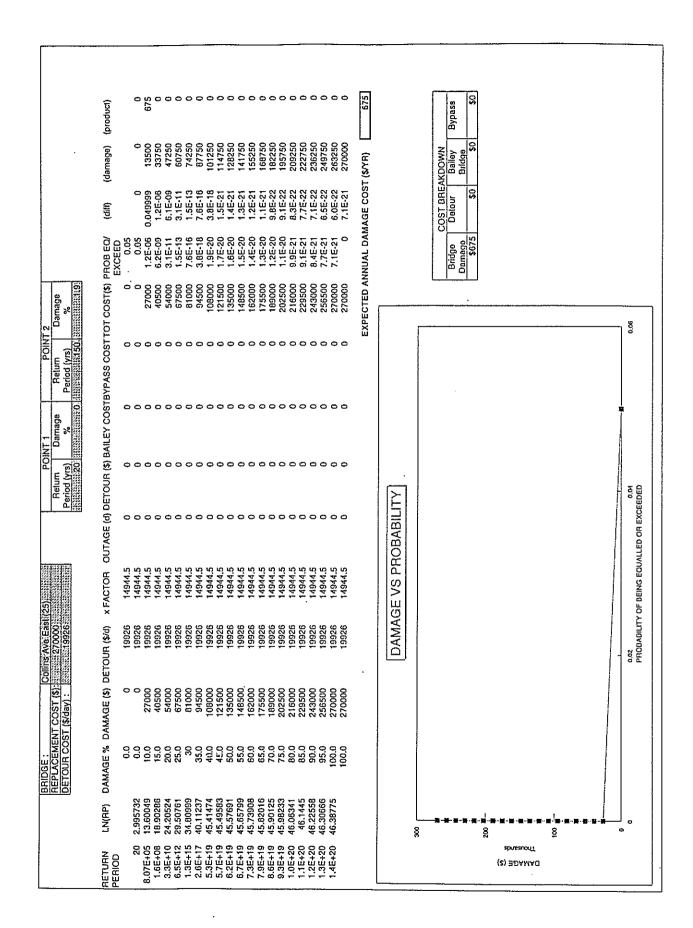


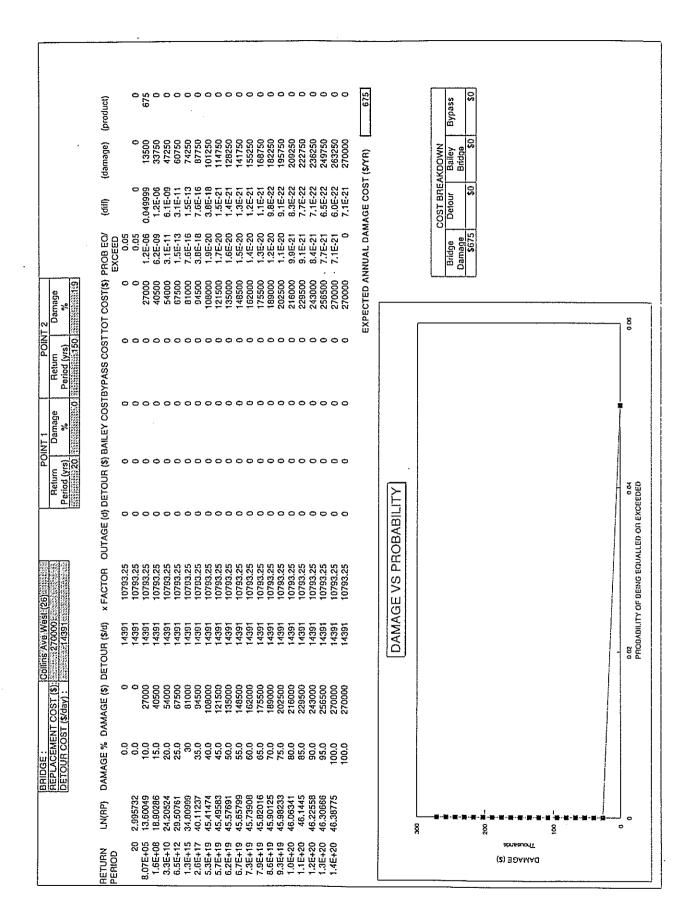


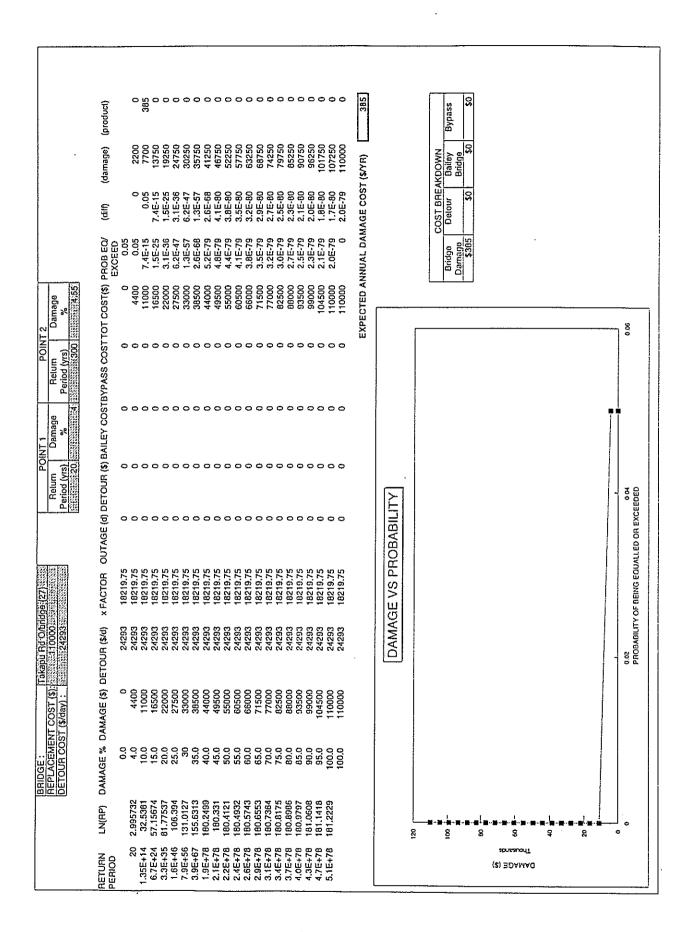


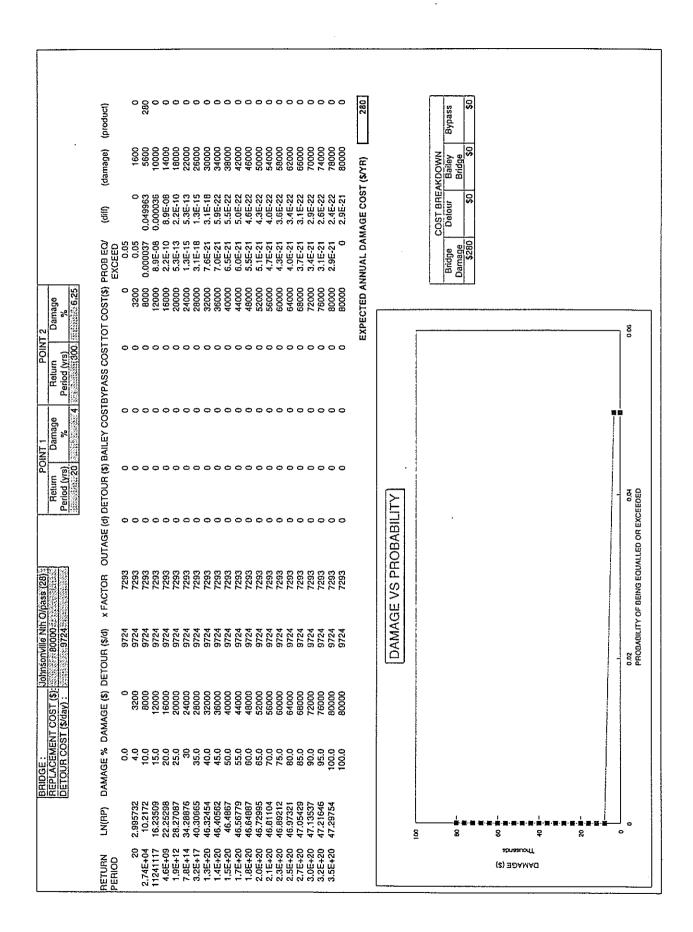


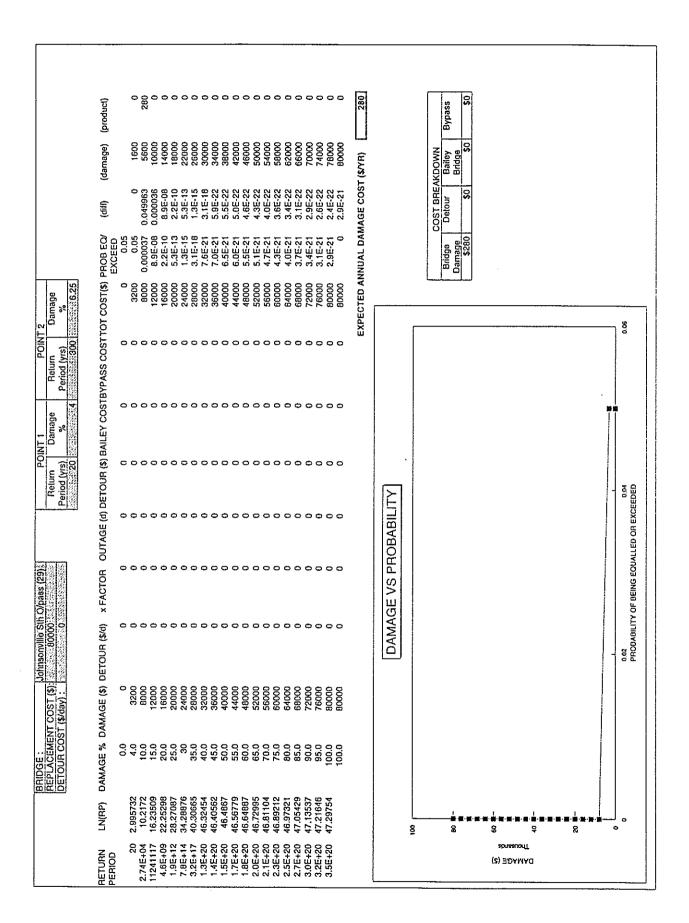












APPENDIX 3

SUMMARIES OF RESULTS OF SCREENING USING VARIOUS ATTRIBUTE FACTORS

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APPENDIX 4

FORMS USED FOR TESTING THE PROPOSED PRELIMINARY SCREENING PROCEDURE

PRIORITISATION OF BRIDGES FOR SEISMIC ASSESSMENT

BRIDGE DATA

BRIDGE AUTHORITY/REGIO	N		
HIGHWAY	ROUTE PO	SITION	
BRIDGE NAME			
Source of information (file/draw	ring numbers)		
Year designed			
Number of spans			
Span lengths (metres)	*********	· · · · · · · · · · · · · · · · · · ·	
Total length of spans (metres)			
Is superstructure primary materia	al timber?	(Yes/No)	
Are all spans continuous or inter	connected?	(Yes/No)	
How many <i>in-span</i> movement jo	oints in deck?		
For tightly interlinked simply su is end overlap at any suppo		(Yes/No/NA)	,
For simply supported superstruc holding down bolts, is end ove	•	(Yes/No/NA)	
For non-tightly interlinked simpling is end overlap at any suppo	• • • • • • • • • • • • • • • • • • • •	(Yes/No/NA)	
