

**Subject**                    **Investment Assurance Team's Audit Programme 2013/14**

**Circulation**            All Territorial Local Authorities  
All Regional Councils  
Auckland Council  
Auckland Transport  
Department of Conservation  
Office of the Auditor General  
Local Government New Zealand  
Highways and Network Operations (NZTA)

**Circulated by**        Marianne McMillan – Investment Assurance Manager

**Date of Issue**        14 June 2013

## **Purpose**

To advise approved organisations of the Investment Assurance Team's programme of investment audits and post implementation reviews for the 2013/14 financial year.

## **Development of audit programmes**

The Investment Assurance Programme supports NZTA's planning and investing for outcomes approach by providing assurance to NZTA that its investments have been well managed and are providing value for money.

An investment audit of each approved organisation is carried out every two to five years, depending on a risk assessment. Factors that determine the frequency include the size of the NZTA's financial contribution, the complexity of each organisation's programme, network condition (pavement and safety), known issues/challenges facing particular approved organisations and the outcome of previous audits.

Each approved organisation will be advised at least one month in advance of the audit commencing.

## **Enquiries**

For further information on the audit programme, please contact Glenn McGregor at the NZTA National Office on 04 894 6179 or by email [glenn.mcgregor@nzta.govt.nz](mailto:glenn.mcgregor@nzta.govt.nz).

Attachment 1:        Approved Organisations Investment Audit Programme 2013/14



Marianne McMillan  
Investment Assurance Manager

## INVESTMENT ASSURANCE PROGRAMME FOR 2013/14

Attachment 1 to GC 13/04

## INVESTMENT AUDITS PLANNED FOR 2013/14

Full investment audits are marked with blue shading. Audits covering technical aspects only are marked with green shading. The others do not cover technical aspects.

No.	Region	Approved organisation	Planned Quarter for visit
1	Northern	Auckland Transport (technical review of one network management area)	3 <sup>rd</sup>
2	Northern	Whangarei District Council	4 <sup>th</sup>
3	Northern	Northland Regional Council	2 <sup>nd</sup>
4	Waikato	Hamilton CC	1 <sup>st</sup>
5	Waikato	Matamata-Piako District Council	4 <sup>th</sup>
6	Waikato	Western Bay of Plenty District Council	4 <sup>th</sup>
7	Waikato	Opotiki District Council (deferred from 2012/13)	2 <sup>nd</sup>
8	Waikato	Whakatane District Council	3 <sup>rd</sup>
9	Waikato	Kawerau District Council (deferred from 2012/13)	2 <sup>nd</sup>
10	Waikato	Otorohanga District Council	1 <sup>st</sup>
11	Waikato	Hauraki District Council (technical review only)	1 <sup>st</sup>
12	Waikato	HNO Hamilton	2 <sup>nd</sup>
13	Waikato	Taupo District Council	2 <sup>nd</sup>
14	Central	Wairoa District Council	2 <sup>nd</sup>
15	Central	Hawkes Bay Regional Council	4 <sup>th</sup>
16	Central	Central Hawkes Bay District Council	3 <sup>rd</sup>
17	Central	Wanganui District Council	1 <sup>st</sup>
18	Central	Palmerston North CC	3 <sup>rd</sup>
19	Central	Masterton District Council	2 <sup>nd</sup>
20	Central	Kapiti Coast District Council	2 <sup>nd</sup>
21	Central	Upper Hutt City Council (deferred from 2012/13)	1 <sup>st</sup>
22	Central	HNO Marlborough Roads	3 <sup>rd</sup>
23	Southern	Christchurch City Council (focus on rebuild)*	*
24	Southern	Waimakariri District Council	2 <sup>nd</sup>
25	Southern	Timaru District Council	4 <sup>th</sup>
26	Southern	Waitaki District Council	3 <sup>rd</sup>
27	Southern	Queenstown Lakes District Council	1 <sup>st</sup>
28	Southern	Southland DC	3 <sup>rd</sup>

\* Audit of Christchurch City Council activities will be annual during the life of the earthquake rebuild process. The scope and timing of audit activities will be aligned to an overall rebuild auditing framework agreed by the Client Governance Group of the Stronger Christchurch Infrastructure Rebuild Team.

## POST IMPLEMENTATION REVIEWS PLANNED FOR 2013/14

No.	Region	AO/HNO region	Project name
1	Auckland	Auckland Highways & Network Operations	Mt Roskill Extension (deferred from 2012/13)
2		Auckland Highways & Network Operations	Manukau Harbour Crossing
3		Auckland Transport	Lake Road Widening 06/07
4		Auckland Transport	Cycleway - Twin Streams Walk and Cycleway
5	Waikato/BOP	Tauranga City Council	Cameron Road Cycle Lane (deferred from 2012/13)
6		Hamilton City Council	Mill Street Intersection Upgrades
7		Bay of Plenty Highways & Network Operations	Bethlehem Township Four-Laning (SH2)
8		Bay of Plenty Highways & Network Operations	Pyes Pa Bypass
9		Western BoP District Council	09-12 Roading - Welcome Bay Road Reconstruction 2011 5.0-7.9km
10	Wellington	Wellington Highways & Network Operations	Dowse/Petone interchange
11		Wellington Highways & Network Operations (Tasman)	Ruby Bay Bypass
12	Otago	Dunedin City Council	09-12 Roading - 2009/12: Dunedin City - Portobello Rd at Vauxhall Widening