

Subject **Investment Assurance Programme 2016/17**

Circulation All Territorial Local Authorities
All Regional Councils
Auckland Council
Auckland Transport
Department of Conservation
Office of the Auditor General
Local Government New Zealand
Highways and Network Operations (NZ Transport Agency)

Circulated by Antony Flux – Investment Assurance Manager (Acting)

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Purpose

To advise approved organisations of the Investment Assurance Team’s programme of investment audits and post implementation reviews for the 2016/17 financial year.

Development of audit programmes

The Investment Assurance Programme supports the NZ Transport Agency’s planning and investing for outcomes approach by providing assurance to the Transport Agency that its investments have been well managed and are providing value for money.

An investment audit of each approved organisation is carried out every two to five years, depending on a risk assessment. Factors which determine the frequency include the size of the Transport Agency’s financial contribution, the complexity of each organisation’s programme, network condition (pavement and safety), known challenges facing particular approved organisations and the outcome of previous audits.

Each approved organisation will be advised at least one month in advance of the audit commencing.

Enquiries

For further information on the audit programme, please contact Glenn McGregor at the Transport Agency’s National Office on 04 894 6179 or by email glenn.mcgregor@nzta.govt.nz.

Attachment 1: Approved Organisations Investment Assurance Programme 2016/17



Antony Flux
Investment Assurance Manager (Acting)

INVESTMENT ASSURANCE PROGRAMME FOR 2016/17

Attachment 1 to GC 16/03

INVESTMENT AUDITS PLANNED FOR 2016/17

Full investment audits are marked with blue shading in the table below. Audits covering technical aspects only are marked with green shading. The remainder exclude technical aspects.

Investment Audits (Procedural) provide assurance that the Transport Agency's investments in approved organisations' land transport programmes are being well managed and delivering value for money results. This includes ensuring approved organisations comply with Transport Agency requirements for funding assistance and procurement. Audits covering approved organisations' management of public transport programmes also validate patronage funding claims, concessionary fare schemes, and Total Mobility schemes.

Investment audits (Technical) provide assurance around approved organisations' asset management planning, adequacy of data used to inform network interventions, and safety and network management.

No.	Region	Approved organisation	Planned Financial Quarter for visit
1	Auckland	Auckland Transport	2
2	Auckland	Whangarei District Council	1
3	Waikato/BOP	Bay of Plenty Regional Council	4
4	Waikato/BOP	Hamilton City Council	1
5	Waikato/BOP	Opotiki District Council	4
6	Waikato/BOP	Otorohanga District Council	3
7	Waikato/BOP	Thames-Coromandel District Council	1
8	Waikato/BOP	Waikato District Council	2
9	Waikato/BOP	Western Bay of Plenty District Council	2
10	Central	Greater Wellington Regional Council	3
11	Central	Hutt City Council	2
12	Central	Kaikoura District Council	2
13	Central	Kapiti District Council	4
14	Central	Nelson City Council	2
15	Central	Rangitikei District Council	1
16	Central	Ruapehu District Council	3
17	Central	South Taranaki District Council	2
18	Central	Tararua District Council	4
19	Central	Upper Hutt City Council	3
20	Central	Wairoa District Council	2

No.	Region	Approved organisation	Planned Financial Quarter for visit
21	Central	Whanganui District Council	1
22	Southern	Buller District Council	1
23	Southern	Christchurch City Council	4
24	Southern	Clutha District Council	4
25	Southern	Dunedin City Council	3
26	Southern	Hurunui District Council	1
27	Southern	Mackenzie District Council	4
28	Southern	Queenstown Lakes District Council	2
29	Southern	Selwyn District Council	4
30	Southern	Southland District Council	3
31	Southern	Timaru District Council	1
32	Southern	Waimate District Council	2
33	Southern	Waitaki District Council	1
34	National	HNO National Office	4
35	Auckland	HNO Auckland (Auckland & Northland)	3
36	Southern	HNO Dunedin (Otago & Southland)	4

POST IMPLEMENTATION REVIEWS PLANNED FOR 2016/17

Post implementation reviews assess how well projects have achieved their main expected transport benefits, and explain significant variation between actual results and expected benefits and costs. They actively seek to identify lessons learned which can be used to make business improvements with future projects or the Transport Agency's own systems and processes.

No.	Region	HNO office or Approved Organisation	Project name	Budgeted Cost	Planned Financial Quarter for visit
1.	Auckland	Auckland HNO	SH1 Upper Harbour Highway to Greville Road 3 Laning	\$22.7m	1
2.	Auckland	Auckland Transport	AMETI Stage 1 Panmure Upgrade	\$193.2m	3
3.	Auckland	Auckland Transport	Glenfield Road Upgrade	\$31.2m	2
4.	Waikato/BOP	Bay of Plenty HNO	Tauranga Eastern Link	\$392.8m	2

No.	Region	HNO office or Approved Organisation	Project name	Budgeted Cost	Planned Financial Quarter for visit
5.	Waikato/BOP	Hamilton City Council	Hamilton Ring Road package	\$84m <i>(approx.)</i>	4
6.	Waikato/BOP	Taupo City Council	East Taupo Arterial (Taupo Bypass)	\$98.2m	3
7.	Central	Gisborne HNO	Gisborne to Wainui Cycleway	\$1.7m	4
8.	Central	Wellington City Council and Wellington HNO	a. Johnsonville Triangle Rooding Improvements (WCC) b. SH1 Optimisation of Northbound Off-ramp at Johnsonville (Wellington HNO)	\$9.5m \$5.6m	3
9.	Canterbury	Canterbury HNO	Christchurch Southern Motorway Stage 1	\$150.1m	4
10.	Southern	Otago HNO	Caversham Highway Improvement Stage 1	\$31.8m	1