Overall width of structural deck	slab (metres)		
Skew angle (degrees) at each ab	utment		
Maximum pier height (top of for	andation to soffit of superstructure)	(metres)	
Pier type	- slab on spread footing?	(Yes/No)	
	- multicolumn, or slab on piles?	(Yes/No)	
	- single column?	(Yes/No)	
Abutment type (see 6.2.3 vii)	- monolithic/tightly connected?	(Yes/No)	
Soil condition (see 6.2.1 iii)		(Flexible/Normal/Don't know)	
Are foundations subject to lique	faction?	(High risk/Low risk/Don't know)	
Annual average daily traffic cou	nt using bridge	(v.p.d.)	
Annual average daily traffic cou	nt under bridge	(v.p.d.)	
Detour length (km)			
Route type (note State Highway	number if applicable; state if motor	way)	
Facility crossed:	residential/commercial/industrial	l? (Yes/No)	
	parking/storage?	(Yes/No)	
	other?	(Yes/No)	
Does bridge carry:	water, sewerage, electricity gas or telephone utilities?	(Yes/No)	
Remaining service life?	(<25 yrs; 25-50 yrs; >50yrs)		
Seismic zone factor for site (Z)			
Do drawings show approach sett	:lement/relieving slabs?	(Yes/No)	
Prepared by:	D	Date	

Checked by: Date

Page 1 of 3

CAN BRIDGE BE EXCLUDED FROM PRIORITISATION PROCEDURE?

