

Subject	Investment Monitoring Team's Audit Programme 2011/12
Circulation	All Road Controlling Authorities All Regional Councils Auckland Council Auckland Transport Department of Conservation Controller and Auditor General Local Government New Zealand Highway and Network Operations (NZTA)
Circulated by	Marianne McMillan – Investment Monitoring Manager
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Purpose

To advise approved organisations of the Investment Monitoring Team's programme of investment audits and technical reviews for the 2011/12 financial year.

Development of audit programmes

The NZTA has adopted a new approach to working that will entail moving from funding outputs towards planning and investing for outcomes. The new approach will see the NZTA shift focus from getting involved in the delivery of transport solutions to influencing planning, partnering for investment and enhancing investment performance. By influencing planning early we intend to get robust well integrated investment proposals and transport outcomes that represent the best return for transport system users. Once we've made our investment decision we'll step back and let our partners get on with delivery, but like any good investment partner we'll monitor the performance of our investment. The monitoring we put in place will enable us to track if we are meeting the government's desired outcomes.

An investment audit of each approved organisation is carried out every two to four years. Technical reviews are carried out on a four to six year cycle. Factors that determine the frequency include the size of the NZTA's financial contribution, the complexity of each organisation's programme, network condition (pavement and safety) and the outcome of previous audits.

Each approved organisation will be advised at least one month in advance of the audit commencing.

Enquiries

For further information on the audit programme, please contact Glenn McGregor at the NZTA National Office on 04 894 6179 or by email glenn.mcgregor@nzta.govt.nz.

Attachment 1: Approved Organisations Investment Audit Programme 2011/12



Marianne McMillan
Investment Monitoring Manager

Approved Organisations Investment Audit Programme 2011/12

No.	Approved Organisation	Quarter programmed to visit	Last Visit
1.	Auckland Council	1 st	
2.	Auckland Transport	1 st	
3.	Central Otago DC	2 nd	March 2008
4.	Dunedin CC	4 th	February 2010
5.	Gisborne DC	4 th	February 2010
6.	Greater Wellington RC	4 th	March 2010
7.	Hauraki DC	3 rd	May 2008
8.	Horizons Manawatu	2 nd	September 2008
9.	Horowhenua DC	4 th	May 2008
10.	Hutt CC	2 nd	April 2008
11.	Kaipara DC	3 rd	February 2010
12.	Kapiti Coast DC	2 nd	October 2008
13.	McKenzie DC	2 nd	February 2008
14.	Napier CC	3 rd	August 2008
15.	Nelson CC	3 rd	March 2008
16.	Otago RC	2 nd	June 2008
17.	Rotorua DC	2 nd	September 2008
18.	Sth Wairarapa DC#	2 nd	October 2007
19.	Waikato DC	3 rd	May 2009
20.	Wellington CC	4 th	April 2009
21.	Whangarei DC	4 th	May 2010
22.	HNO-Bay of Plenty*	3 rd	Previously part of HNO Waikato audit
23.	HNO- Hawkes Bay*	3 rd	April 2009
24.	HNO-National Office*	3 rd	December 2009
25.	HNO-Wellington*	2 nd	June 2008

* Part of NZ Transport Agency

Note technical review in 2011/12

Approved Organisations Technical Review Programme 2011/12

No.	Approved Organisation	2011/12 Quarter Programmed to Visit	Last Visit
1.	Ashburton District Council	2 nd	Nov-06
2.	Hastings District Council	2 nd	Mar-07
3.	HNO (Hawkes Bay)*	4 th	-
4.	Marlborough District Council	3 rd	Sep-04
5.	Palmerston North City Council	3 rd	Oct-05
6.	Rangitikei District Council	3 rd	May-07
7.	Tararua District Council	4 th	Apr-07
8.	Waikato District Council	3 rd	Nov-06
9.	Waipa District Council	4 th	Nov-05
10.	Waitomo District Council	2 nd	May-05
11	Whanganui District Council	3 rd	May-07

* Part of NZ Transport Agency

Approved Organisations RISA Review Programme July 2011 to Dec 2011

No.	Approved Organisations	Date Programmed for Visit
1.	Waimakariri District Council	6 to 8 July 2011
2.	Whanganui District Council	2 to 4 August 2011
3.	Ashburton District Council	21 to 23 September 2011
4.	West Coast Combination (Westland DC, Buller DC & Grey DC)	5 to 7 October 2011
5.	Wairarapa Combination (Masterton DC, Carterton DC & South Wairarapa DC)#	16-18 November 2011
6.	Tararua District Council	30 Nov to 2 Dec 2011

Note investment audit in 2011/12

Post Implementation Reviews

The sample of Post Implementation Reviews (PIRs) is still being developed and will be published through the Knowledge Base in September.

Theme Audit Programme 2011/12

There are no Theme Audits planned for 2011/2012.