



Subject Investment Audit Programme 2019/20

**Circulation** All Territorial Local Authorities

All Regional Councils Auckland Council Auckland Transport

Department of Conservation
Office of the Auditor General
Local Government New Zealand

NZ Transport Agency (internal circulation)

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#### **Purpose**

To advise Approved Organisations and other relevant parties of the Transport Agency's programme of investment audits planned for the 2019/20 financial year. The audits will be carried out in terms of sub-section 95 (1) (e) (ii) of the Land Transport Management Act 2003.

# Development of investment audit programme

The Investment Audit Programme provides assurance to the Transport Agency that its investments in Approved Organisations and state highways are being well managed and providing value for money outcomes.

The programme consists predominantly of investment audits and benefit realisation reviews. The Transport Agency's state highway audit focus for 2019/20 will be on specific activities as well as the regional focus of previous years. Other assurance work, such as theme audits, may also be done on an ad-hoc or as required basis.

Each approved organisation will be advised at least one month in advance of an audit or review commencing.

#### **Enquiries**

For further information on the programme, please contact Glenn McGregor at the Transport Agency on 04 894 6179 or by email <a href="mailto:glenn.mcgregor@nzta.govt.nz">glenn.mcgregor@nzta.govt.nz</a>.

Attachment 1: Investment Audit Programme 2019/20

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## Investment Audits planned for 2019/20

Investment audits are procedural or technical in their focus, or a combination of both.

**Procedural investment audits** provide assurance that the Transport Agency's investment in approved organisations' programmes is being well managed and delivering value for money results. Large investments are audited every two years, the rest on a three year cycle.

**Technical investment audits** provide assurance over approved organisations' activity management planning, adequacy of data used to assess network quality, value for money and safety and network management. The selection criteria include issues/risks, safety risks including crash rates, funding levels and time since the last audit. The frequency of technical investment audits is approximately eight to ten years for each approved organisation.

Key
Combined procedural and technical audit
Procedural audit
Technical audit

### **Approved Organisations**

	Regional Relationship Zone	Approved Organisation	Proposed financial quarter for visit
1	Upper North Is.	Auckland Transport	2
2	Central North Is.	Bay of Plenty RC	3
3	Central North Is.	Hauraki DC	2
4	Central North Is.	New Plymouth DC	1
5	Central North Is.	Opotiki DC	3
6	Central North Is.	Otorohanga DC	2
7	Central North Is.	South Taranaki DC	3
8	Central North Is.	Tauranga CC	2
9	Central North Is.	Thames - Coromandel DC	1
10	Central North Is.	Waipa DC	2
11	Central North Is.	Waitomo DC	3
12	Central North Is.	Whakatane DC	2
13	Central North Is.	Central Hawkes Bay DC	3

	Regional Relationship Zone	Approved Organisation	Proposed financial quarter for visit
14	Lower North Is.	Gisborne DC	4
15	Lower North Is.	Hastings DC	2
16	Lower North Is	Horizons Manawatu	1
17	Lower North Is	Kapiti DC	3
18	Lower North Is	Napier CC	1
19	Lower North Is	Palmerston North	4
20	Lower North Is	Porirua CC	4
21	Lower North Is	Rangitikei DC	1
22	Lower North Is.	Tararua DC	2
23	Lower North Is	Upper Hutt CC	3
24	Lower North Is	Wairoa DC	1
25	Lower North Is	Wellington CC	4
26	Lower North Is.	Whanganui DC	1
27	South Is.	Buller DC	1
28	South Is	Central Otago DC	4
29	South Is.	Hurunui DC	4
30	South Is	Otago RC	2
31	South Is.	Queenstown -Lakes DC	1
32	South Is	Selwyn DC	3
33	South Is	Southland DC	3
34	South Is	Tasman DC	1
35	South Is	Waimate DC	1

# NZ Transport Agency - Service Design and Delivery (State Highways)

	Regional Relationship zone	Local Office	Proposed financial quarter for visit
36	Central	Tauranga (Bay of Plenty)	3
37	Lower North Is.	Palmerston North (Manawatu, Whanganui)	3
38	Lower North Is.	Wellington	4