(Refer to Seismic Screening Manual for Bridges for definition of terminology)

HIGH				
	E POSITION	I		
BRID	GE NAME	***************************************		• • • • • • • • • • • • • • • • • • • •
•	Was bride	ge designed after 1972?		
_		lude from prioritisation procedure	(Yes/No)	
			,	
•		a single span with either monolithic abutments or a superstructing of 0 when rated to the seismic screening procedures?	cture	
	If so, exci	lude from prioritisation procedure	(Yes/No)	
•		of multi spans with "yes" as an answer to <i>all</i> the following qun if "Yes")	estions:	
	-	is bridge of three spans or fewer?		
	-	are spans structurally continuous or interconnected with tigh	t linkage	
		bolts?		
	-	is overall bridge length-to-deck width ratio 8 or less?		
	-	is the skew angle less than 15 degrees?		
	<u>-</u>	is the span arrangement reasonably balanced, with no span e 30 metres?	xceeding	
	<u>-</u>	are all the piers of multi-column or slab form?		
	-	are all the piers of less than 7 metres high from the top of the to the soffit of the superstructure?	foundation	
	-	does bridge superstructure have monolithic abutments or a so overlap rating of 0 when rated to the seismic screening process.		
	-	are foundations and abutments founded with little likelihood due to soil liquefaction or instability?	of failure	
	If so, exci	ude from prioritisation procedure	(Yes/No)	
•	Is bridge	superstructure primary material timber?		
	If so, exci	ude from prioritisation procedure	(Yes/No)	
CONC	CLUSION:	Can bridge be excluded from prioritisation procedure?	(Yes/No)	••••
Pro	epared by:	Date	•••••	
Ch	ecked by:	Date		Page 2 of 3

PRIORITISATION GRADING SHEET (Refer to Manual for derivation of Rating values)

HIGHWAY							*****************
ROUTE POSITION							***************************************
BRIDGE NAME	,	•••••••••	***************************************	•••••		•••••	••••••
Hazard Index					Weighting		
Peak Ground Acceleration Ratin	ıg			=	x 0.4	=	
Remaining Service Life Rating				=	x 0.3	=	
Soil Condition Rating				<u></u>	× 0.15	=	
Risk of Liquefaction Rating				=	x 0.15	=	

		$\Sigma = \text{Hazard In}$	ndex				***************************************
Importance Index							
AADT on Bridge Rating				=	x 0.25	=	
AADT under Bridge Rating				=	× 0.15	=	
Detour Length Rating				=	x 0.15	=	
Facility Crossed Rating				=	x 0.2	=	
Route Type on Bridge Rating				=	x 0.15	=	
Critical Utility Rating				=	× 0.1	=	
			٠				***************************************
		$\Sigma = Importan$	ce Index				*************
Vulnerability Index							
Year Designed Rating					x 0.25	=	
Superstructure Hinges Rating				=	x 0.08	=	
Superstructure Overlap Rating				=	x 0.1	=	
Superstructure Length Rating				=	× 0.07	=	
Pier Type Rating				=	x 0.15	=	
Skew Rating				=	x 0.1	=	
Abutment Type Rating				=	× 0.1	=	
Other Feature Rating				=	× 0.15	=	

		$\Sigma = Vulnerab$	ility index				************
Seismic Prioritisation Grade	= Hazard	Index x [(0.6 x	Importance In	dex) + (0.4 x Vulne	rabil	ity Index)
		x [(0.6 x) + (0.4 x):
							,.
	=	********					
Prepared by:		••••••	Date				
Checked by:		***************************************	Date			Pag	re 3 of 3

APPENDIX 5

DRAFT MANUAL FOR IMPLEMENTING THE RECOMMENDED PRELIMINARY SCREENING PROCEDURE

NOTE ON CONTENTS OF APPENDIX 5:

The following pages contain the *Recommended Preliminary Screening Procedure*, which incorporates the changes made to the *Proposed Preliminary Screening Procedure*. The changes are summarised in Section 6 of this report.

The text is set out in the form of a manual for implementing the Screening Procedure, but further review and editing will be needed for a stand-alone document. The development of a manual was outside the scope of this project, and the main purpose of the text in this appendix is to provide a complete, revised summary of the Preliminary Screening Procedure recommended for implementation.

SEISMIC SCREENING MANUAL FOR BRIDGES

1. INTRODUCTION

This document sets out the steps to be taken in applying the Seismic Prioritisation Grading System (SPGS) to a bridge or group of bridges. The purpose of the SPGS is to identify and prioritise bridges which justify detailed assessment of their earthquake resistance.

Prioritisation of seismically vulnerable bridges must be carried out efficiently and with a minimum of effort. The first step in the process is to document basic data about each applicable bridge on the highway system. This information and the results of the seismic prioritisation grading should be concisely organised and incorporated into the bridge records.

General engineering staff familiar with the structures within each Transit New Zealand region should undertake the compilation and most of the grading work to ensure consistency within each region. Standardisation of the procedure should achieve consistency.

The set of forms in Section 7 of this manual are to be used for collecting and recording the information. The completed forms should be added to the bridge records.

The basic data, which will normally be available by accessing existing databases, should include:

- Details of the bridge recorded on the bridge descriptive inventory;
- Bridge construction drawings;
- Bridge inspection records; and
- Annual average daily traffic count (AADT) for the bridge.

If records are incomplete, the local knowledge of staff should enable the work to be completed without a site visit. If the staff are not familiar with the structure they should visit the site to ensure that the drawings include all post-construction modifications – for example foundation strengthening or span interconnections.

The vulnerability of bridge approaches is not addressed by this ranking procedure. The potential for the formation of hazard to vehicles if a bridge approach settles and exposes the abutment backwall is also not addressed. The presence or absence on bridge drawings of approach settlement slabs shall be noted when structure details are extracted from the records. A decision on whether slabs should be installed may then be appropriate as part of the retrofit decision at a later stage.

The SPGS includes three main variables:

- Hazard (seismicity at the bridge site and other hazards affecting the bridge structure);
- Importance of the bridge; and
- Vulnerability of the bridge structure

In the SPGS procedure each *variable* is assigned an *index*, which is the sum of the weighted *attribute* rating values for that variable. The indices are then combined to give the *Seismic Prioritisation Grade* (SPG). Each attribute is assigned a relative weight based on the attribute's significance in determining the Index. The prioritisation grading sheet in Figure 1 illustrates the structure of the procedure.

Reference to the Bridge Manual in this document should be interpreted as the Transit New Zealand Bridge Manual (1994) and subsequent amendments.

Figure 1 Prioritisation Grading Sheet

PRIORITISATION GRADING SHEET	(Refer to Seismic Screening Manual for Bridges for derivation of Rating values)
------------------------------	---

BRIDGE NAME			•••	-
Hazard Index	Rating	Weighting		Weighted Rating
Peak Ground Acceleration Rating		x 0.4	-	Katilig
Remaining Service Life Rating		x 0.3	=	
Soil Condition Rating		x 0.15	=	
Risk of Liquefaction Rating		x 0.15	=	
	\sum = Hazard Index			
Importance Index				
AADT on Bridge Rating x Detour Length Rating		x 0.5	=	
AADT under Bridge Rating		x 0.10	=	
Facility Crossed Rating		x 0.15		
Route Type on Bridge Rating	****	x 0.15	-	
Critical Utility Rating		x 0.1	=	
	= Importance Index	=		
Vulnerability Index				
Year Designed Rating		x 0.25	=	
Superstructure Hinges Rating		x 0.08	=	
Superstructure Overlap Rating		x 0.1	=	
Superstructure Length Rating		x 0.12	=	
Pier Type Rating		x 0.15	_	
Skew Rating		x 0.05		
Abutment Type Rating		x 0.1	=	
Other Feature Rating		x 0.15	=	
	= Vulnerability Index	<u> </u>		
Seismic Prioritisation Grade = Hazard Ind	x x [(0.6 x Importance In	ndex) + (0.4 x Vulr	nerabil	ity Index)]
=	x [(0.6 x) + (0.04 x)]

2. BRIDGES EXCLUDED BY PRELIMINARY SCREENING

Form 2 in section 7, entitled "Can Bridge be Excluded from Prioritisation Procedure?", sets out the conditions and properties required for a bridge to be excluded from further screening and prioritisation. Nevertheless, the detailed records and drawings of the bridge must be assembled for perusal before the decision is made that the bridge should be excluded.

3. BRIDGES WITHOUT CONNECTIONS BETWEEN SUPERSTRUCTURE ELEMENTS

The lack of connection between segments of a bridge superstructure is one deficiency which is readily improved by retrofitting. The interconnection of bridge superstructure segments is usually inexpensive and has the advantage that it can also partially alleviate the seriousness of other deficiencies.

Bridges with no connections between superstructure components (those with simply supported spans over intermediate piers, and across intermediate hinges within spans) shall be identified separately from bridges with other types of deficiencies for the following reasons:

- The risk of span collapse in these bridges in a modest earthquake is relatively high;
- The total number of such bridges is small; and
- The benefit of increased security relative to the cost of retrofit is usually high

The following simple prioritisation procedure should be adopted, based on the annual average daily traffic count (AADT) and on the seismic Zone Factor Z applicable to the bridge site, as defined in the Bridge Manual:

- 1. Bridges with AADT exceeding 2500 vpd, with a seismic Zone Factor Z = 1.2
- 2. Bridges with AADT less than 2500 vpd, with a seismic Zone Factor Z = 1.2
- 3. Bridges with AADT exceeding 2500 vpd, with a seismic Zone Factor Z < 1.2
- 4. Bridges with AADT less than 2500 vpd, with a seismic Zone Factor Z < 1.2

4. RATING ATTRIBUTES AND CALCULATING INDICES FOR THE VARIABLES

4.1 Hazard Index

The *Hazard Index* reflects the seismicity and site risks for a particular bridge site and utilises four attributes:

- Peak Ground Acceleration
- Remaining Service Life
- Soil Condition
- Risk of Liquefaction

Each of the attributes is discussed in detail below.

4.1.1 Peak Ground Acceleration attribute

The *Peak Ground Acceleration* attribute reflects peak rock acceleration, seismic duration and the frequency of seismic activity. The Zone Factor (Z), defined in the Bridge Manual, considers all these characteristics in a qualitative manner. The *Peak Ground Acceleration* attribute is based on a Zone Factor ranging from 0.6 to 1.2 to reflect the variation of seismic risk within New Zealand. For rating this attribute a linear relationship is used normalised to a Zone Factor of 1.2.

Peak Ground Acceleration rating is:

= Z/1.2

As the value of Z can range from 0.6 to 1.2, *Peak Ground Acceleration* rating can range between 0.5 and 1.0.

The attribute weighting is 40%.

4.1.2 Remaining Service Life attribute

The *Remaining Service Life* attribute reflects the likelihood of a damaging seismic event occurring within the remaining service life of a bridge. This attribute helps to ensure that appropriate consideration is given to effective use of Transit New Zealand's financial resources.

For rating this attribute a simple step function is used. In assigning the rating value the Risk Factor (R), defined in the Bridge Manual, was used and is equivalent to the value of R for events with a 25% probability of exceedance within the remaining service life of the structure.

Remaining Service Life rating is:

- = 1.0 Greater than 50 years of remaining service life
- = 0.7 Remaining service life from 25 to 50 years
- = 0.5 Less than 25 years of remaining service life

The attribute weighting is 30%.

4.1.3 Soil Condition attribute

Experience has shown that the degree of flexibility of subsoils can have a significant effect on the level of damage that can occur in an earthquake. This effect is reflected in the SPGS. To ensure consistency in interpretation of soil type the definitions of subsoil categories in the Bridge Manual are used.

The advice of a geotechnical engineer or geologist should be obtained when completing this part of the procedure.

For rating this attribute a simple step function is used.

Soil Condition rating is:

- = 1.0 Flexible or deep soil site, or "Don't know"
- = 0.5 Intermediate soil site
- = 0 Rock or very stiff soil site

The attribute weighting is 15%.

4.1.4 Risk of Liquefaction attribute

Liquefaction is the most significant of several types of ground instability that can affect a bridge.

For rating this attribute a simple step function is used. The rating is based on a qualitative assessment of the risk of liquefaction, which will require subjective judgement where detailed site investigation results are not available. The advice of a geotechnical engineer or geologist should be used when completing this part of the procedure. The following definitions for risk of liquefaction are:

- High Risk of Liquefaction Soils which underlie abutment fills or footings, or provide lateral support to piles, and which generally comprise saturated medium-dense to loose sands, silty sands and non-plastic silts.
- Low Risk of Liquefaction All other soil types

Risk of Liquefaction rating is:

- = 1.0 High risk of Liquefaction or "Don't know"
- = 0 Low risk of Liquefaction

The attribute weighting is 15%.

4.1.5 Hazard Index Summary

The *Hazard Index* is the sum of:

Weighting		Attribute Rating		Weighted Rating
0.40	X	Peak Ground Acceleration rating	=	
0.30	X	Remaining Service Life rating	=	
0.15	X	Soil Condition rating	=	
0.15	x	Risk of Liquefaction rating	==	
		TOTAL		Hazard Index

4.2 Importance Index

The *Importance Index* utilises six attributes to assess and reflect the consequences of bridge damage including public safety, the recognition that bridges form a vital link, and the socioeconomic impacts and effects on road users. The attributes are:

- Annual Average Daily Traffic Count (AADT) on Bridge
- Detour Length
- AADT under Bridge
- Facility Crossed
- Route Type on Bridge
- Critical Utility

The AADT and the Detour attributes are combined for weighting purposes.

Each of the attributes is discussed in detail below.

4.2.1 AADT on Bridge attribute

The AADT on Bridge attribute directly reflects the traffic use and hence the traffic disruption should damage occur. Traffic use is the appropriate indicator for state highway and motorway bridges which have low cycle and pedestrian use. The AADT is a measure of state highway use that is readily available, and so total AADT on a bridge is an appropriate and convenient measure for use in the SPGS.

For rating this attribute a linear relationship is used based on a maximum AADT of 30 000.

AADT on Bridge rating is:

 $= (AADT)/30\ 000 \le 1$

The **weighting** of (AADT on Bridge rating x Detour Length rating) is 50%.

4.2.2 Detour Length attribute

The *Detour Length* attribute reflects the level of inconvenience caused by the loss of a bridge. The basis of this attribute is the "extra distance travelled" (EDT). To assess this, consideration will need to be given to the origin and destination of the traffic, the condition of the detour route and its ability to accommodate the traffic use, and the likelihood that the detour route itself will have survived the seismic event. Consideration of these items is subject to considerable qualitative judgement.

For rating this attribute a linear relationship normalised to 100 km is used.

The Detour Length rating is:

 $= (EDT)/100 \leq 1$

The weighting of (AADT on Bridge rating x Detour Length rating) is 50%.

4.2.3 AADT under Bridge attribute

The AADT under Bridge attribute reflects the traffic disruption in the vicinity of the bridge should that bridge fail. The other traffic users may or may not be on a state highway or motorway and the total AADT affected is to be used.

For rating the attribute a linear relationship is used based on a maximum AADT of 30 000.

The AADT under Bridge rating is:

= (AADT)/30 000 \leq 1 = 1 when a state highway or motorway bridge crosses a railway line.

The attribute weighting is 10%.

4.2.4 Facility Crossed attribute

The *Facility Crossed* attribute reflects the potential for loss of life beneath the bridge, property damage, and individual or business financial losses. In assessing the width of the affected land, 2 x height of structure above the ground plus the width of the structure should be adopted.

For rating this attribute a simple step function is used.

Facility Crossed rating is:

- = 1.0 Where residential, commercial or industrial facilities would be affected by collapse;
- = 0.5 Where parking, storage facilities or railway facilities would be affected by collapse;
- = 0 Other uses

The attribute **weighting** is **15%**.

4.2.5 Route Type on Bridge attribute

The *Route Type on Bridge* attribute directly reflects the importance of the route as a national traffic lifeline. To reflect Transit New Zealand's responsibilities it is appropriate to apply the national rather than local importance of the route. The categories of relative importance of highways listed in Section 5 of the Bridge Manual are used as the basis for this attribute.

For rating this attribute a simple step function is used.

Route Type on Bridge rating is:

= 1.0 Bridges carrying more than 2500 vpd Bridges carrying motorways Bridges on State Highways No: 1, 2, 3, 3A, 4, 5, 6, 8, 8A

- = 0.8 Bridges carrying between 250 and 2500 vpd Bridges on State Highways not listed above
- = 0.6 Bridges carrying less than 250 vpd Non-permanent bridges

The attribute weighting is 15%.

4.2.6 Critical Utility attribute

The *Critical Utility* attribute reflects the importance of the other lifelines that are carried on the bridge and would be disrupted should the bridge collapse. The lifelines that are to be considered in rating this attribute include:

- water supply
- sewerage
- gas

Only utilities in pipes with an internal diameter of 150 mm or more are considered in rating this attribute.

Should any of these utilities be carried on a bridge then a high rating should be given. However, some state highway bridges may carry utilities that service only a small population. In these cases it may be appropriate to check with the utility authority whether temporary disruption would be critical or not and rate the attribute accordingly.

For rating this attribute a simple step function is used.

Critical Utility rating is:

- = 1.0 Critical utility is carried on the bridge
- = 0 Critical utility is not carried on the bridge

The attribute **weighting** is **10%**.

4.2.7 Importance Index Summary

The *Importance Index* is the sum of:

Weighting		Attribute Rating		Weighted Rating
0.50	X	AADT on Bridge rating x Detour Length rating		
0.10	x	AADT under Bridge rating	=	
0.15	X	Facility Crossed rating	=	
0.15	X	Route Type on Bridge rating		
0.10	X	Critical Utility rating	=	
		TOTAL	=	Importance Index

4.3 Vulnerability Index

The *Vulnerability Index* utilises eight attributes to define and reflect structural details which have a potential for damage. The index also reflects the potential cost of retrofitting a bridge. These attributes are based on the experience gained from the performance of bridges in earthquakes, and allow for the interaction of structural components. The attributes used are:

- Year Designed
- Superstructure Hinges
- Superstructure Overlap on Supports
- Superstructure Length
- Pier Type
- Skew
- Abutment Type
- Other Feature

The *Other Feature* attribute allows the assessor the discretion to identify the presence of a vulnerable feature, whether this is an abutment/approach instability (other than liquefaction), bearing details, diaphragms, inadequate linkages or the general bridge condition.

In the SPGS emphasis has been placed on the general "looseness" of the superstructure relative to its supports. This is reflected in the *Hinges, Overlap* and *Length* attributes because a "loose" bridge allows a greater relative movement during an earthquake and is more likely to suffer a "drop" type failure.

The assessor must inspect the original structure drawings (preferably as-built revisions) because bridge details have important effects on the performance of the structure during an earthquake. A knowledge of any structural modifications made since construction is required. The assessor will also need to have access to an advisor with experience of how structures respond in an earthquake.

Each of the attributes is discussed in detail below.

4.3.1 Year Designed Attribute

The Year Designed attribute reflects the main stages in the development of seismic design and detailing. Experience has shown that structure performance and hence the level of damage in a seismic event is strongly dependent on the overall design philosophy and on the design of individual elements. In New Zealand the main code changes occurred in 1933, following the Napier earthquake, and in 1972 when the Highway Bridge Design Brief (MWD 1972) was issued. The distinction between year designed and year constructed must be recognised, so the year designed is to be used.

The 1956 Bridge Manual did not contain the requirement for linkages between superstructure elements that was included in the 1933 design instruction, but this structural feature is checked during the initial bridge screening.

For rating this attribute a simple step function is used.

Year Designed rating is:

= 1.0 Bridge designed before 1933

- = 0.5 Bridge designed in the years 1933-1972
- = 0 Bridge designed after 1972

The attribute weighting is 25%.

4.3.2 Superstructure Hinges attribute

The *Superstructure Hinges* attribute refers specifically to in-span hinged or movement joints within the main longitudinal load-bearing structural members. It accounts for the "drop type" failure which can be a problem with this detail during earthquakes.

This attribute excludes stepped seatings which commonly exist at piers or abutments, as these are specifically covered in the *Superstructure Overlap* attribute. It also excludes articulated deck slabs with continuous longitudinal reinforcing steel passing through the "hinges".

The number of hinges is the total for all spans of a bridge. For rating this attribute a simple step function is used.

Superstructure Hinges rating is:

- = 1.0 If there are 2 hinges or more within a bridge superstructure
- = 0.5 If only one superstructure hinge is present
- = 0 If no superstructure hinges are present

The attribute weighting is 8%.

4.3.3 Superstructure Overlap on Supports attribute

The Superstructure Overlap on Supports attribute reflects the potential "drop type" failure at piers or abutments which can be a problem during earthquakes. The attribute rating is based on the Minimum Overlap Requirements for the span/support overlap specified in the Bridge Manual. The bearing overlap, also specified in the Bridge Manual, is not considered critical for the purposes of the SPGS.

Inter-span linkages are a low-cost insurance against loss of span support, and it is appropriate to adopt a conservative approach to rating this attribute. The strength of linkages and span overlaps in older bridges do not necessarily meet current specification as set out in the Bridge Manual. A "no linkage" situation should be assumed and a high rating given for the *Other Feature* attribute where the linkage capacity is clearly undersized, significantly deteriorated or has an inadequate load path (e.g. if a holding-down bolt has inadequate lateral support from pier cap concrete). In extreme circumstances the assessor has the discretion to withdraw the structure from the SPGS and prioritise it under the initial screening procedures. For the situations where the linkage capacity is marginally inadequate the choice of whether a linkage system is acknowledged or not is at the assessor's discretion.

For rating this attribute a simple step function is used. As a bridge may have different details, with different rating values, for different locations, the highest rating value should be used.

Superstructure Overlap on Supports rating is:

No linkage system or loose linkage system present:

- = 1.0 Overlap less than 400 mm
- = 0 Overlap 400 mm or more

Linkage comprising holding-down bolts in shear:

- = 1.0 Overlap less than 300 mm
- = 0 Overlap 300 mm or more

Tight tension linkage system present

- = 1.0 Overlap less than 200 mm
- = 0 Overlap 200 mm or more

The attribute weighting is 10%.

4.3.4 Superstructure Length attribute

The Superstructure Length attribute reflects:

- The risk of differential seismic response increasing with the length;
- The diminished transverse damping provided by the approach fills as bridge length increases;
- The greater potential for a "drop type" failure because of the accumulation of longitudinal displacements of multiple simply-supported spans, possibly resulting in overlap provisions being exceeded.

For rating this attribute a simple step function is used.

Superstructure Length rating is:

- = 1.0 Bridge length exceeding 200 m
- = 0.8 Bridge length from 100 m to 200 m
- = 0.6 Bridge length from 40 m to less than 100 m
- = 0.2 Bridge length from 20 m to less than 40 m
- = 0 Bridge length less than 20 m

The attribute **weighting** is **12%**.

4.3.5 Pier Type attribute

The *Pier Type* attribute reflects the different seismic responses and the different degrees of reserve against sudden failure which are inherent in the typical structural forms used.

For rating this attribute a simple step function is used.

Pier Type rating is:

- = 1.0 Single column
- = 0.5 Multi column, or slab pier on pile foundation
- = 0.25 Slab pier on spread footing foundation

The attribute weighting is 15%.

4.3.6 Skew attribute

The *Skew* attribute reflects the likely accumulation of eccentricity and torsional effects which may not have been fully allowed for in the original design. Bridge skews tend to be increased during strong earthquake shaking.

For rating this attribute a linear relationship is used, normalised to 90°.

Skew rating is:

 $= \theta/90 \le 1$

 θ = the angle in degrees between the perpendicular to the centreline of the roadway at each abutment, and the line of the backface of the abutment. If θ at each abutment differs, the greater value shall be used.

The attribute weighting is 5%.

4.3.7 Abutment Type attribute

The Abutment Type attribute reflects that bridges with monolithic abutments perform well in earthquakes whereas those without them are more susceptible to damage. In this context a monolithic abutment is defined as one to which the superstructure is tightly linked, so that significant independent horizontal movement of the superstructure relative to the abutment during earthquake shaking is unlikely. To be considered as monolithic the abutment backwall must be in intimate contact with the approach fills over the full depth or more of the superstructure, and the full width of the main longitudinal members.

Abutment Type rating is:

- = 1.0 Non-monolithic abutments
- = 0 Monolithic abutments

The attribute **weighting** is **10%**.

4.3.8 Other Feature attribute

The Other Feature attribute allows the assessor the discretion to reflect any other feature which is likely to make the bridge vulnerable to damage. It is expected that these will be different from the attributes used in the SPGS, except for linkages (refer Superstructure Overlap attribute). At least the following features should be considered:

- Linkages (capacity, condition, ductile capability).
- Diaphragms (adequacy for second order effects).
- Bearings (susceptibility to damage).
- Standard of important details.
- The overall general condition of the bridge.
- Approach stability (e.g. landslides that may be activated by a seismic event). Note that liquefaction is covered separately and should not be included in this attribute.

For this attribute a rating value between 1.0 and 0 is assigned using judgement based on the importance of the feature or features identified.

Other Feature rating is:

- = 1.0 (maximum) If vulnerable features are present
- If a vulnerable feature is not present

The attribute weighting is 15%.

4.3.9 Vulnerability Index Summary

Weighting		Attribute Rating		Weighted Rating
0.25	x	Year Designed rating	••••	
0.08	x	Superstructure Hinges rating	=	
0.10	x	Superstructure Overlap rating	=	
0.12	x	Superstructure Length rating	=	
0.15	x	Pier Type rating	=	
0.05	x	Skew rating	=	
0.10	x	Abutment Type rating		
0.15	X	Other Feature rating	=	
		TOTAL	****	VulnerabilityIndex

5. CALCULATING THE SEISMIC PRIORITISATION GRADE (SPG)

Seismic Prioritisation Grade is:

= $Hazard\ Index\ x\ [0.6\ x\ (Importance\ Index) + 0.4\ x\ (Vulnerability\ Index)]$

6. BRIDGE RANKING

The Seismic Prioritisation Grading System (SPGS) provides a Seismic Prioritisation Grade (SPG) for each bridge. The SPG is used to rank the bridges for more detailed seismic assessments. The ranking indicates the relative risk of highway disruption and its consequences from seismic damage to the bridges.

To identify and rectify the anomalies an integral part of the procedure is the review of the results by an experienced seismic engineer, with advice from a geotechnical engineer and an economist.

The screening process set out in Section 3 identifies the first priority bridges with the highest overall ranking.

Before any decisions are made regarding the justification of physical retrofit works more detailed seismic assessment of the higher ranked structures on an individual basis are necessary. This is to determine the feasibility and benefit/cost ratio of any retrofit work identified.

7. SUMMARY OF THE SPGS PRELIMINARY SCREENING PROCEDURE, AND FORMS FOR ITS IMPLEMENTATION

Table 7.1 summarises the SPGS Preliminary Screening Procedure with data from the three forms following it.

1 Excluded from further assessment:

- Bridges designed post-1972
- Single span bridges with integral abutments or well connected/overlapped abutments (overlap rating = 0)
- Multi span bridges with all of:
 - Three spans or fewer;
 - Spans that are structurally continuous or interconnected with tight linkage bolts;
 - Overall bridge length-to-deck width ratio 8 or less;
 - Skew angle less than 15 degrees;
 - Span arrangement reasonably balanced, with no span exceeding 30 metres;
 - All the piers of multi-column or slab form;
 - All the piers of less than 7 metres from the top of the foundation to the soffit of the superstructure;
 - Monolithic abutments or a superstructure overlap rating of 0 under the seismic screening and procedures; and
 - Foundations and abutments founded with little likelihood of failure due to soil liquefaction or instability.

2 First priority for assessment

Bridges without connections between superstructure elements, in the following priority order:

- Bridges with AADT exceeding 2500 vpd in seismic Zone Factor Z = 1.2
- Bridges with AADT less than 2500 vpd in seismic Zone Factor Z = 1.2
- Bridges with AADT exceeding 2500 vpd with a seismic Zone Factor Z < 1.2
- Bridges with AADT less than 2500 vpd with a seismic Zone Factor Z < 1.2

3 Prioritisation of remaining bridge stock by deriving the Seismic Prioritisation Grading (SPG):

•	Hazard	Hazard Index $=$ sum of:	Hazard Index $=$ sum of:				
	Peak ground acceleration	[Z/1.2]	x 0.4				
	Remaining service life	[<25 yrs 25yrs-50yrs>50yrs] 0.5 0.7 1.0	x 0.3				
	Soil condition	[flexible or [intermediate] [Rock or "don't know"] very stiff] 1.0 0.5 0	x 0.15				
	Risk of liquefaction	[high risk or "don't know"] [low risk]	x 0.15				

Table 7.1 (continued)

Importance	Importance Index $=$ sum of:
AADT count on bridge	[AADT/30 000)≤ 1]) Product of
Detour length) Rating [extra distance travelled/100 ≤1]) Values x
AADT count under bridge	[AADT/30 000 \leq 1, but = 1 if over r'way]
Facility crossed	[residential, commercial, industrial, 1.0] [parking, storage, railway 0.5] [other uses 0]
Route type on bridge	[AADT > 2500 vpd, m/ways, main SH's 1.0] [AADT 250-2500 vpd, secondary SH's 0.8] [AADT < 250 vpd, non-perm bridges 0.6] x
Critical utility	[utility carried 1.0] x [utility not carried 0]
Vulnerability	Vulnerability Index = sum of:
Year designed	[pre-1933] [1933-1972] [post-1972] 1.0 0.5 0 x
Superstructure hinges in spans	[2 or more] [1] [none] 1.0 0.5 0 x
Superstructure o'lap at supports	[(no link or loose link: o'lap < 400) 1.0] x o'lap \geq 400) 0] [(HD bolts in shear: o'lap < 300) 1.0] o'lap \geq 300) 0] [(tight tension linkage: o'lap < 200) 1.0] o'lap \geq 200) 0]
Superstructure length	[>200m] [100-200m] [40-100m] [20-<40m][<2 1.0 0.8 0.6 0.2 x
Pier type	[single column 1.0] [multi col, or slab pier on piles 0.5] [slab pier on spread footing 0.25] x
Skew	[skew angle/90] ≤ 0.1 x
Abutment type	[non-monolithic] [monolithic] 1.0 0 x
Other feature	[feature present] [not present] 1.0 0 x

PRIORITISATION OF BRIDGES FOR SEISMIC ASSESSMENT

BRIDGE DATA

HIGHWAY	ROUTE PO	SITION	
BRIDGE NAME			
Source of information (file/drawi	ng numbers)		
Year designed			
Number of spans			
Span lengths (metres)			
Total length of spans (metres)			
Are all spans continuous or interc	connected?	(Yes/No)	
How many <i>in-span</i> movement join	ints in deck?		
For tightly interlinked simply sup is end overlap at any suppor	-	(Yes/No/NA)	
For simply supported superstruct holding down bolts, is end over		(Yes/No/NA)	
For non-tightly interlinked simply is end overlap at any suppor		(Yes/No/NA)	
Overall width of structural deck s	slab (metres)		
Skew angle (degrees) at each abu	tment	*******	
Maximum pier height (top of fou	ndation to soffit of superstructure)	(metres)	
Pier type	- slab on spread footing?	(Yes/No)	
	- multicolumn, or slab on piles?	(Yes/No)	
	- single column?	(Yes/No)	
Abutment type (see 4.3.7)	- monolithic/tightly connected?	(Yes/No)	
Soil condition (see 4.1.3)		(Flexible/Normal/Don't know)	
Are foundations subject to liquefa	action? (High risk/Low risk/Don't know)	
Annual average daily traffic coun	at using bridge	(v.p.d.)	
Annual average daily traffic coun	t under bridge	(v.p.d.)	
Detour length (km)			
Route type (note State Highway r	number if applicable; state if motor	vay)	
Facility crossed:	residential/commercial/industrial	? (Yes/No)	
	parking/storage?	(Yes/No)	
	other?	(Yes/No)	
Does bridge carry:	water, sewage, or gas in pipes of 150 mm diameter or more	(Yes/No)	
Remaining service life? Seismic zone factor for site (Z)	(<25 yrs; 25-50 yrs; >50yrs)		
Do drawings show approach settlement/relieving slabs?		(Yes/No)	

Checked by:	Date	Page 1 of 3

Prepared by: Date

CAN BRIDGE BE EXCLUDED FROM PRIORITISATION PROCEDURE?

(Refer to Seismic Screening Manual for Bridges for definition of terminology)

HIGHW ROUTE BRIDGE	POSITIO	N				
•	Was bri	dge designed after 1972?				
		clude from prioritisation procedure	(Yes/No)			
•	Is bridge a single span with either monolithic abutments or a superstructure overlap rating of 0 when rated to the seismic screening procedures?					
	If so, ex	clude from prioritisation procedure	(Yes/No)			
•		e of multi spans with "yes" as an answer to <i>all</i> the tem if "Yes")	following questions:			
	-	is bridge of three spans or fewer?				
	-	are spans structurally continuous or interconnect bolts?	ed with tight linkage			
	-	is overall bridge length-to-deck width ratio 8 or	less?			
	-	is the skew angle less than 15 degrees?				
	-	is the span arrangement reasonably balanced, wi 30 metres?	th no span exceeding			
		are all the piers of multi-column or slab form?				
	-	are all the piers of less than 7 metres high from t to the soffit of the superstructure?	he top of the foundation	į		
	_	does bridge superstructure have monolithic abut	mente or a concretrocture	• • • • • •		
		overlap rating of 0 when rated to the seismic scre				
	-	are foundations and abutments founded with little due to soil liquefaction or instability?	e likelihood of failure			
	If on av	clude from prioritisation procedure	(Yes/No)			
CONCL	USION:	Can bridge be excluded from prioritisation proceed	dure? (Yes/No)			
Prep	ared by:	Da	ate			
Chec	ked by	: D	ate	Page 2 of 3		

PRIORITISATION GRADING SHEET

(Refer to Seismic Screening Manual for Bridges for derivation of Rating values)

HIGHWAY						
ROUTE POSITION						
BRIDGE NAME						
Hazard Index		Rating	W	/eighting		Weighted
Peak Ground Acceleration Rating			=	x 0.4	=	Rating
Remaining Service Life Rating			=	x 0.3		
Soil Condition Rating			=	x 0.15	=	
Risk of Liquefaction Rating			==	x 0.15	=	
	$\sum = \mathbf{H}$	lazard Index			-	
Importance Index						
AADT on Bridge Rating x Detour Le	ength Rating		==	x 0.5	-	
AADT under Bridge Rating				x 0.1	=	
Facility Crossed Rating			=	x 0.15	=	
Route Type on Bridge Rating				x 0.15	=	
Critical Utility Rating				x 0.1	=	
	$\sum = \mathbf{Im}_{\mathbf{I}}$	portance Index			-	
Vulnerability Index	2.				•	
Year Designed Rating			_	x 0.25	t-voice	
Superstructure Hinges Rating			-	x 0.08	****	
Superstructure Overlap Rating			==	x 0.1	=	
Superstructure Length Rating			=	x 0.12	=	
Pier Type Rating		<u> </u>	=	x 0.15	=	
Skew Rating			=	x 0.05	=	
Abutment Type Rating				x 0.1	****	
Other Feature Rating			=	x 0.15	=	
	∑ = Vuli	nerability Index			•	
Seismic Prioritisation Grade	= Hazard Index	x [(0.6 x Importance In	dex) +	(0.4 x Vu	lnerab	oility Index)]
=		x [(0.6 x)+	(0.4 x))]
=	=					
Prepared by:		Date	******			
Checked by:		Date				Page 3 of 3